

SEWERAGE & WATER BOARD OF NEW ORLEANS

FINANCE AND ADMINISTRATION COMMITTEE MEETING

WEDNESDAY, JUNE 09, 2021

9:00 AM

[June 2021 Finance Link](#)
[+1 504-224-8698](tel:+15042248698), [985883816#](tel:+15042248698) United States, New Orleans
Phone Conference ID: 985 883 816#

PUBLIC COMMENT WILL BE ACCEPTED VIA EMAIL TO
BOARDRELATIONS@SWBNO.ORG. ALL PUBLIC COMMENTS MUST BE RECEIVED PRIOR TO
9:30 AM ON June 09, 2021. COMMENTS WILL BE READ VERBATIM INTO THE
RECORD.

Lynes Sloss Janet Howard
Joseph Peychaud Ralph Johnson Alejandra Guzman

FINAL AGENDA

1. **ROLL CALL**

2. **DISCUSSION ITEM**

- Financial Summary Update

3. **PRESENTATION ITEM**

- Customer Receivables COVID-19 Impacts – Edgar Grey Lewis, CFO

4. **ACTION ITEMS**

- Resolution (R-062-2021) Authorization for Execution of Account Documentation with Capital One, N.A.
- Resolution (R-077-2021) Preliminary Approval for Sewerage Service Revenue Bond (WIFIA Projects)

GENERAL SUPERINTENDENT'S REPORT

- **CONTRACTS CHANGE ORDERS**
 - Resolution (R-054-2021) Ratification of Change Order No. 2 Between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC. for Contract 1403 – Demolition of Abandoned C-7 and C-8 Basins and Other Site Improvements at the Carrollton Water Plant
 - Resolution (R-056-2021) Ratification of Change Order No. 2 Between the Sewerage and Water Board of New Orleans and M. R. Pittman Group, LLC. for Contract 1377 – Water Hammer Hazard Mitigation Program Claiborne Ave Pumping Station and Off-Site Improvements
 - Resolution (R-057-2021) Ratification of Change Order No. 4 Between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC. for Contract 30109 – Sewer Pumping Station “A” Structural Rehabilitation

- **CONTRACT AMENDMENTS**

- Resolution (R-058-2021) Authorization of Amendment No. 8 to the Agreement Between the Sewerage and Water Board of New Orleans and Rahman and Associates, Inc. for Engineering Services for the Water Line Replacement Program

5. PUBLIC COMMENT

Public comments received until 30 minutes after the presentation of the Agenda will be read into the record.

6. INFORMATION ITEMS

- FEMA May Project Worksheet Status
- Contracts Under \$1 Million or Less
- DBE Participation on Contracts
 - DBE Bid Analysis
 - Construction Review Committee – Goals Setting
 - Staff Contract Review Committee - Goals Setting
 - Final Acceptance of Construction Contracts with DBE Participation.

7. ADJOURNMENT

This teleconference meeting is being held pursuant to and in accordance with the provisions of Section 4 of Proclamation Number JBE 2020-30, extended by Proclamation 93 JBE 2021, pursuant to Section 3 of Act 302 of 2020.

EXECUTIVE SUMMARY

April 2021

A summary analysis of the financial results and other performance goals is attached for your further review.

All Systems (in millions)	April	April	Variance	April	April	Variance	<u>Annual Budget</u>
	<u>MTD Actual</u>	<u>MTD Budget</u>	<u>MTD</u>	<u>YTD Actual</u>	<u>YTD Budget</u>	<u>YTD</u>	
Operating Revenues	\$20.8	\$21.4	(\$0.6)	\$87.3	\$85.0	\$2.3	\$261.7
Ad Valorem / Other Revenues	31.8	17.9	13.8	37.4	29.2	8.1	67.3
Operating Expenditures	20.9	25.1	4.2	90.0	96.8	6.7	317.9

Revenues Less Adjusted Expenses (April 2021)

Revenues	Actual	Budget	2020	Actual	Budget	2020	Actual YTD as %
	(MTD)	(MTD)	Actual (MTD)	Actual (YTD)	Budget (YTD)	Actual (YTD)	of Budget YTD
Water Service	\$8,978,949	\$9,387,583	\$7,784,911	\$37,879,642	\$37,686,029	\$38,842,185	101%
Sewer Service	11,602,517	11,922,782	9,979,144	48,567,302	46,995,350	48,441,962	103%
Non Operating Revenue	31,786,264	17,937,287	41,515,251	37,364,692	29,228,339	61,095,291	128%
Misc Revenues	224,734	76,582	124,985	845,654	309,254	901,410	273%
Total Revenues	52,592,464	39,324,234	59,404,291	124,657,290	114,218,972	149,280,847	109%
Operating Expenses							
Water Service	7,001,376	8,951,039	7,094,353	29,191,486	34,807,270	26,694,145	84%
Sewer Service	8,448,692	11,116,191	10,292,232	39,032,451	41,751,789	31,357,096	93%
Drainage Service	5,470,832	5,065,487	5,147,184	21,820,257	20,208,224	20,573,581	108%
Total Expenses	20,920,901	25,132,717	22,533,769	90,044,194	96,767,283	78,624,822	93%
Interest Expense	1,477,069	1,880,635	1,710,986	7,276,454	7,522,539	6,843,944	97%
Revenues less Expenses	30,194,495	12,310,882	35,159,536	27,336,642	9,929,150	63,812,081	275%
Non-Cash Expenses	6,319,435	8,934,443	6,601,709	33,816,083	36,681,771	25,157,787	92%
Revenues less Adjusted Expenses	36,513,929	21,245,325	41,761,245	61,152,725	46,610,921	88,969,868	131%

MONTHLY FINANCIAL REPORT

Cash Collections

Historical Monthly Water and Sewerage System Cash Collections

2021 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 7,795,274	\$ 25,951	\$ 7,821,225
February	\$ 7,291,874	\$ 21,589	\$ 7,313,463
March	\$ 8,468,654	\$ 32,902	\$ 8,501,556
April	\$ 6,992,561	\$ 82,149	\$ 7,074,710
Last 12 months (April)			
\$ 95,709,128			
TOTAL	\$ 30,548,363	\$ 162,591	\$ 30,710,954

2020 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 9,370,008	\$ 193,867	\$ 9,563,875
February	\$ 8,510,690	\$ 162,949	\$ 8,673,639
March	\$ 8,510,036	\$ 162,272	\$ 8,672,308
April	\$ 6,519,253	\$ 279,649	\$ 6,798,902
May	\$ 7,900,869	\$ 105,513	\$ 8,006,382
June	\$ 7,267,122	\$ 82,830	\$ 7,349,952
July	\$ 8,048,453	\$ 43,613	\$ 8,092,066
August	\$ 8,528,091	\$ 39,029	\$ 8,567,120
September	\$ 8,829,034	\$ 28,497	\$ 8,857,531
October	\$ 7,613,451	\$ 24,426	\$ 7,637,877
November	\$ 7,190,806	\$ 24,456	\$ 7,215,262
December	\$ 9,225,677	\$ 46,307	\$ 9,271,984
TOTAL	\$ 97,513,490	\$ 1,193,408	\$ 98,706,898

2019 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 7,269,033	\$ 145,536	\$ 7,414,569
February	\$ 6,261,340	\$ 136,461	\$ 6,397,801
March	\$ 6,835,909	\$ 98,609	\$ 6,934,519
April	\$ 8,024,726	\$ 182,469	\$ 8,207,195
May	\$ 8,128,278	\$ 148,478	\$ 8,276,756
June	\$ 7,547,372	\$ 150,562	\$ 7,697,934
July	\$ 9,662,101	\$ 194,163	\$ 9,856,264
August	\$ 8,483,471	\$ 190,224	\$ 8,673,696
September	\$ 8,246,459	\$ 171,490	\$ 8,417,949
October	\$ 10,133,620	\$ 192,930	\$ 10,326,549
November	\$ 9,064,555	\$ 213,662	\$ 9,278,216
December	\$ 8,126,348	\$ 141,736	\$ 8,268,084
TOTAL	\$ 97,783,213	\$ 1,966,318	\$ 99,749,531

2021 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 11,154,460	\$ 32,427	\$ 11,186,887
February	\$ 10,824,014	\$ 27,378	\$ 10,851,392
March	\$ 13,313,818	\$ 39,070	\$ 13,352,888
April	\$ 10,674,611	\$ 76,636	\$ 10,751,247
Last 12 months (April)			
\$ 134,468,319			
TOTAL	\$ 45,966,903	\$ 175,510	\$ 46,142,414

2020 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 12,683,216	\$ 247,883	\$ 12,931,099
February	\$ 11,510,258	\$ 213,939	\$ 11,724,198
March	\$ 12,337,081	\$ 207,785	\$ 12,544,866
April	\$ 9,460,656	\$ 149,191	\$ 9,609,847
May	\$ 10,703,694	\$ 136,628	\$ 10,840,322
June	\$ 10,485,228	\$ 105,070	\$ 10,590,298
July	\$ 11,609,615	\$ 54,036	\$ 11,663,651
August	\$ 11,850,512	\$ 47,804	\$ 11,898,316
September	\$ 11,927,583	\$ 35,569	\$ 11,963,153
October	\$ 10,112,092	\$ 29,194	\$ 10,141,285
November	\$ 8,981,302	\$ 29,429	\$ 9,010,731
December	\$ 12,159,920	\$ 58,229	\$ 12,218,149
TOTAL	\$ 133,821,157	\$ 1,314,758	\$ 135,135,915

2019 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 10,283,064	\$ 183,074	\$ 10,466,138
February	\$ 8,856,052	\$ 174,247	\$ 9,030,299
March	\$ 10,920,960	\$ 123,706	\$ 11,044,666
April	\$ 11,750,766	\$ 171,101	\$ 11,921,867
May	\$ 11,709,701	\$ 184,362	\$ 11,894,064
June	\$ 10,666,270	\$ 193,564	\$ 10,859,833
July	\$ 12,418,687	\$ 240,120	\$ 12,658,808
August	\$ 11,484,227	\$ 244,491	\$ 11,728,718
September	\$ 11,249,671	\$ 225,192	\$ 11,474,863
October	\$ 13,327,187	\$ 246,420	\$ 13,573,607
November	\$ 7,943,403	\$ 256,514	\$ 8,199,917
December	\$ 11,698,150	\$ 180,495	\$ 11,878,645
TOTAL	\$ 132,308,137	\$ 1,966,318	\$ 134,274,455

Monthly Financial Dashboard (April 2021)

SWBNO Monthly Financial Dashboard
April 2021

	April 2021			April 2020		
	Water	Sewer	Drainage	Water	Sewer	Drainage
1 Operating Revenues by class of service	\$8,978,949	\$11,602,517		\$7,784,911	\$9,979,144	
2 Revenues per Active account	\$65	\$84		\$57	\$73	
3 Cash Collections	\$7,074,710	\$10,751,247		\$6,798,902	\$9,609,847	
4 Ad Valorem - other revenues			\$31,707,440			\$41,406,108
5 Operating & Maintenance (O&M) Expenses	\$7,001,376	\$8,448,692	\$5,470,832	\$7,094,353	\$10,292,232	\$5,147,184
6 O&M cost per Active account	\$51	\$61	\$40	\$52	\$75	\$38
7 Cash, Cash Equivalents and Funds on Deposit	\$23,453,072	\$45,248,189	\$44,634,457	\$28,360,242	\$35,231,965	\$57,939,566
Liquidity						
8 Current ratio (current assets/current liabilities)	1.5	4.7	2.0	2.0	4.0	5.5
9 Days cash on hand (>90 days required)	111	195	n/a	123 ¹	184 ¹	n/a
					¹ - as of March 2021	
Leverage						
10 Debt (bonds)	\$204,657,530	\$300,149,222	\$3,969,175	\$209,488,561	\$224,974,346	\$5,902,444
11 Preliminary Debt Service Coverage (>1.25 required)	5.47	5.48	n/a	3.02 ²	2.59 ²	n/a
12 Total Assets	\$799,634,208	\$1,320,723,270	\$1,691,553,982	\$725,784,747	\$1,209,727,234	\$1,605,480,157
13 Net Position	\$442,782,158	\$865,197,396	\$1,259,850,060	\$380,965,963	\$826,106,436	\$1,213,412,954
14 Leverage (total debt/ total assets)	26%	23%	0%	29%	19%	0%
15 Debt/ net position	46%	35%	0%	55%	27%	0%
16 Long term debt per Active Accounts	\$1,479	\$2,169	\$29	\$1,530	\$1,643	\$43
					² - as of December 2020	
Receivables						
		<u>Water / Sewer</u>			<u>Water / Sewer</u>	
17 Customer Receivables, net of allowance		\$51,730,242			\$40,520,874	
18 Active Customer Receivables past due > 60 Days		\$53,767,048			\$37,965,188	
19 Uncollected Ratio (cash collections / revenues) - last 12 mos		10.6%			8.8%	
20 Total Number of Active Accounts		138,375			136,940	
21 Total Number of Delinquent Active Accounts		24,993			25,176	
22 Total Number of Accounts in Dispute		2,138			2,269	
23 Total Number of New Payment Plans		151			1,099	
24 Total Number Inactive Accounts		28,122			35,205	

Debt Obligations

Debt Information - As of April 30, 2021

	Water	Sewer	Drainage	Total
Debt Outstanding:				
Revenue Bonds*	\$ 222,760,000	\$ 283,000,000		\$ 505,760,000
Limited Tax Bonds			\$ 3,955,000	\$ 3,955,000
DEQ SRF Loans*		\$ 6,571,272		\$ 6,571,272
GoZone Loan		\$ 40,044,839		\$ 40,044,839
Total Debt Outstanding	\$ 222,760,000	\$ 329,616,111	\$ 3,955,000	\$ 556,331,111
Southeast Louisiana Project liability			\$ 280,362,185	
Available Borrowed Funds:				
Unspent Bond Proceeds ⁽¹⁾	\$ 8,059,907	\$ 95,619,445		\$ 103,679,352
Available Undrawn DEQ SRF Loan Proceeds (South Shore Basin Project)		\$ 9,029,728		\$ 9,029,728
Total Available Borrowed Funds	\$ 8,059,907	\$ 104,649,173		\$ 112,709,080

(1) As reported by BLCD.

* Included in Debt Service Coverage Tests

Capital

2021 CAPITAL DISBURSEMENTS (As of 4/30/2021)

	YTD	
	Annual Budget	Disbursements
Water	\$ 87,045,733	\$ 23,967,171
Sewer	\$ 188,632,679	\$ 12,150,731
Drainage	\$ 58,367,652	\$ 7,453,859
Total	\$334,046,064	\$ 43,571,761
Water - pending funding sources	\$ 131,444,196	
Total Capital Budget	\$ 465,490,260	

Federal Grant/Funding Status

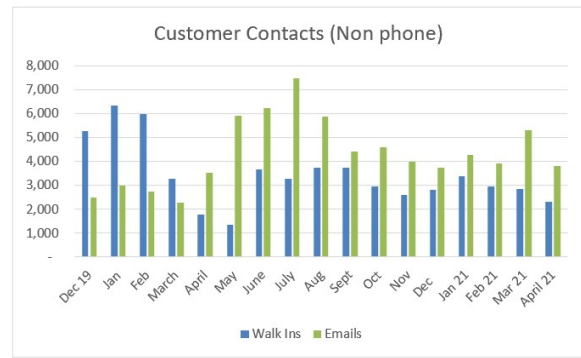
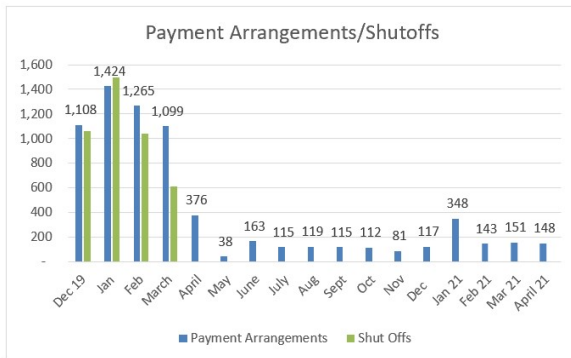
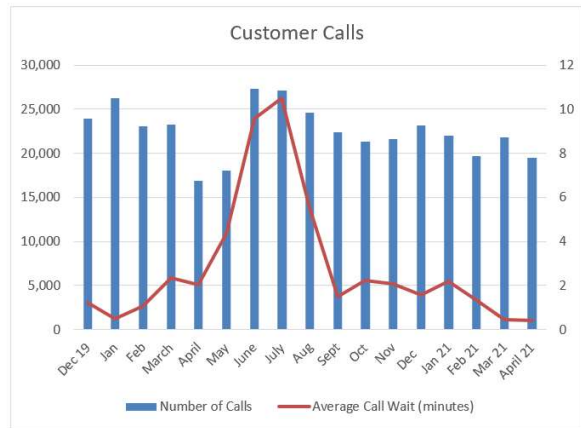
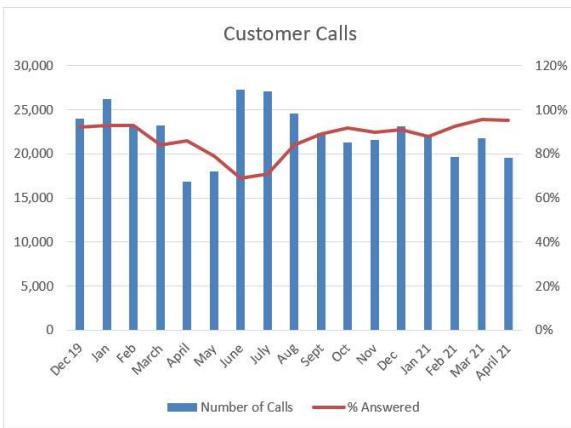
FEDERAL GRANT/FUNDING STATUS (As of 4/30/2021)

	Obligated	Expended	Reimbursed
Hurricane PA Projects	\$ 547,259,635	\$ 519,908,290	\$ 487,601,593
HMGF Projects	\$ 188,871,018	\$ 190,100,540	\$ 148,672,313
JIRR Projects	\$ 268,448,968	\$ 18,917,462	\$ 19,306,780
Total	\$ 1,004,579,621	\$ 728,926,292	\$ 655,580,685

Customer Experience (April 2021):

In April 2021, a total of 34,122 customers were served. These reports do not include actions and responses related to investigations.

