

SEWERAGE & WATER BOARD OF NEW ORLEANS

FINANCE AND ADMINISTRATION COMMITTEE MEETING

WEDNESDAY, JANUARY 15, 2020

9:00 AM

6 2 5 S T . J O S E P H S T R E E T
2 ^{N D} F L O O R B O A R D R O O M

Lynes Sloss • Janet Howard
Joseph Peychaud • Ralph Johnson • Alejandra Guzman

FINAL AGENDA

1. **ROLL CALL**

2. **EXECUTIVE SESSION**

Pursuant to La. R.S. 42:17(A)(1), the Sewerage and Water Board of New Orleans Finance and Administration Committee will meet in Executive Session to discuss, Pepper and Associates, Inc v. Sewerage and Water Board of New Orleans, Civil District Court, Case Number 2017-01465, Division I.

3. **ACTION ITEMS**

General Superintendent's Report

a. Bids/Renewals

- Resolution (R-001-2020) Recommendation for Award of Contract for Furnishing Sodium Hypochlorite
- Resolution (R-003-2020) Recommendation for First Renewal of Contract 8145 – Repaving Open Cuts in Streets, Driveways and Sidewalks Resulting from the Repair of Sewerage and Water Board of New Orleans Underground Utilities
- Resolution (R-005-2020) Recommendation for Extension of Contract 8138 – Furnishing Skilled and Unskilled Labor for Maintenance Services

b. Change Orders

- Resolution (R-006-2020) Ratification of Change Order No. 1 for Contract 30108 – BISI Sludge Dryer System Equipment Purchase at the EBWWTP

c. Contract Amendments

- Resolution (R-007-2020) Ratification of Contract Amendment No. 2 for Professional Services Between the Sewerage and Water Board and Linfield Hunter & Junius, Inc. for Design and Engineering Services for Water Line Replacement Program/JIRR

- Resolution (R-008-2020) Ratification of Contract Amendment No. 9 for Professional Services Between the Sewerage and Water Board of New Orleans and Stanley Consultants, Inc. for Design and Engineering Services for Water Hammer Hazard Mitigation Program
- Resolution (R-009-2020) Ratification of Contract Amendment No. 2 for Professional Services Between the Sewerage and Water Board of New Orleans and Pepper and Associates, Inc. for Design and Engineering Services of DPS 19 Florida Avenue and the Inner Harbor Navigation Canal (IHNC)
- Resolution (R-010-2020) Ratification of Contract Amendment No. 4 for Professional Services Between the Sewerage and Water Board of New Orleans and Stantec Consulting Services, Inc. for Design and Engineering Services for the Water Line Replacement Program (WLRP)/JIRR
- Resolution (R-011-2020) Authorization for Professional Services Agreement Between Sewerage and Water Board of New Orleans and Leonard C. Quick & Associates, Inc. (Claiborne Avenue Phase I – 2020)
- Resolution (R-013-2020) Authorization for Professional Services Agreement Between Sewerage and Water Board of New Orleans and Leonard C. Quick & Associates, Inc. (Louisiana Avenue – 2020)
- Resolution (R-014-2020) Authorization for Professional Services Agreement Between Sewerage and Water Board of New Orleans and Leonard C. Quick & Associates, Inc. (Napoleon Avenue Phase II – 2020)
- Resolution (R-015-2020) Authorization for Professional Services Agreement Between Sewerage and Water Board of New Orleans and Leonard C. Quick & Associates, Inc. (Napoleon Avenue Phase III – 2020)

d. Final Acceptance

- Resolution (R-016-2020) Final Acceptance for Contract 30208 – New Orleans East Basin – Village De L’est/Venetian Isles Neighborhoods – Sewer Rehabilitation

Chief Financial Officer’s Recommendations

e. Finance Resolutions

- Resolution (R-206-2019) – Sewerage and Water Board Adoption of Certain Provisions of The Louisiana Procurement Code (LPC)
- Resolution (R-019-2020) – Resolution to Renew and Adjust Executive Director’s Contract

4. INFORMATION ITEMS

- f.** Preliminary November Financial Statement
- g.** FEMA Report
- h.** Executive Director's Approval of Contracts of \$1,000,000.00 or Less
- i.** DBE Participation on Contracts
 - DBE Bid Analysis
 - Construction Review Committee – Goals Setting
 - Staff Contract Review Committee - Goals Setting
 - Final Acceptance of Construction Contracts with DBE Participation

5. PUBLIC COMMENT

6. ADJOURNMENT

**GENERAL SUPERINTENDENT RECOMMENDATIONS
FOR THE JANUARY 15, 2020
FINANCE AND ADMINISTRATION COMMITTEE MEETING**

A listing of the bids, change orders, amendments and final acceptances received during the month of December is included in the following report. A brief summary is attached for your review.