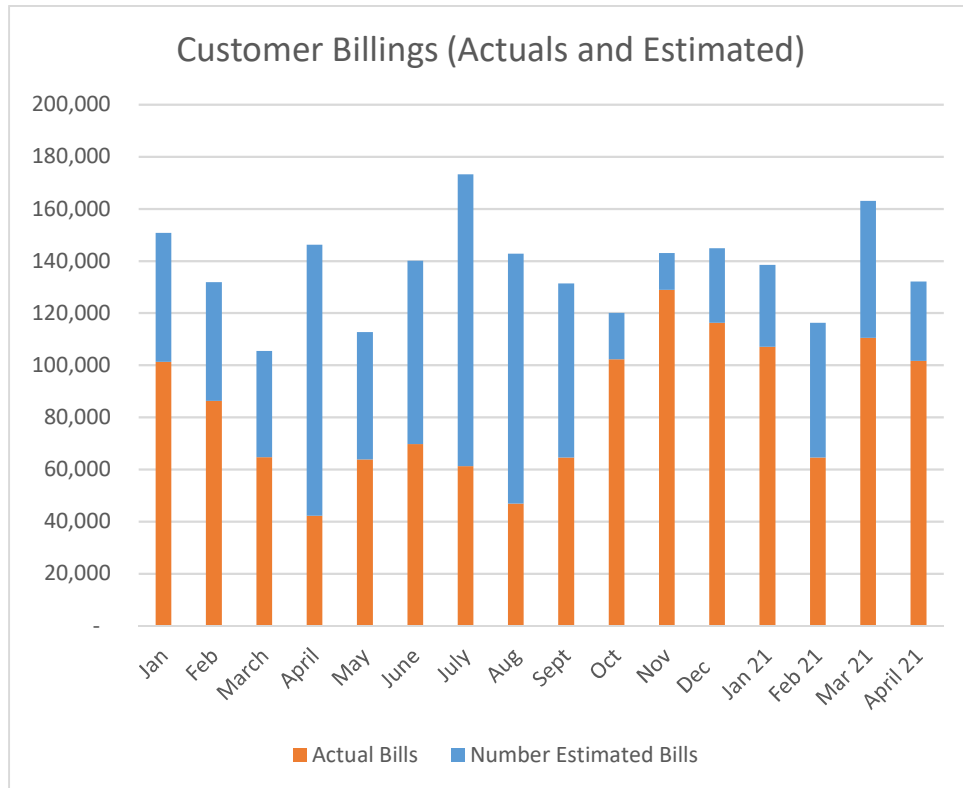
	Total # Calls	Total calls Answered	Total Dropped Calls	Average Call Wait	% Calls Answered
April	19,519	18,627	892	0:42 sec.	95%



Billing Accuracy (April 2021)

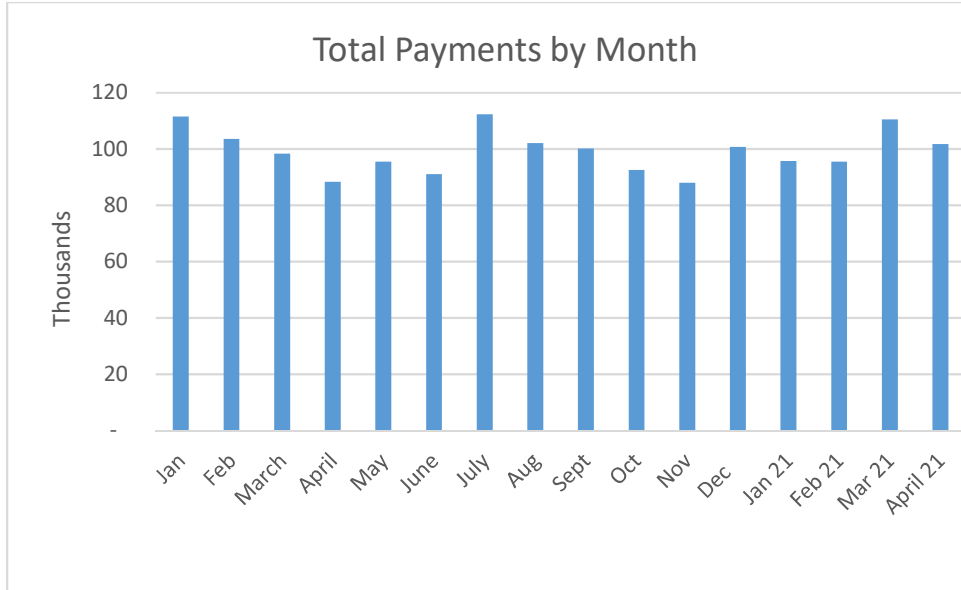
The following metrics are monitored to help management measure and improve the bill accuracy, improve the customer response time and implement best practice collection activity.

The Sewerage and Water Board (SWBNO) suspended water service shut-offs Thursday, March 12, 2020 and will adhere to this policy change for the duration of the Mayor’s Declared Emergency proclamation in response to the COVID-19 pandemic.



Collections (April 2021)

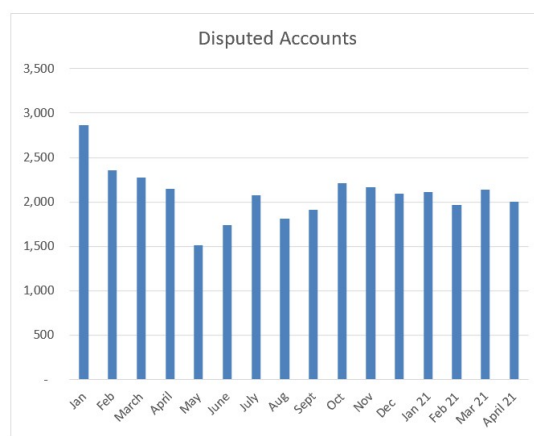
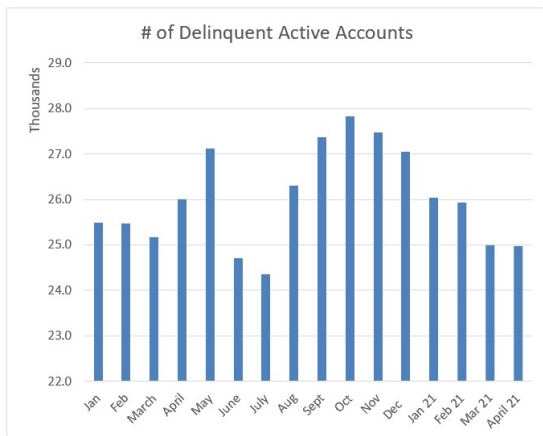
A total of 101,719 payments were received in the month. These payments were received via mail, walk-ins, online payments, and the interactive voice response phone system.



Active Accounts (April 2021)

As of April 30, 2021

	ACTIVE ACCOUNTS	DELINQUENT ACTIVE ACCOUNTS	PAST DUE \$ AMOUNT (60+ DAYS)	% CHANGE FROM PRIOR MONTH	ACCOUNTS IN DISPUTE	% CHANGE FROM PRIOR MONTH
Residential	121,173	23,094	\$ 41,391,057	4%	1,645	-5%
Multi-Family	4,583	590	\$ 3,007,183	6%	103	-8%
Commercial	12,588	1,287	\$ 9,368,181	4%	257	-14%
Industrial	31	2	\$ 628	155%	-	-
TOTALS	138,375	24,973	\$ 53,767,048	4%	2,005	-6%



The number of past due Inactive accounts totaled 28,122 a decrease of 6,418 accounts over the prior month which is the result of the annual process to directly write off inactive aged accounts greater than two years old.

April 2021 Financial Statements (unaudited)

Pages 9-24 as follows:

- 9. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Prior year (PY)
- 10. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Budget
- 11. Water Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 12. Water Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 13. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 14. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 15. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 16. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 17-18. All System Funds Statements of Net Position
- 19-20. Water Fund Statements of Net Position
- 21-22. Sewer Fund Statements of Net Position
- 23-24. Drainage Fund Statements of Net Position

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	8,978,949	7,784,911	1,194,038	15.3%	37,879,642	38,842,185	(962,542)	-2.5%	1
2	Sewerage service charges and del fees	11,602,517	9,979,144	1,623,373	16.3%	48,567,302	48,441,962	125,340	0.3%	2
3	Plumbing inspection and license fees	41,980	26,495	15,485	58.4%	156,020	143,482	12,538	8.7%	3
4	Other revenues	182,754	98,490	84,264	85.6%	689,634	757,929	(68,294)	-9.0%	4
5	Total operating revenues	20,806,200	17,889,040	2,917,160	16.3%	87,292,599	88,185,556	(892,958)	-1.0%	5
Operating Expenses:										
6	Power and pumping	809,920	1,312,180	(502,259)	-38.3%	3,078,431	5,469,142	(2,390,711)	-43.7%	6
7	Treatment	1,535,375	4,426,954	(2,891,578)	-65.3%	6,759,303	6,359,465	399,838	6.3%	7
8	Transmission and distribution	1,209,874	1,982,883	(773,009)	-39.0%	8,477,674	8,264,858	212,816	2.6%	8
9	Customer accounts	384,316	334,038	50,279	15.1%	1,544,401	1,379,984	164,417	11.9%	9
10	Customer service	467,160	621,860	(154,700)	-24.9%	2,672,321	1,997,727	674,594	33.8%	10
11	Administration and general	3,302,845	1,839,277	1,463,568	79.6%	11,403,934	6,599,265	4,804,670	72.8%	11
12	Payroll related	4,812,697	2,915,709	1,896,987	65.1%	17,462,358	16,380,115	1,082,242	6.6%	12
13	Maintenance of general plant	2,079,278	2,499,160	(419,882)	-16.8%	4,829,690	7,016,479	(2,186,789)	-31.2%	13
14	Depreciation	5,697,231	5,829,069	(131,838)	-2.3%	25,962,978	23,316,277	2,646,702	11.4%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	415,293	626,023	(210,730)	-33.7%	7,200,720	1,923,013	5,277,707	274.4%	16
17	Provision for claims	206,911	146,617	60,294	41.1%	652,385	(81,503)	733,887	-900.4%	17
18	Total operating expenses	20,920,901	22,533,769	(1,612,869)	-7.2%	90,044,194	78,624,822	11,419,372	14.5%	18
19	Operating income (loss)	(114,701)	(4,644,729)	4,530,028	-97.5%	(2,751,596)	9,560,734	(12,312,330)	-128.8%	19
Non-operating revenues (expense):										
20	Two-mill tax	1	0	1	577.8%	127	383	(257)	-67.0%	20
21	Three-mill tax	8,712,476	11,399,857	(2,687,381)	-23.6%	10,062,703	16,757,501	(6,694,798)	-40.0%	21
22	Six-mill tax	9,200,285	12,001,623	(2,801,338)	-23.3%	10,610,600	17,662,499	(7,051,900)	-39.9%	22
23	Nine-mill tax	13,790,641	17,989,445	(4,198,804)	-23.3%	15,904,571	26,474,890	(10,570,319)	-39.9%	23
24	Interest income	81,822	25,088	56,734	226.1%	126,009	100,780	25,229	25.0%	24
25	Other Taxes	-	99,238	(99,238)	-100.0%	-	99,238	(99,238)	-100.0%	25
26	Other Income	-	-	-	0.0%	659,632	-	659,632	0.0%	26
27	Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28	Interest expense	(1,477,069)	(1,710,986)	233,917	-13.7%	(7,276,454)	(6,843,944)	(432,510)	6.3%	28
29	Operating and maintenance grants	1,040	-	1,040	0.0%	1,050	-	1,050	0.0%	29
30	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31	Total non-operating revenues	30,309,195	39,804,265	(9,495,070)	-23.9%	30,088,238	54,251,346	(24,163,109)	-44.5%	31
32	Income before capital contributions	30,194,495	35,159,536	(4,965,041)	-14.1%	27,336,642	63,812,081	(36,475,439)	-57.2%	32
33	Capital contributions	2,615,447	4,243	2,611,203	61535.6%	5,070,270	405,220	4,665,049	1151.2%	33
34	Change in net position	32,809,941	35,163,779	(2,353,838)	-6.7%	32,406,912	64,217,301	(31,810,389)	-49.5%	34
35	Transfer of Debt Service Assistance Fund loan payable						-			35
36	Net position, beginning of year					2,526,216,818	2,356,268,052	169,948,766	7.2%	36
37	Net position, end of year					2,567,829,614	2,420,485,353	147,344,261	6.1%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Budget	Variance	%	Actual	Budget	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	8,978,949	9,387,583	(408,634)	-4.4%	37,879,642	37,686,029	193,614	0.5%	1
2	Sewerage service charges and del fees	11,602,517	11,922,782	(320,265)	-2.7%	48,567,302	46,995,350	1,571,952	3.3%	2
3	Plumbing inspection and license fees	41,980	49,451	(7,471)	-15.1%	156,020	201,617	(45,597)	-22.6%	3
4	Other revenues	182,754	27,131	155,623	573.6%	689,634	107,637	581,997	540.7%	4
5	Total operating revenues	20,806,200	21,386,947	(580,747)	-2.7%	87,292,599	84,990,633	2,301,966	2.7%	5
Operating Expenses:										
6	Power and pumping	809,920	1,017,366	(207,446)	-20.4%	3,078,431	4,847,265	(1,768,835)	-36.5%	6
7	Treatment	1,535,375	2,109,188	(573,813)	-27.2%	6,759,303	7,542,842	(783,539)	-10.4%	7
8	Transmission and distribution	1,209,874	2,994,030	(1,784,156)	-59.6%	8,477,674	11,026,980	(2,549,306)	-23.1%	8
9	Customer accounts	384,316	472,445	(88,128)	-18.7%	1,544,401	1,645,496	(101,096)	-6.1%	9
10	Customer service	467,160	586,759	(119,599)	-20.4%	2,672,321	1,899,996	772,326	40.6%	10
11	Administration and general	3,302,845	4,483,020	(1,180,174)	-26.3%	11,403,934	14,951,292	(3,547,358)	-23.7%	11
12	Payroll related	4,812,697	2,503,038	2,309,658	92.3%	17,462,358	9,996,330	7,466,027	74.7%	12
13	Maintenance of general plant	2,079,278	2,032,428	46,849	2.3%	4,829,690	8,175,310	(3,345,621)	-40.9%	13
14	Depreciation	5,697,231	5,901,438	(204,207)	-3.5%	25,962,978	24,667,803	1,295,175	5.3%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	415,293	2,775,106	(2,359,813)	-85.0%	7,200,720	11,102,435	(3,901,715)	-35.1%	16
17	Provision for claims	206,911	257,899	(50,988)	-19.8%	652,385	911,533	(259,149)	-28.4%	17
18	Total operating expenses	20,920,901	25,132,717	(4,211,817)	-16.8%	90,044,194	96,767,283	(6,723,089)	-6.9%	18
19	Operating income (loss)	(114,701)	(3,745,770)	3,631,069	-96.9%	(2,751,596)	(11,776,650)	9,025,055	-76.6%	19
Non-operating revenues (expense):										
20	Two-mill tax	1	-	1	0.0%	127	-	127	0.0%	20
21	Three-mill tax	8,712,476	3,807,450	4,905,026	128.8%	10,062,703	5,351,517	4,711,186	88.0%	21
22	Six-mill tax	9,200,285	5,207,984	3,992,302	76.7%	10,610,600	8,321,007	2,289,593	27.5%	22
23	Nine-mill tax	13,790,641	8,435,936	5,354,705	63.5%	15,904,571	13,612,145	2,292,426	16.8%	23
24	Interest income	81,822	212,226	(130,404)	-61.4%	126,009	848,905	(722,896)	-85.2%	24
25	Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26	Other Income	-	245,128	(245,128)	-100.0%	659,632	980,511	(320,879)	-32.7%	26
27	Interest expense	(1,477,069)	(1,880,635)	403,566	-21.5%	(7,276,454)	(7,522,539)	246,085	-3.3%	27
28	Operating and maintenance grants	1,040	28,564	(27,524)	-96.4%	1,050	114,254	(113,204)	-99.1%	28
29	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30	Total non-operating revenues	30,309,195	16,056,652	14,252,543	88.8%	30,088,238	21,705,800	8,382,438	38.6%	30
31	Income before capital contributions	30,194,495	12,310,882	17,883,613	145.3%	27,336,642	9,929,150	17,407,492	175.3%	31
32	Capital contributions	2,615,447	-	2,615,447	0.0%	5,070,270	-	5,070,270	0.0%	32
33	Change in net position	32,809,941	12,310,882	20,499,059	166.5%	32,406,912	9,929,150	22,477,762	226.4%	33
35	Audit Adjustment									35
36	Net position, beginning of year					2,526,216,818	2,356,268,052	169,948,766	7.2%	36
37	Net position, end of year					2,564,064,806	2,366,197,202	197,867,604	8.4%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	8,978,949	7,784,911	1,194,038	15.3%	37,879,642	38,842,185	(962,542)	-2.5%	1
2	Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3	Plumbing inspection and license fees	41,980	13,248	28,733	216.9%	156,020	72,126	83,894	116.3%	3
4	Other revenues	127,819	84,528	43,291	51.2%	479,785	587,100	(107,315)	-18.3%	4
5	Total operating revenues	9,148,748	7,882,686	1,266,062	16.1%	38,515,447	39,501,410	(985,963)	-2.5%	5
Operating Expenses:										
6	Power and pumping	116,834	266,947	(150,113)	-56.2%	476,596	858,361	(381,765)	-44.5%	6
7	Treatment	553,818	564,654	(10,836)	-1.9%	2,385,740	2,227,173	158,568	7.1%	7
8	Transmission and distribution	476,114	1,125,730	(649,615)	-57.7%	3,379,261	3,859,906	(480,645)	-12.5%	8
9	Customer accounts	191,702	166,560	25,142	15.1%	770,308	688,116	82,192	11.9%	9
10	Customer service	230,925	307,743	(76,819)	-25.0%	1,324,885	986,017	338,868	34.4%	10
11	Administration and general	1,203,524	652,585	550,939	84.4%	4,030,134	2,471,918	1,558,216	63.0%	11
12	Payroll related	1,786,461	1,042,682	743,779	71.3%	6,427,788	6,056,990	370,798	6.1%	12
13	Maintenance of general plant	996,132	1,569,119	(572,987)	-36.5%	2,101,335	4,050,826	(1,949,490)	-48.1%	13
14	Depreciation	1,170,750	1,063,838	106,912	10.0%	4,840,993	4,255,354	585,639	13.8%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	192,352	275,848	(83,497)	-30.3%	3,193,493	1,103,393	2,090,100	189.4%	16
17	Provision for claims	82,764	58,647	24,118	41.1%	260,954	136,092	124,862	91.7%	17
18	Total operating expenses	7,001,376	7,094,353	(92,977)	-1.3%	29,191,486	26,694,145	2,497,341	9.4%	18
19	Operating income (loss)	2,147,371	788,333	1,359,039	172.4%	9,323,961	12,807,265	(3,483,304)	-27.2%	19
Non-operating revenues (expense):										
20	Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21	Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22	Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23	Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24	Interest income	939	9,048	(8,109)	-89.6%	7,250	59,893	(52,643)	-87.9%	24
25	Other Taxes	-	44,161	(44,161)	-100.0%	-	44,161	(44,161)	-100.0%	25
26	Other Income	-	-	-	0.0%	659,632	-	659,632	0.0%	26
27	Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28	Interest expense	(566,881)	(804,046)	237,165	-29.5%	(2,933,443)	(3,216,183)	282,740	-8.8%	28
29	Operating and maintenance grants	1,040	-	1,040	0.0%	1,050	-	1,050	0.0%	29
30	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31	Total non-operating revenues	(564,902)	(750,838)	185,936	-24.8%	(2,265,511)	(3,112,129)	846,619	-27.2%	31
32	Income before capital contributions	1,582,470	37,495	1,544,974	4120.5%	9,955,982	9,695,135	260,846	2.7%	32
33	Capital contributions	2,599,307	4,243	2,595,063	61155.3%	4,502,612	77,280	4,425,333	5726.4%	33
34	Change in net position	4,181,776	41,739	4,140,037	9918.9%	14,458,594	9,772,415	4,686,179	48.0%	34
35	Transfer of Debt Service Assistance Fund loan payable	-	-	-	-	-	-	-	-	35
36	Net position, beginning of year	428,323,564	371,193,548	57,130,016	15.4%					36
37	Net position, end of year	442,782,158	380,965,963	61,816,195	16.2%					37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Budget	Variance	%	Actual	Budget	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	8,978,949	9,387,583	(408,634)	-4.4%	37,879,642	37,686,029	193,614	0.5%	1
2	Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3	Plumbing inspection and license fees	41,980	24,505	17,475	71.3%	156,020	100,823	55,197	54.7%	3
4	Other revenues	127,819	14,881	112,938	758.9%	479,785	54,456	425,328	781.0%	4
5	Total operating revenues	9,148,748	9,426,969	(278,221)	-3.0%	38,515,447	37,841,309	674,138	1.8%	5
Operating Expenses:										
6	Power and pumping	116,834	240,861	(124,027)	-51.5%	476,596	862,483	(385,887)	-44.7%	6
7	Treatment	553,818	793,182	(239,364)	-30.2%	2,385,740	2,995,034	(609,294)	-20.3%	7
8	Transmission and distribution	476,114	1,291,490	(815,376)	-63.1%	3,379,261	4,494,038	(1,114,777)	-24.8%	8
9	Customer accounts	191,702	235,555	(43,854)	-18.6%	770,308	819,222	(48,914)	-6.0%	9
10	Customer service	230,925	289,360	(58,436)	-20.2%	1,324,885	936,702	388,183	41.4%	10
11	Administration and general	1,203,524	1,498,271	(294,746)	-19.7%	4,030,134	5,006,658	(976,524)	-19.5%	11
12	Payroll related	1,786,461	1,035,929	750,532	72.5%	6,427,788	4,154,454	2,273,334	54.7%	12
13	Maintenance of general plant	996,132	977,644	18,489	1.9%	2,101,335	3,692,688	(1,591,353)	-43.1%	13
14	Depreciation	1,170,750	1,224,572	(53,822)	-4.4%	4,840,993	6,454,099	(1,613,107)	-25.0%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	192,352	1,264,255	(1,071,904)	-84.8%	3,193,493	5,057,022	(1,863,529)	-36.9%	16
17	Provision for claims	82,764	99,920	(17,156)	-17.2%	260,954	334,871	(73,917)	-22.1%	17
18	Total operating expenses	7,001,376	8,951,039	(1,949,663)	-21.8%	29,191,486	34,807,270	(5,615,784)	-16.1%	18
19	Operating income (loss)	2,147,371	475,930	1,671,442	351.2%	9,323,961	3,034,038	6,289,923	207.3%	19
Non-operating revenues (expense):										
20	Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21	Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22	Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23	Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24	Interest income	939	89,725	(88,786)	-99.0%	7,250	358,900	(351,650)	-98.0%	24
25	Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26	Other Income	-	143,800	(143,800)	-100.0%	659,632	575,200	84,432	14.7%	26
27	Interest expense	(566,881)	(788,854)	221,974	-28.1%	(2,933,443)	(3,155,417)	221,973	-7.0%	27
28	Operating and maintenance grants	1,040	-	1,040	0.0%	1,050	-	1,050	0.0%	28
29	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30	Total non-operating revenues	(564,902)	(555,329)	(9,573)	1.7%	(2,265,511)	(2,221,317)	(44,194)	2.0%	30
31	Income before capital contributions	1,582,470	(79,400)	1,661,869	-2093.0%	9,955,982	812,722	9,143,260	1125.0%	31
32	Capital contributions	2,599,307	-	2,599,307	0.0%	4,502,612	-	4,502,612	0.0%	32
33	Change in net position	4,181,776	(79,400)	4,261,176	-5366.7%	14,458,594	812,722	13,645,872	1679.0%	33
35	Audit Adjustment	-	-	-	-	-	-	-	-	35
36	Net position, beginning of year	428,323,564	371,193,548	57,130,016	15.4%	428,323,564	371,193,548	57,130,016	15.4%	36
37	Net position, end of year	443,260,790	372,006,270	71,254,520	19.2%	443,260,790	372,006,270	71,254,520	19.2%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2	Sewerage service charges and del fees	11,602,517	9,979,144	1,623,373	16.3%	48,567,302	48,441,962	125,340	0.3%	2
3	Plumbing inspection and license fees	-	13,248	(13,248)	-100.0%	-	71,356	(71,356)	-100.0%	3
4	Other revenues	52,195	13,301	38,894	292.4%	186,734	165,478	21,256	12.8%	4
5	Total operating revenues	11,654,712	10,005,692	1,649,020	16.5%	48,754,036	48,678,795	75,241	0.2%	5
Operating Expenses:										
6	Power and pumping	213,515	277,752	(64,237)	-23.1%	794,918	1,658,853	(863,935)	-52.1%	6
7	Treatment	981,557	3,862,299	(2,880,742)	-74.6%	4,373,563	4,132,292	241,270	5.8%	7
8	Transmission and distribution	491,768	565,637	(73,869)	-13.1%	3,872,985	3,058,823	814,162	26.6%	8
9	Customer accounts	191,701	166,560	25,142	15.1%	770,307	688,116	82,191	11.9%	9
10	Customer service	230,924	307,743	(76,819)	-25.0%	1,324,884	986,016	338,868	34.4%	10
11	Administration and general	1,422,326	797,030	625,296	78.5%	5,001,001	2,661,831	2,339,171	87.9%	11
12	Payroll related	1,791,009	1,056,739	734,271	69.5%	6,440,785	6,107,016	333,768	5.5%	12
13	Maintenance of general plant	557,737	504,541	53,196	10.5%	1,664,492	1,725,595	(61,102)	-3.5%	13
14	Depreciation	2,263,568	2,348,820	(85,252)	-3.6%	10,522,456	9,395,280	1,127,176	12.0%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	221,821	346,465	(124,644)	-36.0%	4,006,107	804,782	3,201,325	397.8%	16
17	Provision for claims	82,764	58,647	24,118	41.1%	260,954	138,492	122,462	88.4%	17
18	Total operating expenses	8,448,692	10,292,232	(1,843,540)	-17.9%	39,032,451	31,357,096	7,675,355	24.5%	18
19	Operating income (loss)	3,206,020	(286,540)	3,492,560	-1218.9%	9,721,585	17,321,699	(7,600,114)	-43.9%	19
Non-operating revenues (expense):										
20	Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21	Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22	Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23	Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24	Interest income	79,585	1,520	78,065	5136.3%	113,277	9,980	103,297	1035.0%	24
25	Other Taxes	-	55,077	(55,077)	-100.0%	-	55,077	(55,077)	-100.0%	25
26	Other Income	-	-	-	0.0%	-	-	-	0.0%	26
27	Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28	Interest expense	(910,188)	(906,940)	(3,248)	0.4%	(4,343,011)	(3,627,761)	(715,250)	19.7%	28
29	Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31	Total non-operating revenues	(830,603)	(850,343)	19,740	-2.3%	(4,229,734)	(3,562,704)	(667,030)	18.7%	31
32	Income before capital contributions	2,375,417	(1,136,883)	3,512,300	-308.9%	9,285,755	13,758,995	(4,473,240)	-32.5%	32
33	Capital contributions	3,179	-	3,179	0.0%	397,120	234,627	162,494	69.3%	33
34	Change in net position	2,378,596	(1,136,883)	3,515,480	-309.2%	9,682,876	13,993,622	(4,310,746)	-30.8%	34
35	Transfer of Debt Service Assistance Fund loan payable	-	-	-	-	-	-	-	-	35
36	Net position, beginning of year	855,514,521	812,112,814	43,401,707	5.3%					36
37	Net position, end of year	865,197,396	826,106,436	39,090,961	4.7%					37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1	-	-	-	0.0%	-	-	-	0.0%	1
2	11,602,517	11,922,782	(320,265)	-2.7%	48,567,302	46,995,350	1,571,952	3.3%	2
3	-	24,946	(24,946)	-100.0%	-	100,794	(100,794)	-100.0%	3
4	52,195	12,250	39,945	326.1%	186,734	53,180	133,554	251.1%	4
5	11,654,712	11,959,978	(305,266)	-2.6%	48,754,036	47,149,324	1,604,712	3.4%	5
Operating Expenses:									
6	213,515	317,305	(103,791)	-32.7%	794,918	1,302,753	(507,835)	-39.0%	6
7	981,557	1,316,006	(334,449)	-25.4%	4,373,563	4,547,808	(174,246)	-3.8%	7
8	491,768	1,351,904	(860,136)	-63.6%	3,872,985	5,065,484	(1,192,499)	-23.5%	8
9	191,701	236,107	(44,405)	-18.8%	770,307	821,426	(51,119)	-6.2%	9
10	230,924	289,473	(58,549)	-20.2%	1,324,884	938,650	386,234	41.1%	10
11	1,422,326	2,036,809	(614,483)	-30.2%	5,001,001	6,791,929	(1,790,928)	-26.4%	11
12	1,791,009	850,192	940,817	110.7%	6,440,785	3,392,214	3,048,570	89.9%	12
13	557,737	682,389	(124,652)	-18.3%	1,664,492	3,045,103	(1,380,611)	-45.3%	13
14	2,263,568	2,431,415	(167,847)	-6.9%	10,522,456	9,471,634	1,050,822	11.1%	14
15	-	-	-	0.0%	-	-	-	0.0%	15
16	221,821	1,503,054	(1,281,232)	-85.2%	4,006,107	6,012,215	(2,006,108)	-33.4%	16
17	82,764	101,537	(18,773)	-18.5%	260,954	362,573	(101,620)	-28.0%	17
18	8,448,692	11,116,191	(2,667,499)	-24.0%	39,032,451	41,751,789	(2,719,338)	-6.5%	18
19	3,206,020	843,787	2,362,233	280.0%	9,721,585	5,397,535	4,324,050	80.1%	19
Non-operating revenues (expense):									
20	-	-	-	0.0%	-	-	-	0.0%	20
21	-	-	-	0.0%	-	-	-	0.0%	21
22	-	-	-	0.0%	-	-	-	0.0%	22
23	-	-	-	0.0%	-	-	-	0.0%	23
24	79,585	108,167	(28,582)	-26.4%	113,277	432,667	(319,390)	-73.8%	24
25	-	-	-	0.0%	-	-	-	0.0%	25
26	-	58,617	(58,617)	-100.0%	-	234,467	(234,467)	-100.0%	26
27	(910,188)	(1,084,772)	174,584	-16.1%	(4,343,011)	(4,339,089)	(3,922)	0.1%	27
28	-	-	-	0.0%	-	-	-	0.0%	28
29	-	-	-	0.0%	-	-	-	0.0%	29
30	(830,603)	(917,989)	87,386	-9.5%	(4,229,734)	(3,671,956)	(557,778)	15.2%	30
31	2,375,417	(74,202)	2,449,619	-3301.3%	5,491,851	1,725,579	3,766,272	218.3%	31
32	3,179	-	3,179	0.0%	397,120	-	397,120	0.0%	32
33	2,378,596	(74,202)	2,452,798	-3305.6%	5,888,971	1,725,579	4,163,392	241.3%	33
35	-	-	-	-	-	-	-	-	35
36	-	-	-	-	855,514,521	812,112,814	43,401,707	5.3%	36
37	-	-	-	-	863,265,967	813,838,393	49,427,573	6.1%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%	
Operating revenues:									
1	-	-	-	0.0%	-	-	-	0.0%	1
2	-	-	-	0.0%	-	-	-	0.0%	2
3	-	-	-	0.0%	-	-	-	0.0%	3
4	2,740	661	2,078	314.2%	23,116	5,351	17,764	332.0%	4
5	2,740	661	2,078	314.2%	23,116	5,351	17,764	332.0%	5
Operating Expenses:									
6	479,572	767,481	(287,909)	-37.5%	1,806,917	2,951,927	(1,145,010)	-38.8%	6
7	-	-	-	0.0%	-	-	-	0.0%	7
8	241,992	291,516	(49,524)	-17.0%	1,225,429	1,346,130	(120,701)	-9.0%	8
9	913	918	(5)	-0.5%	3,785	3,752	33	0.9%	9
10	5,311	6,374	(1,063)	-16.7%	22,553	25,695	(3,142)	-12.2%	10
11	676,995	389,663	287,332	73.7%	2,372,799	1,465,516	907,283	61.9%	11
12	1,235,226	816,289	418,937	51.3%	4,593,785	4,216,109	377,676	9.0%	12
13	525,409	425,500	99,909	23.5%	1,063,862	1,240,059	(176,197)	-14.2%	13
14	2,262,913	2,416,411	(153,498)	-6.4%	10,599,530	9,665,643	933,887	9.7%	14
15	-	-	-	0.0%	-	-	-	0.0%	15
16	1,120	3,709	(2,590)	-69.8%	1,120	14,838	(13,718)	-92.5%	16
17	41,382	29,323	12,059	41.1%	130,477	(356,087)	486,564	-136.6%	17
18	5,470,832	5,147,184	323,649	6.3%	21,820,257	20,573,581	1,246,676	6.1%	18
19	(5,468,093)	(5,146,522)	(321,570)	6.2%	(21,797,142)	(20,568,230)	(1,228,912)	6.0%	19
Non-operating revenues (expense):									
20	1	0	1	577.8%	127	383	(257)	-67.0%	20
21	8,712,476	11,399,857	(2,687,381)	-23.6%	10,062,703	16,757,501	(6,694,798)	-40.0%	21
22	9,200,285	12,001,623	(2,801,338)	-23.3%	10,610,600	17,662,499	(7,051,900)	-39.9%	22
23	13,790,641	17,989,445	(4,198,804)	-23.3%	15,904,571	26,474,890	(10,570,319)	-39.9%	23
24	1,298	14,521	(13,223)	-91.1%	5,482	30,906	(25,425)	-82.3%	24
25	-	-	-	0.0%	-	-	-	0.0%	25
26	-	-	-	0.0%	-	-	-	0.0%	26
27	-	-	-	0.0%	-	-	-	0.0%	27
28	-	-	-	0.0%	-	-	-	0.0%	28
29	-	-	-	0.0%	-	-	-	0.0%	29
30	-	-	-	0.0%	-	-	-	0.0%	30
31	31,704,700	41,405,446	(9,700,746)	-23.4%	36,583,482	60,926,180	(24,342,697)	-40.0%	31
32	26,236,608	36,258,924	(10,022,316)	-27.6%	17,300,789	40,357,950	(23,057,160)	-57.1%	32
33	12,961	-	12,961	0.0%	170,537	93,314	77,223	82.8%	33
34	26,249,569	36,258,924	(10,009,355)	-27.6%	17,471,327	40,451,264	(22,979,937)	-56.8%	34
35	Transfer of Debt Service Assistance Fund loan payable				-				35
36	Net position, beginning of year				1,242,378,733	1,172,961,690	69,417,043	5.9%	36
37	Net position, end of year				1,259,850,060	1,213,412,954	46,437,106	3.8%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Budget	Variance	%	Actual	Budget	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	-	-	0.0%	-	-	-	0.0%	1	
2	Sewerage service charges and del fees	-	-	0.0%	-	-	-	0.0%	2	
3	Plumbing inspection and license fees	-	-	0.0%	-	-	-	0.0%	3	
4	Other revenues	2,740	2,740	100.0%	23,116	23,116	100.0%	4		
5	Total operating revenues	2,740	2,740	100.0%	23,116	23,116	100.0%	5		
Operating Expenses:										
6	Power and pumping	479,572	459,200	20,372	4.4%	1,806,917	2,682,029	(875,112)	-32.6%	6
7	Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8	Transmission and distribution	241,992	350,636	(108,644)	-31.0%	1,225,429	1,467,459	(242,030)	-16.5%	8
9	Customer accounts	913	783	131	16.7%	3,785	4,849	(1,063)	-21.9%	9
10	Customer service	5,311	7,926	(2,614)	-33.0%	22,553	24,644	(2,091)	-8.5%	10
11	Administration and general	676,995	947,940	(270,945)	-28.6%	2,372,799	3,152,705	(779,906)	-24.7%	11
12	Payroll related	1,235,226	616,917	618,309	100.2%	4,593,785	2,449,662	2,144,123	87.5%	12
13	Maintenance of general plant	525,409	372,396	153,013	41.1%	1,063,862	1,437,519	(373,657)	-26.0%	13
14	Depreciation	2,262,913	2,245,451	17,461	0.8%	10,599,530	8,742,070	1,857,460	21.2%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	1,120	7,797	(6,677)	-85.6%	1,120	33,198	(32,078)	-96.6%	16
17	Provision for claims	41,382	56,442	(15,059)	-26.7%	130,477	214,089	(83,612)	-39.1%	17
18	Total operating expenses	5,470,832	5,065,487	405,345	8.0%	21,820,257	20,208,224	1,612,033	8.0%	18
19	Operating income (loss)	(5,468,093)	(5,065,487)	(402,606)	7.9%	(21,797,142)	(20,208,224)	(1,588,918)	7.9%	19
Non-operating revenues (expense):										
20	Two-mill tax	1	-	1	0.0%	127	-	127	0.0%	20
21	Three-mill tax	8,712,476	3,807,450	4,905,026	128.8%	10,062,703	5,351,517	4,711,186	88.0%	21
22	Six-mill tax	9,200,285	5,207,984	3,992,302	76.7%	10,610,600	8,321,007	2,289,593	27.5%	22
23	Nine-mill tax	13,790,641	8,435,936	5,354,705	63.5%	15,904,571	13,612,145	2,292,426	16.8%	23
24	Interest income	1,298	14,335	(13,037)	-90.9%	5,482	57,338	(51,856)	-90.4%	24
25	Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26	Other Income	-	42,711	(42,711)	-100.0%	-	170,845	(170,845)	-100.0%	26
27	Interest expense	-	(7,008)	7,008	-100.0%	-	(28,033)	28,033	-100.0%	27
28	Operating and maintenance grants	-	28,564	(28,564)	-100.0%	-	114,254	(114,254)	-100.0%	28
29	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30	Total non-operating revenues	31,704,700	17,529,970	14,174,730	80.9%	36,583,482	27,599,073	8,984,410	32.6%	30
31	Income before capital contributions	26,236,608	12,464,484	13,772,124	110.5%	14,786,341	7,390,849	7,395,492	100.1%	31
32	Capital contributions	12,961	-	12,961	0.0%	170,537	-	170,537	0.0%	32
33	Change in net position	26,249,569	12,464,484	13,785,085	110.6%	14,956,878	7,390,849	7,566,029	102.4%	33
35	Audit Adjustment	-	-	-	-	-	-	-	-	35
36	Net position, beginning of year	-	-	-	-	1,242,378,733	1,172,961,690	69,417,043	5.9%	36
37	Net position, end of year	-	-	-	-	1,257,538,049	1,180,352,539	77,185,510	6.5%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
April 2021 Prelim Financials

Assets	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	4,405,255,419	224,134,685	5.1%	4,629,390,104	214,146,245	4.9%	4,415,243,859	1
2 Less: accumulated depreciation	1,120,265,018	50,043,028	4.5%	1,170,308,046	73,359,302	6.7%	1,096,948,744	2
3 Property, plant, and equipment, net	<u>3,284,990,401</u>	<u>174,091,657</u>	<u>5.3%</u>	<u>3,459,082,058</u>	<u>140,786,943</u>	<u>4.2%</u>	<u>3,318,295,115</u>	<u>3</u>
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	4,162,728	92,978,805	2233.6%	97,141,533	92,924,675	2203.6%	4,216,858	4
5 Debt service	24,520,616	(5,914,463)	-24.1%	18,606,154	6,855,728	58.3%	11,750,425	5
6 Debt service reserve	32,089,192	1,353,768	4.2%	33,442,960	1,353,768	4.2%	32,089,192	6
7 Health insurance reserve	1,887,000	626,000	33.2%	2,513,000	626,000	33.2%	1,887,000	7
8 Total restricted cash, cash equivalents, and investments	<u>62,659,537</u>	<u>89,044,110</u>	<u>142.1%</u>	<u>151,703,647</u>	<u>101,760,171</u>	<u>203.8%</u>	<u>49,943,476</u>	<u>8</u>
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	108,577,291	(8,801,033)	-8.1%	99,776,258	37,513,794	60.3%	62,262,464	9
Accounts receivable:								
10 Customers (net of allowance for doubtful accounts)	40,520,874	11,209,368	27.7%	51,730,242	17,674,483	51.9%	34,055,759	10
11 Taxes	4,123,123	-	0.0%	4,123,123	(966,013)	-19.0%	5,089,136	11
12 Interest	2,147	(590)	-27.5%	1,557	1,557	0.0%	0	12
13 Grants	15,980,538	7,589,560	47.5%	23,570,098	6,600,459	38.9%	16,969,639	13
14 Miscellaneous	5,415,134	(3,330,939)	-61.5%	2,084,195	(3,698,603)	-64.0%	5,782,798	14
15 Due from (to) other internal departments	61,014	(158,213)	-259.3%	(97,199)	(97,199)	0.0%	0	15
16 Inventory of supplies	5,137,000	382,935	7.5%	5,519,934	382,935	7.5%	5,136,999	16
17 Prepaid expenses	570,597	287,491	50.4%	858,087	287,490	50.4%	570,597	17
18 Total unrestricted current assets	<u>180,387,717</u>	<u>7,178,579</u>	<u>4.0%</u>	<u>187,566,296</u>	<u>57,698,904</u>	<u>44.4%</u>	<u>129,867,392</u>	<u>18</u>
Other assets:								
19 Funds from/for customer deposits	12,903,167	604,977	4.7%	13,508,144	714,317	5.6%	12,793,827	19
20 Deposits	51,315	-	0.0%	51,315	-	0.0%	51,315	20
21 Total other assets	<u>12,954,482</u>	<u>604,977</u>	<u>4.7%</u>	<u>13,559,459</u>	<u>714,317</u>	<u>5.6%</u>	<u>12,845,142</u>	<u>21</u>
22 TOTAL ASSETS	<u>3,540,992,137</u>	<u>270,919,323</u>	<u>156.1%</u>	<u>3,811,911,460</u>	<u>300,960,335</u>	<u>258.0%</u>	<u>3,510,951,125</u>	<u>22</u>
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	8,991,636	3,409,384	37.9%	12,401,019	(6,304,302)	-50.8%	18,705,321	23
24 Deferred amounts related to OPEB	33,915,245	1,364,882	4.0%	35,280,127	10,464,094	42.2%	24,816,033	24
25 Deferred loss on bond refunding	2,599,743	(261,256)	-10.0%	2,338,487	(261,256)	-10.0%	2,599,743	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	<u>45,506,624</u>	<u>4,513,010</u>	<u>9.9%</u>	<u>50,019,634</u>	<u>3,898,537</u>	<u>8.5%</u>	<u>46,121,097</u>	<u>26</u>
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	<u>3,586,498,761</u>	<u>275,432,333</u>	<u>166.0%</u>	<u>3,861,931,094</u>	<u>304,858,872</u>	<u>266.4%</u>	<u>3,557,072,222</u>	<u>27</u>

SEWERAGE AND WATER BOARD OF NEW ORLEANS
 ALL SYSTEM FUNDS
 STATEMENTS OF NET POSITION
 WITH PRIOR YEAR COMPARISONS
 April 2021 Prelim Financials

	A	B	C	D	E	F	G
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year
NET ASSETS AND LIABILITIES							
Net position							
1 Net investments in capital assets	2,621,760,185	145,325,294	5.5%	2,767,085,479	135,282,727	5.1%	2,631,802,752
2 Restricted for Debt Service	56,609,808	(4,560,695)	-8.1%	52,049,114	8,209,496	18.7%	43,839,618
3 Unrestricted	(257,884,640)	6,579,662	-2.6%	(251,304,978)	68,069,340	-21.3%	(319,374,318)
4 Total net position	2,420,485,353	147,344,261	6.1%	2,567,829,614	211,561,562	9.0%	2,356,268,052
Long-term liabilities							
5 Claims payable	43,209,163	-	0.0%	43,209,163	-	0.0%	43,209,163
6 Accrued vacation and sick pay	9,194,486	745,475	8.1%	9,939,961	871,627	9.6%	9,068,334
7 Net pension obligation	98,136,336	(2,762,034)	-2.8%	95,374,302	(9,667,116)	-9.2%	105,041,418
8 Other postretirement benefits liability	200,964,771	1,987,873	1.0%	202,952,644	15,240,359	8.1%	187,712,285
9 Bonds payable (net of current maturities)	422,546,351	68,776,576	16.3%	491,322,927	68,776,576	16.3%	422,546,351
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-
11 Southeast Louisiana Project liability	250,343,870	30,018,315	12.0%	280,362,185	30,018,315	12.0%	250,343,870
12 Debt Service Assistance Fund Loan payable	40,039,087	(2,773,797)	-6.9%	37,265,290	(2,773,797)	-6.9%	40,039,087
13 Customer deposits	12,903,167	604,977	4.7%	13,508,144	714,317	5.6%	12,793,827
14 Total long-term liabilities	1,077,337,230	95,992,409	8.9%	1,173,934,616	103,180,281	9.6%	1,070,754,335
Current liabilities (payable from current assets)							
15 Accounts payable and other liabilities	27,105,155	24,445,338	90.2%	51,550,492	(16,153,000)	-23.9%	67,703,492
16 Due to City of New Orleans	1,659,295	911,945	55.0%	2,571,240	1,860,686	261.9%	710,554
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-
18 Retainers and estimates payable	3,514,357	3,007,735	85.6%	6,522,092	3,003,146	85.3%	3,518,946
19 Due to other fund	228,539	329,852	144.3%	558,390	215,046	62.6%	343,344
20 Accrued salaries	1,870,314	(5,556,674)	-297.1%	(3,686,360)	(5,871,642)	-268.7%	2,185,282
21 Claims payable	2,882,675	1,368,000	47.5%	4,250,675	626,000	17.3%	3,624,675
22 Total OPEB liability, due within one year	7,689,142	25,998	0.3%	7,715,140	199,331	2.7%	7,515,809
23 Debt Service Assistance Fund Loan payable	2,650,800	122,997	4.6%	2,773,797	122,997	4.6%	2,650,800
24 Advances from federal government	4,656,143	75,231	1.6%	4,731,374	75,867	1.6%	4,655,507
25 Other Liabilities	1,089,985	374,453	34.4%	1,464,438	612,263	71.8%	852,175
26 Total current liabilities (payable from current assets)	53,346,403	25,104,875	47.1%	78,451,278	(15,309,306)	215.3%	93,760,584
Current liabilities (payable from restricted assets)							
27 Accrued interest	2,550,699	67,470	2.6%	2,618,169	67,470	2.6%	2,550,699
28 Bonds payable	17,819,000	(366,000)	-2.1%	17,453,000	(366,000)	-2.1%	17,819,000
29 Retainers and estimates payable	700,777	(614,336)	-87.7%	86,441	(663,052)	-88.5%	749,493
30 Total current liabilities (payable from restricted assets)	21,070,476	(912,866)	-4.3%	20,157,610	(961,582)	-4.6%	21,119,192
31 Total current liabilities	74,416,879	24,192,009	32.5%	98,608,888	(16,270,888)	-14.2%	114,879,776
32 Total liabilities	1,151,754,109	21,418,212	1.9%	1,272,543,504	86,909,393	7.3%	1,185,634,111
Deferred inflows or resources:							
33 Amounts related to net pension liability	7,700,037	7,519,549	97.7%	15,219,587	8,081,279	113.2%	7,138,308
34 Amounts related to total OPEB liability	6,559,262	(220,873)	-3.4%	6,338,388	(1,693,363)	-21.1%	8,031,751
35 TOTAL DEFERRED INFLOWS OF RESOURCES	14,259,299	7,519,549	52.7%	21,557,975	6,387,916	42.1%	15,170,059
36 Total Net Position, Liabilities and Deferred Inflows of Resources	3,586,498,762	168,762,473	4.7%	3,861,931,094	304,858,872	8.6%	3,557,072,222

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
April 2021 Prelim Financials

Assets	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	1,015,986,842	67,257,507	6.6%	1,083,244,349	60,818,364	5.9%	1,022,425,985	1
2 Less: accumulated depreciation	371,491,526	11,084,015	3.0%	382,575,541	15,339,369	4.2%	367,236,172	2
3 Property, plant, and equipment, net	<u>644,495,316</u>	<u>56,173,492</u>	<u>8.7%</u>	<u>700,668,808</u>	<u>45,478,995</u>	<u>6.9%</u>	<u>655,189,813</u>	<u>3</u>
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	3,024,522	6,286,306	207.8%	9,310,829	6,219,494	201.2%	3,091,335	4
5 Debt service	5,505,173	(1,486,162)	-27.0%	4,019,011	(911,634)	-22.7%	3,107,377	5
6 Debt service reserve	13,473,550	-	0.0%	13,473,550	-	0.0%	13,473,550	6
7 Health insurance reserve	638,113	250,400	39.2%	888,513	250,400	39.2%	638,113	7
8 Total restricted cash, cash equivalents, and investments	<u>22,641,358</u>	<u>5,050,545</u>	<u>22.3%</u>	<u>27,691,903</u>	<u>7,381,528</u>	<u>36.3%</u>	<u>20,310,375</u>	<u>8</u>
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	15,434,125	(5,512,147)	-35.7%	9,921,978	(10,472,468)	-105.5%	20,394,446	9
Accounts receivable:								
10 Customers (net of allowance for doubtful accounts)	19,563,989	4,832,876	24.7%	24,396,865	7,754,225	31.8%	16,642,640	10
11 Taxes	-	-	0.0%	-	-	0.0%	-	11
12 Interest	-	-	0.0%	-	-	0.0%	-	12
13 Grants	10,054,228	12,282,368	122.2%	22,336,596	11,507,642	106.3%	10,828,954	13
14 Miscellaneous	655,004	15,669	2.4%	670,672	(128,361)	-16.1%	799,033	14
15 Due from (to) other internal departments	(2,651,203)	133,512	-5.0%	(2,517,691)	2,300,401	-47.7%	(4,818,092)	15
16 Inventory of supplies	2,380,984	153,174	6.4%	2,534,158	153,174	6.4%	2,380,984	16
17 Prepaid expenses	284,829	114,996	40.4%	399,825	114,996	40.4%	284,829	17
18 Total unrestricted current assets	<u>45,721,956</u>	<u>12,020,447</u>	<u>26.3%</u>	<u>57,742,403</u>	<u>11,229,609</u>	<u>24.1%</u>	<u>46,512,794</u>	<u>18</u>
Other assets:								
19 Funds from/for customer deposits	12,903,167	604,977	4.7%	13,508,144	714,317	5.6%	12,793,827	19
20 Deposits	22,950	-	0.0%	22,950	-	0.0%	22,950	20
21 Total other assets	<u>12,926,117</u>	<u>604,977</u>	<u>4.7%</u>	<u>13,531,094</u>	<u>714,317</u>	<u>5.6%</u>	<u>12,816,777</u>	<u>21</u>
22 TOTAL ASSETS	<u>725,784,747</u>	<u>73,849,461</u>	<u>62.0%</u>	<u>799,634,208</u>	<u>64,804,449</u>	<u>73.0%</u>	<u>734,829,759</u>	<u>22</u>
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	2,997,212	1,136,461	37.9%	4,133,673	(2,101,434)	-33.7%	6,235,107	23
24 Deferred amounts related to OPEB	11,305,082	454,961	4.0%	11,760,042	3,488,031	42.2%	8,272,011	24
25 Deferred loss on bond refunding	10,886	(4,362)	-40.1%	6,524	(4,362)	-40.1%	10,886	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	<u>14,313,180</u>	<u>1,587,059</u>	<u>11.1%</u>	<u>15,900,239</u>	<u>1,382,235</u>	<u>9.5%</u>	<u>14,518,004</u>	<u>26</u>
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	<u>740,097,927</u>	<u>75,436,520</u>	<u>73.1%</u>	<u>815,534,447</u>	<u>66,186,684</u>	<u>82.5%</u>	<u>749,347,763</u>	<u>27</u>

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	
NET ASSETS AND LIABILITIES	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Net position								
1 Net investments in capital assets	442,286,631	63,035,475	14.3%	505,322,107	56,529,520	12.6%	448,792,587	1
2 Restricted for Debt Service	18,978,723	(1,486,162)	-7.8%	17,492,561	911,634	5.5%	16,580,927	2
3 Unrestricted	(80,299,391)	266,881	-0.3%	(80,032,510)	14,147,456	-15.0%	(94,179,966)	3
4 Total net position	<u>380,965,963</u>	<u>61,816,195</u>	<u>16.2%</u>	<u>442,782,158</u>	<u>71,588,610</u>	<u>19.3%</u>	<u>371,193,548</u>	<u>4</u>
Long-term liabilities								
5 Claims payable	3,879,578	-	0.0%	3,879,578	-	0.0%	3,879,578	5
6 Accrued vacation and sick pay	3,724,080	286,149	7.7%	4,010,229	335,099	9.1%	3,675,130	6
7 Net pension obligation	32,712,112	(920,678)	-2.8%	31,791,434	(3,222,372)	-9.2%	35,013,806	7
8 Other postretirement benefits liability	66,988,257	662,624	1.0%	67,650,882	5,080,120	8.1%	62,570,762	8
9 Bonds payable (net of current maturities)	205,663,561	(5,011,031)	-2.4%	200,652,530	(5,011,031)	-2.4%	205,663,561	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-	11
12 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	12
13 Customer deposits	12,903,167	604,977	4.7%	13,508,144	714,317	5.6%	12,793,827	13
14 Total long-term liabilities	<u>325,870,755</u>	<u>(4,982,936)</u>	<u>-1.5%</u>	<u>321,492,797</u>	<u>(2,103,867)</u>	<u>-0.7%</u>	<u>323,596,664</u>	<u>14</u>
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	13,364,953	13,812,978	103.4%	27,177,931	(7,938,515)	-22.6%	35,116,446	15
16 Due to City of New Orleans	1,659,295	911,945	55.0%	2,571,240	1,860,686	261.9%	710,554	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	461,352	2,526,872	547.7%	2,988,225	2,532,971	556.4%	455,254	18
19 Due to other fund	111,624	160,928	144.2%	272,553	46,123	20.4%	226,430	19
20 Accrued salaries	626,056	(2,321,176)	-370.8%	(1,695,121)	(2,475,081)	-317.3%	779,960	20
21 Claims payable	1,089,171	378,567	34.8%	1,467,738	250,400	20.6%	1,217,338	21
22 Other postretirement benefits liability	2,563,047	8,666	0.3%	2,571,713	66,440	2.7%	2,505,273	22
23 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	23
24 Advances from federal government	2,679,837	75,231	2.8%	2,755,068	75,866	2.8%	2,679,202	24
25 Other Liabilities	820,467	270,560	33.0%	1,091,027	461,641	73.3%	629,386	25
26 Total current liabilities (payable from current assets)	<u>23,375,803</u>	<u>15,824,571</u>	<u>67.7%</u>	<u>39,200,374</u>	<u>(5,119,469)</u>	<u>-11.6%</u>	<u>44,319,843</u>	<u>26</u>
Current liabilities (payable from restricted assets)								
27 Accrued interest	883,851	(94,997)	-10.7%	788,854	(94,997)	-10.7%	883,851	27
28 Bonds payable	3,825,000	180,000	4.7%	4,005,000	180,000	4.7%	3,825,000	28
29 Retainers and estimates payable	423,455	(344,182)	-81.3%	79,273	(392,898)	-83.2%	472,171	29
30 Total current liabilities (payable from restricted assets)	<u>5,132,306</u>	<u>(259,179)</u>	<u>-5.0%</u>	<u>4,873,127</u>	<u>(307,895)</u>	<u>-5.9%</u>	<u>5,181,022</u>	<u>30</u>
31 TOTAL CURRENT LIABILITIES	<u>28,508,109</u>	<u>15,565,392</u>	<u>54.6%</u>	<u>44,073,501</u>	<u>(5,427,364)</u>	<u>-11.0%</u>	<u>49,500,865</u>	<u>31</u>
32 TOTAL LIABILITIES	<u>354,378,864</u>	<u>10,582,456</u>	<u>3.0%</u>	<u>365,566,297</u>	<u>(7,531,232)</u>	<u>-2.0%</u>	<u>373,097,529</u>	<u>32</u>
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,566,679	2,506,516	97.7%	5,073,196	1,868,773	78.5%	2,379,436	33
34 Amounts related to total OPEB liability	2,186,420	(73,624)	-3.4%	2,112,796	4,015,877	150.0%	2,677,250	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	<u>4,753,099</u>	<u>2,432,892</u>	<u>51.2%</u>	<u>7,185,991</u>	<u>5,884,650</u>	<u>116.4%</u>	<u>5,056,686</u>	<u>35</u>
36 Total Net Position, Liabilities and Deferred Inflows of Resources	<u>740,097,927</u>	<u>72,398,651</u>	<u>9.8%</u>	<u>815,534,447</u>	<u>66,186,684</u>	<u>8.8%</u>	<u>749,347,763</u>	<u>36</u>

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
April 2021 Prelim Financials

Assets	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	1,433,616,068	41,895,149	2.9%	1,475,511,217	43,128,207	3.0%	1,432,383,010	1
2 Less: accumulated depreciation	343,958,264	18,285,092	5.3%	362,243,356	27,680,371	8.3%	334,562,985	2
3 Property, plant, and equipment, net	<u>1,089,657,804</u>	<u>23,610,057</u>	<u>2.2%</u>	<u>1,113,267,861</u>	<u>15,447,836</u>	<u>1.4%</u>	<u>1,097,820,025</u>	<u>3</u>
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	857,076	83,707,458	9766.6%	84,564,534	83,686,526	9531.4%	878,008	4
5 Debt service	16,924,061	(4,071,918)	-24.1%	12,852,143	4,264,526	49.7%	8,587,616	5
6 Debt service reserve	18,615,642	1,353,768	7.3%	19,969,410	1,353,768	7.3%	18,615,642	6
7 Health insurance reserve	603,855	250,400	41.5%	854,255	250,400	41.5%	603,855	7
8 Total restricted cash, cash equivalents, and investments	<u>37,000,634</u>	<u>81,239,708</u>	<u>219.6%</u>	<u>118,240,342</u>	<u>89,555,220</u>	<u>312.2%</u>	<u>28,685,122</u>	<u>8</u>
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	35,214,000	10,016,223	28.4%	45,230,224	12,553,584	38.4%	32,676,640	9
Accounts receivable:								
10 Customers (net of allowance for doubtful accounts)	20,971,723	6,366,134	30.4%	27,337,856	9,924,737	57.0%	17,413,119	10
11 Taxes	-	-	0.0%	-	-	0.0%	-	11
12 Interest	2,147	(590)	-27.5%	1,557	1,557	0.0%	-	12
13 Grants	1,706,935	(1,337,873)	-78.4%	369,062	(1,452,209)	-79.7%	1,821,271	13
14 Miscellaneous	711,910	2,029	0.3%	713,939	(148,667)	-17.2%	862,606	14
15 Due from (to) other internal departments	22,678,237	(9,167,822)	-40.4%	13,510,414	(4,040,429)	-23.0%	17,550,843	15
16 Inventory of supplies	1,635,632	153,174	9.4%	1,788,806	153,174	9.4%	1,635,632	16
17 Prepaid expenses	130,248	114,996	88.3%	245,244	114,996	88.3%	130,248	17
18 Total unrestricted current assets	<u>83,050,830</u>	<u>6,146,272</u>	<u>7.4%</u>	<u>89,197,102</u>	<u>17,106,743</u>	<u>23.7%</u>	<u>72,090,359</u>	<u>18</u>
Other assets:								
19 Funds from/for customer deposits	-	-	0.0%	-	-	0.0%	-	19
20 Deposits	17,965	-	0.0%	17,965	-	0.0%	17,965	20
21 Total other assets	<u>17,965</u>	<u>-</u>	<u>0.0%</u>	<u>17,965</u>	<u>-</u>	<u>0.0%</u>	<u>17,965</u>	<u>21</u>
22 TOTAL ASSETS	<u>1,209,727,234</u>	<u>110,996,036</u>	<u>229.1%</u>	<u>1,320,723,270</u>	<u>122,109,799</u>	<u>337.3%</u>	<u>1,198,613,471</u>	<u>22</u>
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	2,997,212	1,136,461	37.9%	4,133,673	(2,101,434)	-50.8%	6,235,107	23
24 Deferred amounts related to OPEB	11,305,080	454,961	4.0%	11,760,041	3,488,031	42.2%	8,272,010	24
25 Deferred loss on bond refunding	2,513,395	(245,374)	-9.8%	2,268,021	(245,374)	-9.8%	2,513,395	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	<u>16,815,687</u>	<u>1,346,048</u>	<u>8.0%</u>	<u>18,161,735</u>	<u>1,141,223</u>	<u>6.7%</u>	<u>17,020,512</u>	<u>26</u>
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	<u>1,226,542,921</u>	<u>112,342,084</u>	<u>237.1%</u>	<u>1,338,885,005</u>	<u>123,251,022</u>	<u>344.0%</u>	<u>1,215,633,983</u>	<u>27</u>

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	
NET ASSETS AND LIABILITIES	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Net position								
1 Net investments in capital assets	874,935,813	22,747,359	2.6%	897,683,173	23,959,486	2.7%	873,723,687	1
2 Restricted for Debt Service	35,539,703	(2,718,150)	-7.6%	32,821,553	5,618,294	20.7%	27,203,259	2
3 Unrestricted	(84,369,080)	19,061,751	-22.6%	(65,307,329)	23,506,803	-26.5%	(88,814,132)	3
4 Total net position	<u>826,106,436</u>	<u>39,090,960</u>	<u>4.7%</u>	<u>865,197,396</u>	<u>53,084,582</u>	<u>6.5%</u>	<u>812,112,814</u>	4
Long-term liabilities								
5 Claims payable	4,937,099	-	0.0%	4,937,099	-	0.0%	4,937,099	5
6 Accrued vacation and sick pay	3,514,586	323,488	9.2%	3,838,074	375,686	10.9%	3,462,388	6
7 Net pension obligation	32,712,112	(920,678)	-2.8%	31,791,434	(3,222,372)	-9.2%	35,013,806	7
8 Other postretirement benefits liability	66,988,256	662,624	1.0%	67,650,880	5,080,119	8.1%	62,570,761	8
9 Bonds payable (net of current maturities)	212,875,346	75,780,876	35.6%	288,656,222	75,780,876	35.6%	212,875,346	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-	11
12 Debt Service Assistance Fund Loan payable	40,039,087	(2,773,797)	-6.9%	37,265,290	(2,773,797)	-6.9%	40,039,087	12
13 Customer deposits	-	-	0.0%	-	-	0.0%	-	13
14 Total long-term liabilities	<u>361,066,486</u>	<u>73,072,513</u>	<u>20.2%</u>	<u>434,138,999</u>	<u>75,240,512</u>	<u>21.0%</u>	<u>358,898,487</u>	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	9,966,302	(530,657)	-5.3%	9,435,645	(5,324,815)	-36.1%	14,760,460	15
16 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	1,384,852	438,961	31.7%	1,823,813	414,487	29.4%	1,409,326	18
19 Due to other fund	52,324	77,189	147.5%	129,513	77,189	147.5%	52,324	19
20 Accrued salaries	731,772	(2,193,292)	-299.7%	(1,461,520)	(2,300,277)	-274.2%	838,757	20
21 Claims payable	1,057,413	376,067	35.6%	1,433,480	250,400	21.2%	1,183,080	21
22 Other postretirement benefits liability	2,563,047	8,666	0.3%	2,571,713	66,440	2.7%	2,505,273	22
23 Debt Service Assistance Fund Loan payable	2,650,800	122,997	4.6%	2,773,797	122,997	4.6%	2,650,800	23
24 Advances from federal government	1,976,305	-	0.0%	1,976,305	0	0.0%	1,976,305	24
25 Other Liabilities	253,503	103,893	41.0%	357,396	148,307	70.9%	209,089	25
26 Total current liabilities (payable from current assets)	<u>20,636,318</u>	<u>(1,596,175)</u>	<u>-7.7%</u>	<u>19,040,143</u>	<u>(6,545,271)</u>	<u>-25.6%</u>	<u>25,585,414</u>	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	1,604,260	218,047	13.6%	1,822,307	218,047	13.6%	1,604,260	27
28 Bonds payable	12,099,000	(606,000)	-5.0%	11,493,000	606,000	5.0%	12,099,000	28
29 Retainers and estimates payable	277,322	(270,154)	-97.4%	7,168	270,154	97.4%	277,322	29
30 Total current liabilities (payable from restricted assets)	<u>13,980,582</u>	<u>(658,107)</u>	<u>-4.7%</u>	<u>13,322,475</u>	<u>(658,107)</u>	<u>-4.7%</u>	<u>13,980,582</u>	30
31 TOTAL CURRENT LIABILITIES	<u>34,616,900</u>	<u>(2,254,282)</u>	<u>-6.5%</u>	<u>32,362,618</u>	<u>(7,203,378)</u>	<u>-18.2%</u>	<u>39,565,996</u>	31
32 TOTAL LIABILITIES	<u>395,683,386</u>	<u>70,818,232</u>	<u>17.9%</u>	<u>466,501,617</u>	<u>68,037,134</u>	<u>17.1%</u>	<u>398,464,483</u>	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,566,679	2,506,516	97.7%	5,073,196	2,693,760	113.2%	2,379,436	33
34 Amounts related to total OPEB liability	2,186,420	(73,624)	-3.4%	2,112,796	(564,454)	-21.1%	2,677,250	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	<u>4,753,099</u>	<u>2,432,892</u>	<u>51.2%</u>	<u>7,185,991</u>	<u>2,129,305</u>	<u>42.1%</u>	<u>5,056,686</u>	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	<u>1,226,542,921</u>	<u>109,909,192</u>	<u>9.0%</u>	<u>1,338,885,005</u>	<u>123,251,022</u>	<u>10.1%</u>	<u>1,215,633,983</u>	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
April 2021 Prelim Financials

Assets	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	1,955,652,509	114,982,029	5.9%	2,070,634,538	110,199,674	5.6%	1,960,434,864	1
2 Less: accumulated depreciation	404,815,228	20,673,921	5.1%	425,489,149	30,339,562	7.7%	395,149,587	2
3 Property, plant, and equipment, net	<u>1,550,837,281</u>	<u>94,308,108</u>	<u>6.1%</u>	<u>1,645,145,389</u>	<u>79,860,112</u>	<u>5.1%</u>	<u>1,565,285,277</u>	<u>3</u>
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	281,130	2,985,040	0.0%	3,266,171	3,018,656	1219.6%	247,515	4
5 Debt service	2,091,382	(356,382)	-17.0%	1,735,000	1,679,568	3030.0%	55,432	5
6 Debt service reserve	-	-	0.0%	-	-	0.0%	-	6
7 Health insurance reserve	645,032	125,200	19.4%	770,232	125,200	19.4%	645,032	7
8 Total restricted cash, cash equivalents, and investments	<u>3,017,545</u>	<u>2,753,858</u>	<u>91.3%</u>	<u>5,771,402</u>	<u>4,823,423</u>	<u>508.8%</u>	<u>947,979</u>	<u>8</u>
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	57,929,166	(13,305,109)	-23.0%	44,624,057	35,432,679	385.5%	9,191,378	9
Accounts receivable:	-							
10 Customers (net of allowance for doubtful accounts)	(14,838)	10,358	-69.8%	(4,479)	(4,479)	0.0%	-	10
11 Taxes	4,123,123	-	0.0%	4,123,123	(966,013)	-19.0%	5,089,136	11
12 Interest	-	-	0.0%	-	-	0.0%	-	12
13 Grants	4,219,375	(3,354,935)	-79.5%	864,441	(3,454,973)	-80.0%	4,319,414	13
14 Miscellaneous	4,048,221	(3,348,637)	-82.7%	699,583	(3,421,576)	-83.0%	4,121,159	14
15 Due from (to) other internal departments	(19,966,019)	8,876,097	-44.5%	(11,089,922)	1,642,829	-12.9%	(12,732,751)	15
16 Inventory of supplies	1,120,384	76,587	6.8%	1,196,971	76,588	6.8%	1,120,383	16
17 Prepaid expenses	155,520	57,498	37.0%	213,018	57,498	37.0%	155,520	17
18 Total unrestricted current assets	<u>51,614,931</u>	<u>(10,988,140)</u>	<u>-21.3%</u>	<u>40,626,791</u>	<u>29,362,552</u>	<u>260.7%</u>	<u>11,264,239</u>	<u>18</u>
Other assets:								
19 Funds from/for customer deposits	-	-	0.0%	-	-	0.0%	-	19
20 Deposits	10,400	-	0.0%	10,400	-	0.0%	10,400	20
21 Total other assets	<u>10,400</u>	<u>-</u>	<u>0.0%</u>	<u>10,400</u>	<u>-</u>	<u>0.0%</u>	<u>10,400</u>	<u>21</u>
22 TOTAL ASSETS	<u>1,605,480,157</u>	<u>86,073,826</u>	<u>76.1%</u>	<u>1,691,553,982</u>	<u>114,046,087</u>	<u>774.6%</u>	<u>1,577,507,895</u>	<u>22</u>
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	2,997,212	1,136,461	37.9%	4,133,673	(2,101,434)	-33.7%	6,235,107	23
24 Deferred amounts related to OPEB	11,305,083	454,961	4.0%	11,760,044	3,488,032	42.2%	8,272,012	24
25 Deferred loss on bond refunding	75,462	(11,519)	-15.3%	63,943	(11,519)	-15.3%	75,462	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	<u>14,377,757</u>	<u>1,579,903</u>	<u>11.0%</u>	<u>15,957,660</u>	<u>1,375,079</u>	<u>9.4%</u>	<u>14,582,581</u>	<u>26</u>
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	<u>1,619,857,914</u>	<u>87,653,728</u>	<u>87.0%</u>	<u>1,707,511,642</u>	<u>115,421,166</u>	<u>784.0%</u>	<u>1,592,090,476</u>	<u>27</u>

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
April 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
NET ASSETS AND LIABILITIES								
Net position								
1	1,304,537,741	59,542,459	4.6%	1,364,080,199	54,793,721	4.2%	1,309,286,478	1
2	2,091,382	(356,382)	-17.0%	1,735,000	1,679,568	3030.0%	55,432	2
3	(93,216,169)	(12,748,970)	13.7%	(105,965,139)	30,415,081	-22.3%	(136,380,220)	3
4	1,213,412,954	46,437,106	3.8%	1,259,850,060	86,888,370	7.4%	1,172,961,690	4
Long-term liabilities								
5	34,392,486	-	0.0%	34,392,486	-	0.0%	34,392,486	5
6	1,955,820	135,838	6.9%	2,091,658	160,842	8.3%	1,930,816	6
7	32,712,112	(920,678)	-2.8%	31,791,434	(3,222,372)	-9.2%	35,013,806	7
8	66,988,257	662,625	1.0%	67,650,882	5,080,120	8.1%	62,570,762	8
9	4,007,444	(1,993,269)	-49.7%	2,014,175	(1,993,269)	-49.7%	4,007,444	9
10	-	-	0.0%	-	-	0.0%	-	10
11	250,343,870	30,018,315	12.0%	280,362,185	30,018,315	12.0%	250,343,870	11
12	-	-	0.0%	-	-	0.0%	-	12
13	-	-	0.0%	-	-	0.0%	-	13
14	390,399,989	27,902,831	7.1%	418,302,820	30,043,636	7.7%	388,259,184	14
Current liabilities (payable from current assets)								
15	3,773,900	11,163,017	295.8%	14,936,917	(2,889,669)	-16.2%	17,826,586	15
16	-	-	0.0%	-	-	0.0%	-	16
17	-	-	0.0%	-	-	0.0%	-	17
18	1,668,153	41,901	2.5%	1,710,054	55,688	3.4%	1,654,366	18
19	64,590	91,734	142.0%	156,325	91,735	142.0%	64,590	19
20	512,486	(1,042,206)	-203.4%	(529,720)	(1,096,285)	-193.5%	566,565	20
21	736,090	613,367	83.3%	1,349,457	125,200	10.2%	1,224,257	21
22	2,563,047	8,666	0.3%	2,571,713	66,450	2.7%	2,505,263	22
23	-	-	0.0%	-	-	0.0%	-	23
24	-	-	0.0%	-	-	0.0%	-	24
25	16,016	-	0.0%	16,016	2,316	16.9%	13,700	25
26	9,334,282	10,876,479	116.5%	20,210,761	(3,644,566)	-15.3%	23,855,327	26
Current liabilities (payable from restricted assets)								
27	62,588	(55,580)	-88.8%	7,008	(55,580)	-88.8%	62,588	27
28	1,895,000	60,000	3.2%	1,955,000	(60,000)	-3.2%	1,895,000	28
29	-	-	0.0%	-	-	0.0%	-	29
30	1,957,588	4,420	0.2%	1,962,008	4,420	0.2%	1,957,588	30
31	11,291,870	10,880,899	96.4%	22,172,769	(3,640,146)	-14.1%	25,812,915	31
32	401,691,859	38,783,730	9.7%	440,475,589	26,403,490	6.4%	414,072,099	32
Deferred inflows or resources:								
33	2,566,679	2,506,516	97.7%	5,073,196	2,693,760	113.2%	2,379,436	33
34	2,186,421	-	0.0%	2,112,797	-	0.0%	2,677,251	34
35	4,753,101	2,432,892	51.2%	7,185,993	2,129,306	42.1%	5,056,687	35
36	1,619,857,914	85,220,836	5.3%	1,707,511,642	115,421,166	7.2%	1,592,090,476	36

Customer Receivables COVID-19 Impacts

Finance & Administration Committee, June 9, 2021
Grey Lewis, Chief Financial Officer



Customer Receivables COVID-19 Impacts



- In response to the public health crisis, SWBNO suspended water shut-offs and normal collections practices beginning in March 2020
- Consistent with other utilities across the nation there has been a sharp rise in customer past due receivables as a direct result
- Congress appropriated \$638m in the Consolidated Appropriations Act of 2021 and an additional \$500m in the American Rescue Plan Act of 2021 for a new emergency water and wastewater assistance program – “Low-Income Household Drinking Water and Wastewater Emergency Assistance Program (LIHWAP)”
- The estimated funds LIHWAP for SWBNO customers is \$3m based on preliminary information

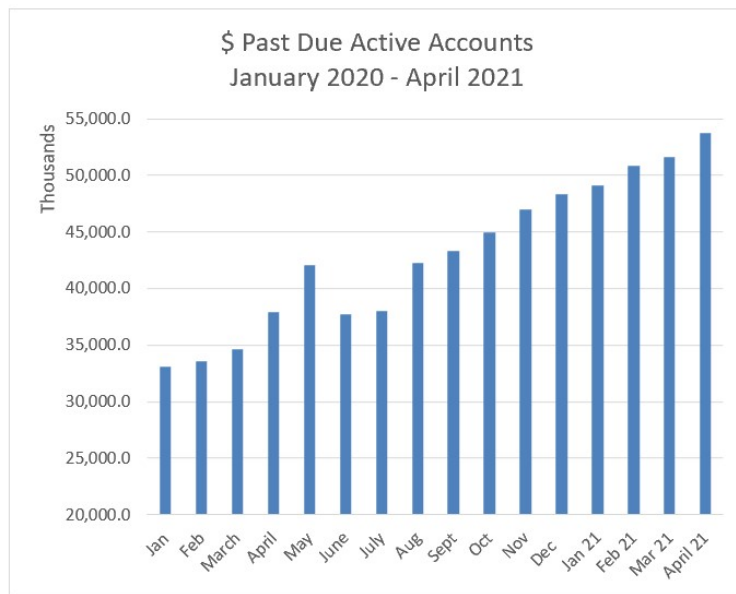
Customer Receivables COVID-19 Impacts



- Customer payment relief from the federal government is anticipated for qualifying households unable to pay utility bills during the pandemic which should address a portion of past due amounts
- In preparation for the coordination efforts that will be required between City and State partners and Total Community Action (TCA), who is expected to assist in identifying qualifying SWBNO customers, we have analyzed the expected period of possible payment relief and held exploratory meetings as implementation guidance is evolving
- Given federal payment relief resources are not expected to apply to all customers this analysis aims to inform the Board of Directors of the impacts of continued suspension of water shut-offs



January 2020 to April 2021 Past due Active Customer Balances

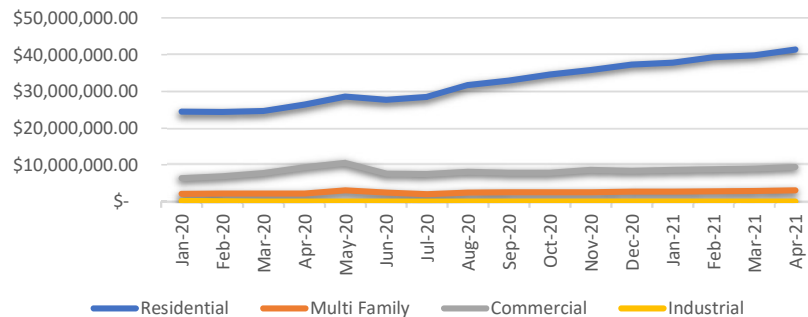


- Past Due Active Customers are defined as those customers with bills >60 days past due (excluding any customer account which is under a formal dispute)
- At the end of January 2020, the Total Past due balances were \$33.1m (comprising 25,485 accounts of which 92% are residential customers)
- At the end of April 2021, the Total Past due balances were \$53.8m (comprising 24,973 accounts of which 93% are residential customers) a 62% increase in amounts owed from customers
- Steady monthly increases experienced except for early Summer 2020 months

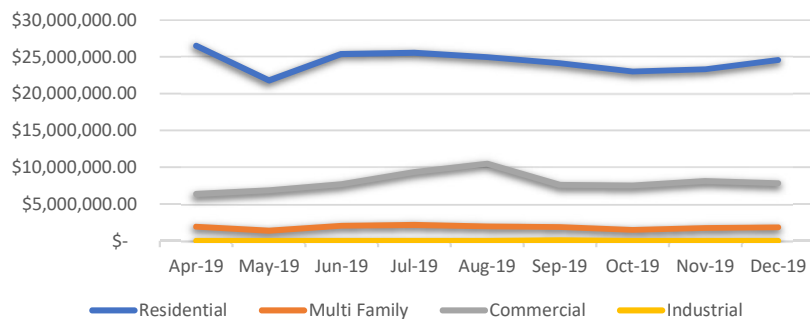


January 2020 to April 2021 Past due Active Customer Balances

**Past due by Class of Customers
January 2020 - April 2021**



**Past due by Class of Customers -
April 2019 - December 2019**



- Classes of customers comprise Residential, Commercial, Multi Family and Industrial
- Residential (\$41.4m), Commercial (\$9.4m) and Multi Family (\$3.0m) receivables have risen significantly relative to pre pandemic trends
- Other Factors considered which may influence customer payment behaviors
 - Actual vs. estimated meter readings
 - Significant changes in usage – gallons billed has declined in 2020 relative to 2019
 - Other emergency assistance needed (housing / food)
 - Federal stimulus targeting utility payment relief for individuals
- Days Cash on Hand (bond covenant requirement is >90 days) is directly impacted (reduced) by slower customer collections
- Net Revenues are reduced by bad debt expenses recognized which serves to lower funds available for debt service requirements

Recent and Current Activities

- Customer notification and resumption of online payment fees was completed in May 2021
- Commercial and Multi-family customers with past due balances began to receive notices mailed in May 2021 indicating a resumption of normal collection practices at a future date
- Coordination with city and state partners and TCA to maximize customer benefits to payment relief from the federal government

Future Activities

- Develop a planned date for resumption of shut offs and normal collections which is fully aligned with the needs of the community and the responsibilities of the agency to serve the long-term needs of the ratepayers
- Develop customer outreach and messaging to adequately inform the ratepayers of the plan to resume shut offs and normal collections
- Plan for adequate resources for execution and use the opportunity to revisit former shut-off and collection processes to continuously improve the customer experience

AUTHORIZATION FOR EXECUTION OF ACCOUNT DOCUMENTATION WITH CAPITAL ONE, N.A.

WHEREAS, Sewerage and Water Board of New Orleans (“SWBNO” or the “Board”) is a governmental entity having various deposit accounts at Capital One, N.A. (“Bank”) as shown on the attached list provided by the Bank (the “Accounts”), and Bank is and has been performing and providing depository account and treasury management services with respect to some or all of the Accounts for several years for the Board; and

WHEREAS, the Board has previously advised the Bank of specific personnel changes necessitating changes to account practices, specifically that E. Grey Lewis has replaced Yvette Downs as Chief Financial Officer, that M. Ron Spooner has been named Interim General Superintendent upon the retirement of former General Superintendent Robert Turner, and that Steven Woolridge is no longer an employee (and, accordingly, signatory) of the Board, such that those former signatories have no longer been authorized signers on Board accounts at the Bank, and that their current replacements are to be recognized as signers in their stead; and

WHEREAS, Bank has requested that SWBNO provide updated documentation regarding the deposit, management, and transfer to, from, between and among, authorization of payments/withdrawals from, and treasury management services for and related to, its various Accounts; and

WHEREAS, it is the desire and intention of the Board to update account documentation as requested by the Bank, without change to current, established operational practices with respect to the accounts of Board at the Bank including number of authorized signers on each Account;

NOW THEREFORE, BE IT RESOLVED:

- I. AUTHORIZED OFFICERS -- the following persons have signature and account authorizations in accordance with the SWBNO bylaws, and, further pursuant thereto, as provided herein:
 - A. Ghassan Korban, Executive Director
 - B. E. Grey Lewis, Chief Financial Officer
 - C. Dr. Tamika Duplessis, President Pro-Tem
 - D. M. Ron Spooner, Interim General Superintendent
 - E. Latressia Mathews, Chief Accountant
 - F. Rosita Thomas, Utility Service Administrator

- II. DEPOSITORY SERVICES - Capital One, N.A. is designated a depository in which the funds of SWBNO may be deposited, in accordance with all applicable laws including but limited to those requiring and providing for the collateralization of public funds deposits, and governed by and subject to the Bank’s Rules Governing Deposit Accounts and Electronic Funds Transfer Agreement (“Agreement”) by and between

SWBNO and the Bank, and any other rules, regulations, conditions, limitations and agreements contained in any New Account Information Card.

With respect to any such deposit account(s), any Authorized Officer listed above may open and maintain deposit accounts with the Bank (each, an "Account"), be an authorized signer on any Account, and endorse, in the name of the Board, for the purpose of deposit and collection in and with the Bank, checks, drafts, notes and other like obligations ("Negotiable Instruments"), may waive presentment, demand, protest and/or notice of dishonor of protest and to give instructions in regard to the handling or delivery of any negotiable or non-negotiable papers or documents involved in any of said transactions.

III. TREASURY MANAGEMENT SERVICES – With respect to the services provided SWBNO under the current Treasury Management Terms and Conditions Agreement and any related agreements and Operational Instructions (collectively, the "Treasury Agreement"), for the services offered by Bank under the Treasury Agreement and as may be otherwise agreed by SWBNO (the "Services"), any one of the Authorized Officers of SWBNO is authorized to issue written, facsimile, telephonic, electronic or oral instructions with respect to the Services, including the transfer of funds of the Board on deposit with the Bank (or otherwise transferable by the Bank), by wire or otherwise, without any written order for the payment of money being issued with respect to such transfer

IV. AGREEMENT AUTHORIZATION

The foregoing resolutions shall continue in force until revoked or modified by written notice actually received by the Bank and setting forth a certified resolution duly adopted by the Board of Directors of SWBNO and signed by one purporting to hold the appropriate position of authority; provided, however, that such notice shall not be applicable with regard to any Negotiable Instruments received or transactions originated prior to the actual receipt of such notice or dated on or prior to the actual receipt of such notice, but presented to the Bank after receipt of such notice, and the Bank is authorized to rely upon the last communication received by it, so authenticated, as to: any resolution of this Board, the persons who may be officers or signatories of this Board (including their respective specimen signatures).

The Board agrees to indemnify the Bank and save it free and harmless from any and all claims, demands, expenses (including reasonable attorneys' and paralegals' fees and court costs), losses or damages it may suffer resulting from or growing out of or in connection with any act taken by the Bank as a result of, or its failure to act under any or all of the foregoing Resolutions (including its failure not to conform in all respects to the authorizations specified hereunder); as well as resulting from or growing out of acceptance by the Bank of a request to transfer funds from any individual(s) named or listed herein or from the refusal by the Bank to honor any request received which does not in all respects conform to the authorization specified hereunder with respect to the individual(s) making such request.

The Board agrees to be bound by the Bank's Rules Governing Deposit Accounts and any

and all agreements entered into by the Authorized Officers, the rules and regulations of the Bank, governmental regulations industry association rules (including local clearing house rules) and general practices of the Bank which, from time to time govern the account(s) of the Board;

All prior actions taken and transactions effected, consistent with these Resolutions, and the Resolutions in place prior to the adoption of the Resolutions set forth herein, are hereby ratified, approved and confirmed by, and binding upon , the Board.

Any two (2) Authorized Officers of the Board are hereby authorized to act for and on behalf of the Board to direct the Bank in writing to delete from or add to the person(s) herein designated to act on behalf of the Board with respect to any matter set forth in any other Section hereof; and

BE IT FURTHER RESOLVED, THAT Ghassan Korban, the Executive Director of the Board, be and he is hereby authorized and directed to certify to the Bank, the foregoing Resolutions, the names of the officers and other representatives and specimens of their respective signatures as reflected on the New Account Information Card or similar document, and that the Bank may conclusively assume that persons at any time certified to it to be officers or other representatives of the Board continue as such until receipt the Bank of written notice to the contrary. The Bank shall be fully protected in relying on those certifications and the Board agrees to indemnify and hold harmless the Bank and its directors, officers, and employees for any claims, actions, losses, damages, costs and expenses, including reasonable attorney's fees and costs through all appeals, of any nature, in honoring any signature so certified or refusing to honor any signature not so certified.

I, Ghassan Korban, hereby certify that I am the Executive Director of the Sewerage and Water Board of New Orleans, the officer authorized to certify and deliver the foregoing Resolution, that the above and foregoing is a true and correct copy of a Resolution adopted at the Regular Meeting of said Board, duly called and held, according to law, on June 16, 2021, in accordance with applicable law and the governing instruments of the Board, and that the foregoing have not been modified or rescinded and are in full force and effect as of the date hereof.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

SEWERAGE AND WATER BOARD OF NEW ORLEANS

**PRELIMINARY APPROVAL FOR
SEWERAGE SERVICE REVENUE BOND (WIFIA PROJECTS)**

The following resolution was offered by _____ and seconded by _____:

RESOLUTION

A resolution giving preliminary approval to the issuance of not exceeding Two Hundred Seventy-Five Million Dollars (\$275,000,000) of the Sewerage Service Revenue Bond (WIFIA Projects) of the City of New Orleans, Louisiana; making application to the State Bond Commission for approval of said bond; and providing for other matters in connection therewith.

WHEREAS, the Sewerage and Water Board of New Orleans (the "Sewerage and Water Board") is authorized to issue in the name of the City of New Orleans, Louisiana (the "City"), bonds payable solely out of the revenues derived from sewerage service charges for purposes relating to the sewerage system of the City, pursuant to the provisions of Part III of Chapter 9 of Title 33 of the Louisiana Revised Statutes of 1950, as amended, and other constitutional and statutory authority (the "Act"); and

WHEREAS, the Sewerage and Water Board adopted a General Sewerage Service Revenue Bond Resolution on May 21, 2014 (the "General Bond Resolution"), as supplemented to the date hereof, authorizing the issuance from time to time of Sewerage Service Revenue Bonds of the City; and

WHEREAS, all Sewerage Service Revenue Bonds of the City are administered by the Board of Liquidation, City Debt (the "Board of Liquidation"); and

WHEREAS, currently the City has outstanding (i) Sewerage Service Revenue Bonds, Series 2011, (ii) Sewerage Service Revenue and Refunding Bonds, Series 2014; (iii) Sewerage Service Revenue Bonds, Series 2015; (iv) Sewerage Service Revenue Bond, Series 2019; (v) Sewerage Service Revenue Bond, Series 2020A; (vi) Sewerage Service Revenue Bonds, Series 2020B; and (vii) Sewerage Service Revenue Refunding Bonds, Series 2021 (collectively, the "Outstanding Bonds"); and

WHEREAS, the Congress of the United States of America enacted the Water Infrastructure Finance and Innovation Act, as amended by Section 1445 of the Fixing America's Surface Transportation Act of 2015, as further amended by Section 5008 of the Water Infrastructure Improvements For the Nation Act of 2016 and by Section 4201 of America's Water Infrastructure Act of 2018 (collectively, as the same may be amended from time to time, the "WIFIA Act"), which is codified as 33 U.S.C. §§ 3901-3914; and

WHEREAS, the WIFIA Act authorizes the United States Environmental Protection Agency ("EPA") to enter into agreements with one or more eligible entities, such as the Sewerage and Water Board, to provide financial assistance for eligible projects through the purchase of bonds; and

WHEREAS, the Sewerage and Water Board has made application to EPA for funding through and pursuant to the WIFIA Act; and

WHEREAS, the Sewerage and Water Board now desires to authorize the issuance of the Sewerage Service Revenue Bond (WIFIA Projects) (the "Bond") of the City to finance the Cost of Capital Improvements (as defined in the General Bond Resolution); and

WHEREAS, the Sewerage and Water Board expects the Bond to qualify for purchase pursuant to the WIFIA Act and the proceeds thereof to finance eligible purposes under the WIFIA Act; and

WHEREAS, the Bond will be issued on a parity with the Outstanding Bonds except with respect to certain reserve funds;

NOW, THEREFORE, BE IT RESOLVED by the Sewerage and Water Board of New Orleans, as follows:

SECTION 1. **Preliminary Approval of Sewerage Revenue Bond**. Pursuant to the provisions of the Act, preliminary approval is given to the issuance of not exceeding Two Hundred Seventy Five Million Dollars (\$275,000,000) of the Sewerage Service Revenue Bond (WIFIA Projects) (the "Bond") of the City of New Orleans, Louisiana, to be issued for the purpose of (i) paying certain Costs of Capital Improvements (each as defined in the General Bond Resolution), (ii) funding a reserve for the Bond, and (iii) paying costs of issuance for the Bond. The Bond shall bear interest at a rate or rates not to exceed six percent (6.00%) per annum, to be determined by subsequent resolution of the Board of Liquidation at the time of the sale of the Bond, and shall mature not later than December 1, 2059. The Bond shall be issued in the form of a single, fully-registered bond and shall have such additional terms and provisions as may be determined by the Sewerage and Water Board and the Board of Liquidation at the time of issuance and sale of the Bond. The Bond shall be secured by the pledge of revenues derived sewerage service charges for purposes relating to the sewerage system of the City, all as more fully provided in the Act and the General Bond Resolution. The Bond shall be issued on a parity with the Outstanding Bonds, except with respect to certain reserve funds.

SECTION 2. **State Bond Commission**. Application is hereby made to the State Bond Commission, Baton Rouge, Louisiana, for approval of the issuance and sale of the Bond, and co-bond counsel are directed to make application to the State Bond Commission in accordance with the foregoing on behalf of the Sewerage and Water Board.

By virtue of the Sewerage and Water Board's application for, acceptance and utilization of the benefits of the State Bond Commission's approval requested herein, the Sewerage and Water Board understands and agrees that such approval is expressly conditioned upon, and further understands, agrees and binds itself, its successors and assigns, to full and continuing compliance with the "State Bond Commission Policy on Approval of Proposed Use of Swaps, or other forms of Derivative Products, Hedges, Etc.," adopted by the Commission on July 20, 2006, as to the borrowing and other matters subject to the approval, including subsequent application and approval under said Policy of the implementation or use of any swaps or other products or enhancements covered thereby.

SECTION 3. **Request for Approval.** A certified copy of this resolution shall be furnished to the Council of the City and the Board of Liquidation. The Sewerage and Water Board hereby requests that the Council of the City and the Board of Liquidation approve this resolution and any other resolutions of the Sewerage and Water Board providing for the issuance of the Bond as may be required by law.

SECTION 4. **Authorization.** The President, President Pro-Tem, and Executive Director of the Sewerage and Water Board be and they are hereby authorized and directed to execute any documents or agreements and take all further action as may be necessary and appropriate in connection with the Bond or to effectuate and implement this Resolution.

This resolution having been submitted to a vote, the vote thereon was as follows:

<u>Member</u>	<u>Yea</u>	<u>Nay</u>	<u>Absent</u>	<u>Abstaining</u>
Jay H. Banks	_____	_____	_____	_____
Robin Barnes	_____	_____	_____	_____
Tamika Duplessis, Ph. D	_____	_____	_____	_____
Alejandra Guzman	_____	_____	_____	_____
Janet Howard	_____	_____	_____	_____
Ralph Johnson	_____	_____	_____	_____
Joseph Peychaud	_____	_____	_____	_____
Lynes R. "Poco" Sloss	_____	_____	_____	_____
Maurice G. Sholas	_____	_____	_____	_____
Mayor LaToya Cantrell	_____	_____	_____	_____

And the resolution was declared adopted on this 16th day of June, 2021.

STATE OF LOUISIANA

R-077-2021 p.4

PARISH OF ORLEANS

I, the undersigned Executive Director of the Sewerage and Water Board of New Orleans (the "Sewerage and Water Board"), do hereby certify that the foregoing pages constitute a true and correct copy of a resolution adopted by the Sewerage and Water Board on June 16, 2021, giving preliminary approval to the issuance of not exceeding Two Hundred Seventy-Five Million Dollars (\$275,000,000) of the Sewerage Service Revenue Bond (WIFIA Projects) of the City of New Orleans, Louisiana; making application to the State Bond Commission for approval of said bond; and providing for other matters in connection therewith.

IN FAITH WHEREOF, witness my official signature on this, the 16th day of June, 2021.

Executive Director of the Sewerage
and Water Board of New Orleans

**GENERAL SUPERINTENDENT RECOMMENDATIONS
FOR THE JUNE 9, 2021
FINANCE AND ADMINISTRATION COMMITTEE MEETING**

A listing of the bids, change orders, amendments and final acceptances received during the month of May is included in the following report. A brief summary is attached for your review.

CONTRACT CHANGE ORDERS (3)

- Page 3 R-054-2021 Ratification of Change Order No. 2 Between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC. for Contract 1403 – Demolition of Abandoned C-7 and C-8 Basins and Other Site Improvements at the Carrollton Water Plant.
- Page 6 R-056-2021 Ratification of Change Order No. 2 Between the Sewerage and Water Board of New Orleans and M. R. Pittman Group, LLC. for Contract 1377 – Water Hammer Hazard Mitigation Program Claiborne Ave Pumping Station and Off-Site Improvements
- Page 9 R-057-2021 Ratification of Change Order No. 4 Between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC. for Contract 30109 – Sewer Pumping Station “A” Structural Rehabilitation

CONTRACT AMENDMENTS (1)

- Page 13 R-058-2021 Authorization of Amendment No. 8 to the Agreement Between the Sewerage and Water Board of New Orleans and Rahman and Associates, Inc. for Engineering Services for the Water Line Replacement Program

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

CONTRACT CHANGE ORDERS

RATIFICATION OF CHANGE ORDER NO. 2 FOR CONTRACT 1403 – DEMOLITION OF ABANDONED C-7 AND C-8 BASINS AND OTHER SITE IMPROVEMENTS AT THE CARROLLTON WATER PLANT

WHEREAS, on October 27, 2020 the Sewerage and Water Board (S&WB) entered into Contract 1403 with Cycle Construction Company, LLC in the amount of \$7,619,394.00 for the Construction of Carrollton Water Plant West Substation/Demolition of C7 and C8 Basins; and,

WHEREAS, the Board by Resolution No, R-042-2021 approved Change Order No. 1 on May 19, 2021, increasing the Contract value by \$300,000; and

WHEREAS, this Change Order addresses FCO-003 and FCO-004 comprising the installation of a pipe rack and removal of additional material from Basin C8 respectively; and

WHEREAS, this Change Order, in the amount of \$240,162.38 or 3.2% of the original contract value, brings the accumulated Contract change order total to \$540,162.38, or 7.09% of the original Contract value; and

WHEREAS, this Change Order increases the Contract time by 60 days to a total contract time of 390 days thereby extending the Contract completion date to December 10, 2021;

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 2 for Contract 1403 is ratified by the Sewerage and Water Board of New Orleans increasing the amount of the Contract by \$240,162.38, bringing the Contract Total to \$8,159,558.38 and extending the Contract Time by 60 days to a total of 390 days.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
June 16, 2021

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT CHANGE ORDER NO 2

CN 1403 - Demolition of Abandoned C-7 & C-8 Basins and Other Site Improvements at the Carrollton Water Plant

This Change Order authorizes the contractor to remove 13,970 additional cubic yards of material from Basin C8 beyond the quantity identified in the Contract Documents

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Cycle Construction Company, LLC</i>	<i>Three C's Properties</i>	31.98%	10.03%
	<i>Twin Shores</i>	7.28%	0.00%
	<i>Industry Junction</i>	2.40%	0.59%
Total		41.66%	10.62%

DESCRIPTION AND PURPOSE

Original Contract Value	\$	7,619,394.00
Previous Change Orders	\$	300,000.00
% Change of Contract To Date		3.9%
Value of Requested Change	\$	240,162.38
% For This Change Order		3.2%
<i>Has a NTP been Issued</i>		<i>Yes</i>
Total Revised Contract Value	\$	8,159,556.38
% Total Change of Contract		7.1%
Original Contract Completion Date		10/11/2021
Previously Approved Extensions (Days)		0
Time Extension Requested (Days)		60
Proposed Contract Completion Date		12/10/2021

Purpose and Scope of the Contract:

This project converts the abandoned C7 and C8 basins into the new West Power Complex for Carrollton Water Plant. A new Entergy substation will occupy the C8 basin and S&WB generation facilities will occupy the C7 basin. Eventually three combustion gas turbines, three static frequency converters, and a grid control building will occupy C7.

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input checked="" type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other _____ <input type="checkbox"/>	

FCO-003 provides for a galvanized steel frame to support existing pipes and conduits that are currently supported by a section of existing walkway. The walkway is to be demolished. The cost of FCO-003 is \$26,919.14. FCO-004 provides for the removal of an additional 13,970 cubic yards of material from C8 beyond the 16,000 cubic yards listed in the contract documents. The quantity in the contract documents was determined more than two years prior to the start of construction, and CWP operations added material in the interim. Using 14 cu. yd. trucks, this quantity requires 998 loads. At 15 loads per day, the 60-day extension is justified.

Spending to Date:

Cumulative Contract Amount (Through CO #2)	\$7,919,394.00
Cumulative Contract Spending (as of 3/31/2021)	\$3,709,556.81

Contractor's Past Performance:

Satisfactory

PROCUREMENT INFORMATION

Contract Type	Base Bid + Alt #1	Award Based On	Lowest Competitive Bid
Commodity	Construction	Contract Number	1403
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.) :	5/13/2021

BUDGET INFORMATION

Funding	610-02	Department	Civil Engineering
System	Multiple	Project Manager	Frank C. Fromherz II
Job Number	1403	Purchase Order #	600174 PG2020

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	40%	\$ 96,064.95	Project is funded through Capital Outlay Program. 75% of eligible costs are reimbursable.
Water System	40%	\$ 96,064.95	
Drainage System	20%	\$ 48,032.48	
TOTAL	100%	\$ 240,162.38	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Frank C. Fromherz II, P.E.
Senior Project Manager
Civil Engineering

RATIFICATION OF CHANGE ORDER NO. 2 FOR CONTRACT 1377 – WATER HAMMER HAZARD MITIGATION PROGRAM – CLAIBORNE AVE. PUMPING STATION AND OFF-SITE IMPROVEMENTS

WHEREAS, on November 20, 2019, by Resolution R-175-2019, the Sewerage and Water Board of New Orleans awarded Contract 1377 to **M.R. Pittman Group, LLC** in the amount of \$35,345,100.00 for Water Hammer Hazard Mitigation Program – Claiborne Ave. Pumping Station and Off-Site Improvements; and,

WHEREAS, the Board by Resolution R-054-2021 approved Change Order 1 on May 19, 2021, increasing the Contract Value by \$1,161,235.98; and

WHEREAS, this Change Order addresses the discovery and relocation of multiple utilities that conflicted with record drawings; and

WHEREAS, this Change Order, in the amount of \$261,260.92, or 0.7% of the Original Contract Value, brings the accumulated Contract Change Order Total to \$1,422,496.90, or 4.0% of the Original Contract Value. This Change Order also extends the Contract Times by 33 days, with a revised Completion Date of October 23, 2022.

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 2 for Contract 1377 is ratified by the Sewerage and Water Board of New Orleans increasing the amount of the contract by \$261,260.92, bringing the Contract total to \$36,767,596.90.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
June 16, 2021

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET



ACTION REQUESTED

CONTRACT CHANGE ORDER NO. 002

CONTRACT No. 1377 Water Hammer Mitigation Program, Claiborne Ave. Pump Station & Offsite Improvements

Approval to modify Contract No. 1377 in the amount of \$261,260.92

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL (as of 04/30/2021)
<i>M.R. Pittman Group</i>	<i>Bayou Concrete Pumping LLC</i>	0.60%	0.00%
	<i>Bayou Construction Group</i>	0.10%	0.10%
	<i>The Beta Group</i>	0.40%	0.11%
	<i>Choice Supply Solutions, LLC</i>	6.00%	3.73%
	<i>EBE Fencing</i>	0.10%	0.01%
	<i>Gainey's Concrete Products</i>	0.50%	0.00%
	<i>JEI Solutions, Inc.</i>	17.00%	8.20%
	<i>Landrieu Concrete & Cement Industries</i>	2.50%	0.50%
	<i>FP Richard, LLC, d/b/a Rue Contractors</i>	6.60%	1.30%
	<i>Thornhill Services, Inc.</i>	3.40%	2.10%
	<i>Traffic Commander</i>	0.70%	0.60%
	<i>Waldo Brothers, Inc.</i>	0.10%	0.10%
	Total		38.00%

DESCRIPTION AND PURPOSE

Original Contract Value	\$35,345,100.00
Previous Change Orders	\$1,161,235.98
% Change of Contract To Date	3.3%
Value of Requested Change	\$261,260.92
% For This Change Order	0.7%
<i>Has a NTP been Issued</i>	Yes
Total Revised Contract Value	\$36,767,596.90
% Total Change of Contract	4.0%
Original Contract Completion Date	6/27/2022
Previously Approved Extensions (Days)	85
Time Extension Requested (Days)	33
Proposed Contract Completion Date	10/23/2022

Purpose and Scope of the Contract:

The contemplated project consists of general construction of the Claiborne Avenue Pump Station and Off-Site Improvements, rebuilding existing pumps, new electric pump motors with variable frequency drives (VFD's), vacuum priming system, building structures, concrete meter structures, valve and meter vaults, yard piping, site work, earthwork, traffic rerouting, valves and fittings, electrical, control and instrumentation equipment and integration and miscellaneous appurtenances. Work is primarily located at the Carrollton Water Purification Plant at 8800 S. Claiborne Ave for the Sewerage and Water Board of New Orleans, Owner. Off-site work to build a bladder tank site is located at 8933 Stroelitz Street.

Reason for Change:

Error/Omission	Differing Site Condition	Regulatory Requirement
Design Change	Other <input checked="" type="checkbox"/> <input type="checkbox"/>	

FCO-022, RFC-005-Unknown Utilities discovery and conflicts in front of the Claiborne Pump Station. \$117,567.59 (33 days added)
FCO-023, WCD-024-54" to 50" Tie In Adjustment due to an elevation conflict with the low pressure temporary pipe in front of the Claiborne Pump Station. \$38,997.05
FCO-024, WCD-025-50" Permanent and Temporary Low pressure lines elevation changes to avoid conflict. \$98,077.96
FCO-025-WCD-030-Ak-1 Breaker Replacement from original designed location to a more efficient location. \$6,618.32

Spending to Date:

Cumulative Contract Amount (as of 04/30/2021)	\$36,506,335.98
Cumulative Contract Invoiced (as of 04/30/2021)	\$21,427,928.63

Contractor's Past Performance:

M.R. Pittman Group, LLC.'s work on this project has been acceptable.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	1377
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	5/25/2021

BUDGET INFORMATION

Funding	CP 175-00	Department	Mechanical Engineering
System	Water	Project Manager	Chris Bergeron
Job Number	01377FEM	Purchase Order #	PG2020600094

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System			
Water System			
Drainage System			
FEMA PW 18836	100%	\$ 261,260.92	Yes*
Other			
TOTAL		\$ 261,260.92	

**Reimbursement is anticipated, but not yet approved by FEMA*

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Chris Bergeron
 Engineering Division Manager
 Mechanical Engineering

RATIFICATION OF CHANGE ORDER NO. 4 BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND CYCLE CONSTRUCTION COMPANY, LLC FOR CONTRACT 30109 SEWER PUMPING STATION A STRUCTURAL REHABILITATION

WHEREAS, on April 6, 2018 the Sewerage and Water Board entered into Contract 30109 with Cycle Construction Company, LLC in the amount of \$1,669,984.00 for Sewer Pumping Station A Structural Rehabilitation; and

WHEREAS, Contract Change Order No. 1 (R-019-2019) increased the contract value by \$169,598.81; and,

WHEREAS, Contract Change Order No. 2 (R-021-2020) increased the contract value by \$155,847.87; and,

WHEREAS, Contract Change Order No. 3 (R-102-2020) increased the contract value by \$399,905.54; and,

WHEREAS, this change order addresses field change orders FCO-012, FCO-013 and FCO-014, composed of extra costs associated with the removal of unforeseen underground obstructions, additional concrete flooring for the interior of the station, changes to pile cap design and associated time; and

WHEREAS, this Change Order, in the amount of \$273,778.33, brings the accumulated Contract change order total to \$999,130.55, or 59.8% percent of the original Contract value and adds 147 days to the contract time; and

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 4 for Contract 30109 is ratified by the Sewerage and Water Board of New Orleans increasing the amount of the contract by \$273,778.33, bringing the Contract total to \$2,669,114.55.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
June 16, 2021.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT CHANGE ORDER No. 4

Contract 30109 Sewer Pumping Station A Structural Rehabilitation

Approval to modify contract 30109, between the Sewerage and Water Board and Cycle Construction Company LLC., in the amount of \$291,285.79

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
Cycle Construction Company, LLC	K-Belle Consulting	32.09%	21.00%
	Traffic Solutions	0.26%	0.26%
	Urban Systems	0.21%	0.21%
	RLH investments	2.78%	
	Nola Steele	1.47%	
	A&A Enterprises	0.26%	
Total		37.07%	21.47%

DESCRIPTION AND PURPOSE

Original Contract Value	\$1,669,984.00
Previous Change Orders	\$725,352.22
% Change of Contract To Date	43.4%
Value of Requested Change	\$291,285.79
% For This Change Order	17.4%
Has a NTP been Issued	Yes
Total Revised Contract Value	\$2,686,622.01
% Total Change of Contract	60.9%
Original Contract Completion Date	3/3/19
Previously Approved Extensions (Days)	698
Time Extension Requested (Days)	147
Proposed Contract Completion Date	6/25/21

Purpose and Scope of the Contract:

The "Old Boiler Room" of the Sewer Pump Station A (SPS-A) Facility has experienced noticeable cracking of the walls and floor related to settlement of the northeast corner of the building. The purpose of this contract is to strengthen the existing foundation and walls of the structure to replace lost capacity and meet current design standards.

The original scope of work (SOW) includes installation of new micropiles; reinforcement and encasement of the existing grade beam; installation of reinforced concrete wall, beams and pilasters; concrete crack repair of existing masonry walls; and site restoration.

Reason for Change:

Error/Omission	<input type="checkbox"/>	Differing Site Condition	<input checked="" type="checkbox"/>	Regulatory Requirement	<input type="checkbox"/>
Design Change	<input checked="" type="checkbox"/>	Other	<input type="checkbox"/>		

FCO 12 - During installation of the helical piles obstruction were found deep within the foundation. The obstructions were solid layers concrete and brick & mortar structures. Activities had to stop in certain areas until the obstructions were explored, excavated and removed. Modifications to the design was also made to accommodate these obstructions. Grade beam, pile cap and reinforcement revisions were done as well as helical pile locations. Total Cost of this FCO: \$175,972.56

FCO 13 - Contract time extension request with overhead for FCO 12 and 14. Time requested is 147 days, total cost of this FCO: \$81,884.28

FCO 14 - The original proposed saw cutting limits needed to be extended due to existing conditions of the floor not being suitable to tie in to. The existing floor slab had cracks that extended into and beyond original limits of new slab and elevation differences also played a factor. This change also includes fill material for voids underneath slab. The total cost of this FCO: \$33,438.95

Spending to Date:

Cumulative Contract Amount (as of 2/26/2021)	\$2,395,336.22
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Cumulative Contract Spending (as of 2/26/2021)	\$1,244,703.00
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Contractor's Past Performance:

Although there have been unforeseen site conditions that have proven to be difficult obstacles the contractor's timelines of deliverables, conformance to SWBNO policies and procedures, quality meet expectations. To date the contractor has not achieved a DBE participation rate of 36% because DBE Subcontractors have not reached their scope at this time.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	30109
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	


BUDGET INFORMATION

Funding	Capital 326	Department	Civil Engineering
System	Sewer	Project Manager	Daniel Avalos
Job Number	30109	Purchase Order #	PG2018 6000202

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	100%	\$291,285.79	no
Water System			
Drainage System			
TOTAL		\$ 291,285.79	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.


 Daniel Avalos, P.E.
 Senior Engineer
 Civil Engineering

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

CONTRACT AMENDMENTS

AUTHORIZATION OF AMENDMENT NO. 8 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND RAHMAN AND ASSOCIATES, INC. FOR ENGINEERING AND DESIGN SERVICES FOR THE WATERLINE REPLACEMENT PROGRAM

WHEREAS, the **SEWERAGE AND WATER BOARD OF NEW ORLEANS** (“Board”) and **RAHMAN AND ASSOCIATES, INC.** (Consultant) are parties to an original agreement dated March 13, 2012, authorized by Resolution R-020-2012 approved February 15, 2012, for Consultant to provide engineering and design services for the Water Line Replacement Program (WLRP) for the Lakeshore-Lake Vista, Lake Terrace & Oaks, and Filmore neighborhoods(Original Agreement); and

WHEREAS, the fee under the original agreement was not to exceed 18% of the cost of construction with duration until construction of the project was complete; and

WHEREAS, the Board by Resolution R-146-2012 approved on August 15, 2012 an amendment to the Original Agreement to provide for compensation for surveying services at a rate not to exceed \$7 per linear foot in 2012; and,

WHEREAS, pursuant to Resolution R-146-2012, the Board and Consultant executed Amendment No. 1 dated October 1, 2012, amending the Original Agreement to provide for compensation for surveying services at a rate not to exceed \$7 per linear foot in 2012; and,

WHEREAS, by Resolution R-166-2014, the Board authorized amending the Agreement to include program/project management services, design and inspection services of repair or replacement of drain lines damaged by Katrina as approved and funded by FEMA PWs for the City Department of Public Works, and payment of fees therefor; and,

WHEREAS, pursuant to Resolution R-166-2014 approved on August 14, 2014, the Board and Consultant executed Amendment 2, dated September 17, 2014, for program/project management services, design and inspection services of repair or replacement of drain lines and for compensation of survey work in accordance with FEMA recommended policy; and,

WHEREAS, pursuant to Resolution R-086-2019 approved on August 21, 2019, the Board and Consultant executed Amendment 3 on April 1, 2020 to establish engineering services fees for RR Projects with a net increase of \$30,465.97 to provide for fixed compensation rather than based on percentage of construction costs, removed project/program management work from its scope and reduce the costs accordingly, to incorporate clauses that allow for Fair and Reasonable fees to be negotiated in accordance with FP&C State Guidelines and Cost Reasonable Analysis, to incorporate the latest FEMA and Federal Requirements clauses into the Agreement, and to established a contract expiration date of November 30, 2021; and

WHEREAS, pursuant to Resolution R-063-2020 approved on May 20, 2020, the Board authorized Amendment 4 to establish engineering services fees for RR037 Filmore North Group A, RR038 Filmore North Group B, RR042 Filmore South Group A, RR043 Filmore South Group B, RR069 Lake Terrace & Oaks Group A, RR072 Lake Terrace & Oaks Group D, RR073 Lake Vista Group A, and DPW582 Oak Park Drainage in a not to exceed amount of \$390,265.69 and extend the contract end date to June 30, 2023; and

WHEREAS, pursuant to Resolution R-094-2020 approved on August 19, 2020, the Board authorized Amendment 5 to establish engineering services fees for RR077 Lake Vista Group E and RR074 Lake Vista Group B in a not to exceed amount of \$222,153.18; and

WHEREAS, pursuant to Resolution R-126-2020 approved on November 18, 2020, the Board authorized Amendment 6 to establish engineering services fees for RR075 Lake Vista Group C in a not to exceed amount of \$59,121.96; and

WHEREAS, pursuant to Resolution R-010-2021 approved on January 20, 2020, the Board authorized Amendment 7 to establish RR070 Lake Terrace & Oaks Group B, RR071 Lake Terrace & Oaks Group C, RR080 Lakeshore Group C, RR081 Lakeshore Group D, and RR082 Lakeshore Group E projects not to exceed \$681,326.25, and a total fee not to exceed \$3,003,134.70.

WHEREAS, the Board needs engineering and construction services for projects RR076, RR079, RR044, RR045, RR039, RR040, and RR041; and

WHEREAS, the Consultant is willing to perform RR076 in the amount of \$139,545.27, RR079 in the amount of \$65,898.58, RR044 in the amount of \$97,463.08, and RR045 in the amount of \$69,254.41 and the Board and Consultant will negotiate fees not to exceed \$181,599.66 for RR039, RR040, and RR041, amendment total not to exceed \$553,761.00, and agreement total fee not to exceed \$3,536,896.04.

NOW, THEREFORE BE IT RESOLVED, that the President or the President Pro Tem be and is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Contract Amendment No. 8 to the existing agreement with Rahman & Associates engineering and construction services for RR076, RR079, RR044, RR045, RR039, RR040, and RR041; at a fee not to exceed \$553,761.00, and a total fee not to exceed \$3,536,896.04.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
June 16, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



ACTION REQUESTED

**Amendment to Professional Engineering Services Agreement
Rahman and Associates WLRP Agreement RR044, RR045, RR039, RR040, and RR041.**

Request authorization for Amendment No.8 to our WLRP Agreement for Projects RR044 Filmore South Group C \$97,463.08; RR045 Filmore South Group D \$69,254.41; and estimated fees of \$200,000 for engineering services for RR039, RR040, RR041 and existing projects that are part of our current WLRP agreement. Amendment No.8 will add \$366,717.49 to our WLRP agreement.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Rahman and Associates</i>	<i>C&S Consultants</i>	35.00%	41.60%
Total		35.00%	41.60%

DESCRIPTION AND PURPOSE

Original Contract - Not to Exceed 18% Cost of Construction	
Amendments 1-7	\$3,003,134.70
Value of Requested Amendment	\$553,761.00
<i>Has a NTP been Issued</i>	<i>No</i>
Total Revised Contract Value	\$3,556,895.70
Original Contract Completion Date	End of Construction
Previously Approved Extensions (Days)	
Time Extension Requested (Days)	
Proposed Contract Completion Date	6/30/2023

Purpose and Scope of the Contract:

This agreement provides engineering services for the Joint Infrastructure Recovery Request (JIRR) program for Lakeshore-Lake Vista, Lake Terrace & Oaks, and Filmore neighborhoods. This WLRP Agreement is FEMA reimbursible. Construction will be reimbursed through the FEMA Project Worksheet (PW) 21031 The Settlement. Design is being reimbursed through PW 20648 Filmore

Reason for Change:

Negotiate Fees <input checked="" type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other <input type="checkbox"/>	

Amendment No. 8 is required to capture negotiated fees Lump Sum (LS) and Not to Exceed (NTE) for Projects RR044 Filmore South Group C adds \$25,463.08 LS and \$72,000.00 NTE, RR045 Filmore South Group D adds \$9,254.41 LA and \$60,000.00 NTE. Additional fees of \$200,000 NTE still need to be negotiated for projects RR039, RR040, RR041 and may need to be negotiated for existing RR projects that are a part of this agreement. Anticipated services include, but are not limited to, final bid documents, bidding, construction administration, resident inspection and record drawings. After fees are negotiated for required work, the fees will be reviewed by CMRC and a Purchase Order Modification must be issued prior to invoicing. Amendment No.8 will add \$366,717.49 NTE to

Spending to Date:

Cumulative Contract Amount (as of 4/28/2021)	\$3,003,134.70
Cumulative Contract Spending (as of 4/28/2021)	\$1,040,760.64

Contractor's Past Performance:

The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. DBE participation will be met by Resident Inspection services during Construction.

PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Base	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP & 21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	1/28/2021 & 5/6/2021

BUDGET INFORMATION

Funding	CP 175-13 & CP 317-06	Department	JIRR
System	Water, Sewer	Project Manager	Er. Susan Diehl
Job Number	A1348FEM, A1369FEM, C1399XXX	Purchase Order #	PG20196000182



ESTIMATED FUND SOURCE

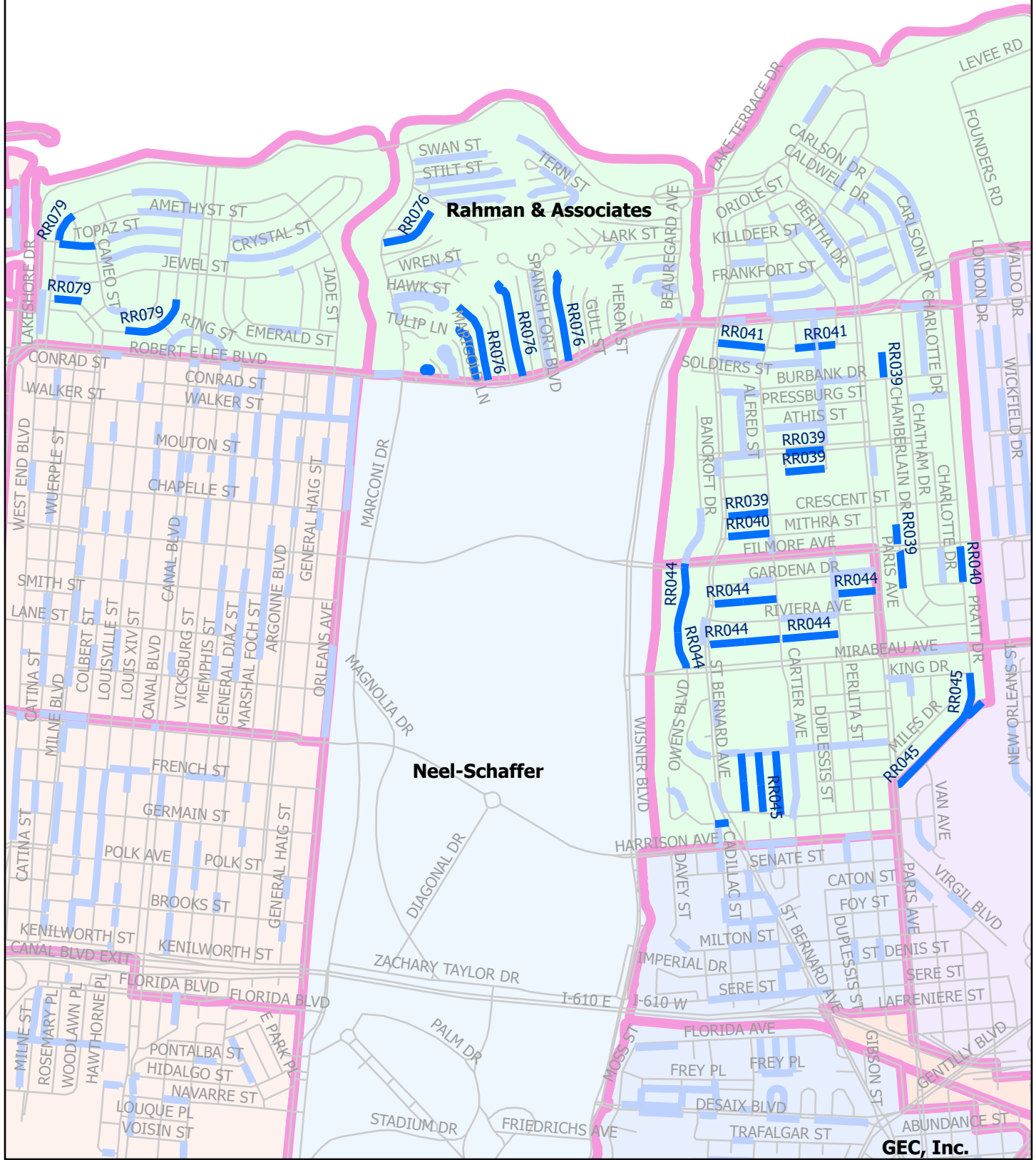
User	Share%	Dollar Amount	Reimbursible?
Sewer System	7%	\$ 38,400.00	WIFIA -49%
Water System	93%	\$ 515,361.00	FEMA - 100%
TOTAL		\$ 553,761.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

 Mark D. Van Hala, P.E.
 Program Administrator
 Joint Infrastructure Recovery Request



-  FEMA Water Line Replacement RR039, RR040, RR041, RR044, RR045, RR076, RR079
-  FEMA Water Line Replacement (Other Projects)



**Project Delivery Unit
May 2021 Closeout Snapshot**

FEMA Public Assistance	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - St. Joseph Headquarters	20	\$ 5,601,694.51	\$ 3,091,039.46	\$ 2,510,655.05	55%	19	\$ 2,236,513.15	\$ 2,214,148.02	19	\$ 2,236,513.15	95%	95%	0	\$ -
Hurricane Katrina - Central Yard	42	\$ 28,139,947.74	\$ 26,173,609.39	\$ 1,966,338.35	93%	42	\$ 28,139,947.74	\$ 27,858,548.26	32	\$ 22,025,919.44	100%	76%	10	\$ 6,114,028.30
Hurricane Katrina - Wastewater Treatment Plant	128	\$ 90,803,270.37	\$ 83,014,642.92	\$ 7,788,627.45	91%	127	\$ 82,684,591.55	\$ 81,857,745.63	120	\$ 37,176,177.85	99%	94%	7	\$ 45,508,413.70
Hurricane Katrina - Carrollton Water Plant	55	\$ 76,019,829.37	\$ 67,935,783.12	\$ 8,084,046.25	89%	54	\$ 64,304,777.65	\$ 63,661,729.87	51	\$ 13,730,451.46	98%	93%	3	\$ 50,574,326.19
Hurricane Katrina - Distribution Network	27	\$ 192,430,132.29	\$ 177,903,734.00	\$ 14,526,398.29	92%	25	\$ 114,411,682.59	\$ 113,267,565.76	18	\$ 30,028,021.61	93%	67%	7	\$ 84,383,660.98
Hurricane Katrina - Pump Stations	166	\$ 81,286,159.38	\$ 73,127,649.59	\$ 8,158,509.79	90%	160	\$ 79,985,972.17	\$ 79,186,112.45	143	\$ 58,844,680.54	96%	86%	17	\$ 21,141,291.63
Hurricane Gustav	9	\$ 667,553.90	\$ 668,689.06	\$ (1,135.16)	100%	9	\$ 667,553.90	\$ 660,878.36	8	\$ 665,778.64	100%	89%	1	\$ 1,775.26
Hurricane Isaac	8	\$ 1,459,598.43	\$ 955,019.44	\$ 504,578.99	65%	7	\$ 1,554,775.01	\$ 1,539,227.26	2	\$ 7,391.29	88%	25%	5	\$ 1,547,383.72
Tropical Storm Nate	2	\$ 439,728.39	\$ 346,207.41	\$ 93,520.98	79%	1	\$ 439,728.39	\$ 435,331.11			50%	0%	1	\$ 439,728.39
Hurricane Barry	2	\$ 947,134.10	\$ -	\$ 947,134.10	0%		\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Sally	1	\$ 14,781.65	\$ 14,781.65	\$ -	100%	1	\$ 14,781.65	\$ 14,781.65	1	\$ 14,781.65	0%	100%	0	\$ -
Total	460	\$ 477,809,830.13	\$ 433,231,156.04	\$ 44,578,674.09	91%	445	\$ 374,440,323.80	\$ 370,696,068.38	394	\$ 164,729,715.63	97%	86%	51	\$ 209,710,608.17

FEMA Joint Infrastructure	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - JIRR settlement	2	\$ 268,448,968.15	\$ 23,119,470.86	\$ 245,329,497.29	9%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - JIRR Donors	53	\$ 67,021,146.37	\$ 54,370,436.50	\$ 12,650,709.87	81%	40	\$ 46,873,548.32	\$ 46,404,812.84	6	\$ 263,742.70	75%	11%	34	\$ 46,609,805.62
Total	55	\$ 335,470,114.52	\$ 77,489,907.36	\$ 257,980,207.16	23%	40	\$ 46,873,548.32	\$ 46,404,812.84	6	\$ 263,742.70	73%	11%	34	\$ 46,609,805.62

FEMA Hazard Mitigation Grant Program	# of Contracts	FEMA Obligated	FEMA Revenue Received per LAHM	FEMA Obligation Balance	% Financially Complete	# of Contracts Completed	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - Retrofit of Power House	17	\$ 166,795,389.00	\$ 128,533,358.47	\$ 38,262,030.53	77%	11	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - Flood Mitigation of 9 SPS	9	\$ 19,987,722.00	\$ 19,299,825.10	\$ 687,896.90	97%	9	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Ike - Five Underpass Generators	1	\$ 988,658.00	\$ 839,129.23	\$ 149,528.77	85%	1	\$ -	\$ -	0	\$ -	100%	0%	0	\$ -
Total	27	\$ 187,771,769.00	\$ 148,672,312.80	\$ 39,099,456.20	79%	21	\$ -	\$ -	0	\$ -	78%	0%	0	\$ -

TOTALS as of 5.3.21	FEMA Obligated	FEMA Revenue Received	FEMA Obligation Balance
	\$ 1,001,051,713.65	\$ 659,393,376.20	\$ 341,658,337.45



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: June 2, 2021

To: Tanyell Allen,
Board Relations

Through: Ghassan Korban,
SWBNO Executive Director

From: Cashanna K Moses
Purchasing Department

Re: Executive Director's Approval of Contracts of \$1,000,000.00 or less

1. HDR Engineering, Inc
3100 South Sam Houston Parkway East
Houston, Tx 77047

- Internal and External Communications
- Effective Date: May 21, 2021
- Initial Term: One (1) Year from effective date
- Extension: May be extended for two (2) additional one (1) year periods
- Compensation: \$118,154.00
- DBE Goal: 0%



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: June 2, 2021

To: Ghassan Korban, Executive Director

Thru: Irma Plummer, EDBP Director 

From: Lyria Hicks, EDBP Compliance Officer

Re: EDBP Department Summary – May 2021

ANALYSES CONDUCTED BY EDBP

For the month of May 2021, the EDBP Department did not receive any Goods and Services contracts to review.

For the month of May 2021, the EDBP Department did not receive any Professional Services contracts to review.

For the month of May 2021, the EDBP Department received one (1) Construction contract to review.

CONTRACT #30237 –RESTORATION OF GRAVITY FLOW SANITARY SEWER MAINS BY POINT REPAIR AT VARIOUS SITES THROUGHOUT ORLEANS PARISH

On Thursday, May 20, 2021, three (3) bids were received for subject contract. The bid totals are as follows:

Wallace C. Drennan, Inc.	\$4,877,137.00
RAMJ Construction, LLC	\$5,157,670.00
Fleming Construction Co., LLC	\$8,360,400.00

Thirty-six percent (36%) DBE participation was established for this project.

Wallace C. Drennan, Inc., the apparent lowest bidder, submitted the following subcontractors:

C&M Construction Group, LLC (eligible certified SLDBE) to perform concrete and asphalt restoration and Prince Dump Truck Service, LLC (eligible certified SLDBE) to finish and spread sand and aggregate.

Total Participation: \$1,800,000.00 — 36.91%

Correspondence from DBEs on own letterhead reaffirming negotiated terms was provided.

RAMJ Construction, LLC, the apparent second lowest bidder, submitted the following subcontractors:

La Pequena Construction, LLC (eligible certified SLDBE) to perform concrete and asphalt installation, sewer line install, clean-up, and sawcutting and Supreme Developers, LLC (non-eligible certified SLDBE) to provide truck service, furnish sand & rocks, supply barricades, install manholes and sewer lines, and perform concrete flatwork.

Total Submitted DBE Subcontracting: \$1,877,000.00 — 36.39%

Total Eligible DBE Participation: \$1,000,000.00 — 19.39%

Correspondence from DBEs on their letterhead reaffirming negotiated terms was provided.

Although certified by SLDBE and LA-UCP, Supreme Developers, LLC is not certified to perform work in any of the tasks listed on the Participation Summary Sheet.

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by **Wallace C. Drennan, Inc.**, be considered as responsive, and **RAMJ Construction, LLC** be considered as non-responsive to meeting EDBP bid requirements.

CONSTRUCTION REVIEW COMMITTEE RECOMMENDATIONS

The Construction Review Committee convened on Thursday, May 13, 2021 and May 18, 2021 where the following recommendations were made:

- 1) Contract TM003: Transmission Main - Tulane Stadium**
Budget Amount: \$12,831,753.00
Renewal Term(s): N/A
Recommended
Percentage Goal: 15%
Justification: N/A

- 2) Contract TM0010: Transmission Main – Decatur St.**
Budget Amount: \$3,652,739.00
Renewal Term(s): N/A
Recommended
Percentage Goal: 27%
Justification: N/A

- 3) TM005: Transmission Main – Eden-Grape-Dupre St.**
Budget Amount: \$5,675,272.50
Renewal Term(s): N/A
Recommended
Percentage Goal: 30%
Justification: N/A

4) **Contract TM011: Transmission Main – Claiborne Ave.**
Budget Amount: \$2,548,599.50
Renewal Term(s): N/A
Recommended Percentage
Goal: 23%
Justification: N/A

Note: Items #1 and #2 were approved May 13, 2021; items #3 and #4 were approved May 18, 2021.

STAFF CONTRACT REVIEW COMMITTEE RECOMMENDATIONS

The Staff Contract Review Committee convened on Thursday, May 13, 2021 and made the following recommendations:

Open Market Contracts

1) Request for Furnishing Lime to the Algiers Water Plant

Budget Amount: \$300,000.00
Renewal Option(s): Initial term of One (1) year with one (1) one-year renewal option
Recommended Percentage Goal: 0%
Justification: **Due to specialized nature of manufacturing and transport**

2) Request for Furnishing S&WB Standards 4” and 5” Fire Hydrants with McGard Anti-tampering Device

Budget Amount: \$1,500,000.00
Renewal Option(s): Initial term of One (1) year with two (2) one-year renewal options
Recommended Percentage Goal: 0%
Justification: **Due to specialized nature of manufacturing and transport**

3) Request for Furnishing Complete Kennedy Gate Valves

Budget Amount: \$700,000.00
Renewal Option(s): Initial term of One (1) year with two (2) one-year renewal options
Recommended Percentage Goal: 0%
Justification: **Due to specialized nature of manufacturing and transport**

Renewal Contracts

1) Request for Furnishing Anhydrous Ammonia to the Carrollton and Algiers Water Plant

Budget Amount:	\$358,050.00
Renewal Option:	First and final renewal option
Amount Spent:	\$320,000.00
Percentage Goal:	0%
Prime Contractor:	Tanner Industries

2) Request for Furnishing Janitorial and Related Services at SWBNO Locations: Central Yard Complex, Carrollton Water Plant, St. Joseph St., and Behrman Place

Budget Amount:	\$394,808.40
Renewal Option:	Second of two (2) one-year renewal options
Amount Spent:	\$352,611.53
Percentage Goal:	30%
Goal Achieved:	51.82%
Prime Contractor:	ETI Inc.

3) Request to Furnishing Services to Repair and Maintain Engineering Building A/C System NO. PM21022

Budget Amount:	\$50,000.00
Renewal Option:	Second of two (2) one-year renewal options
Amount Spent:	\$21,333.36
Percentage Goal:	0%
Prime Contractor:	Trux Air Conditioning, Inc.

CONSTRUCTION RENEWAL CONTRACTS with DBE PARTICIPATION

There were no projects with a DBE Participation Goal to review for the month of May 2021.

FINAL ACCEPTANCE CONSTRUCTION CONTRACTS with DBE PARTICIPATION

There were no final acceptance construction contracts with a DBE participation goal to review for the month of May 2021.

SEWERAGE & WATER BOARD OF NEW ORLEANS CONTRACTS WITH DBE PARTICIPATION

There were no contracts with DBE participation awarded for the month of May 2021.

Sewerage & Water Board New Orleans Awarded Projects with SLDBE Participation January 2021 - May 2021

Category	Category Dollar Amount	SLDBE Dollar Value
Construction Projects	\$ 4,638,391	\$ 599,301
Goods & Services Projects	\$ -	\$ -
Professional Services Projects	\$ 5,470,433	\$ 1,436,901
Grand Total	\$ 10,108,824	\$ 2,036,202

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - May 2021

Construction Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Cont #6267: Turbine 5 Exhaust System Fabrication and Installation	10%	\$ 2,218,500.00	M.R. Pittman Group, LLC	JEI Solutions, Inc.	0.68%	\$ 15,000.00	1/20/2021
				GreenPoint Engineering, LLC	3.49%	\$ 77,355.00	
				The Three C's Properties, Inc.	5.58%	\$ 123,840.00	
				Industry Junction, Inc.	0.58%	\$ 12,906.00	
				Total	10.33%	\$ 229,101.00	
Cont #2152; Gert Town Waterline Replacement Program – S. Dupree St, TM004, PW21031	15%	\$ 2,419,891.00	BLD Services, LLC	C&M Construction Group, Inc.	13.17%	\$ 318,700.00	3/17/2021
				Prince Dump Truck Service, LLC	2.13%	\$ 51,500.00	
				Total	15.30%	\$ 370,200.00	
Total Construction Projects		\$ 4,638,391.00			12.92%	\$599,301.00	

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - May 2021

Goods & Services Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Total Goods & Services Projects		\$0.00			0%	\$0.00	

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - May 2021

Professional Services Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Consulting Services for the Manging the Preparation, Selection, and Implementation of An Advance Metering Infrastructure Solution	35%	\$4,105,431.00	Jacobs Engineering Group, Inc.	1) Bright Moments 2) Gaea Consultants, LLC 3) Integrated Logistical Support, Inc. DBA ILSI Engineering	35.00%	\$1,436,900.85	2/10/2021
Construction Materials Testing and Observation Services South Shore Sewer Rehabilitation No.1 (Contract 202121)	25%	\$31,696.95	Eustis Engineering, LLC		25.00%		3/9/2021
Bayou Saint John Green Infrastructure Demonstration Project	5%	\$361,000.00	Dana Brown and Associates, Inc.	Adaptation Strategies	15.00%		4/7/2021
H2O Academy - Employee Development and Training	35%	\$872,305.00	Fazande Consulting, LLC	The Hackett Group; The Caulfield Consulting Group	35%		4/23/2021
Professional Services Agreement	15%	\$50,000.00	Mouledoux, Bland, Legrand & Brackett, LLC		15%		4/20/2021
Professional Services Agreement	15%	\$50,000.00	Butler Law Firm, LLC		15%		4/23/2021
Total Professional Services Projects	22%	\$5,470,432.95			23%	\$1,436,900.85	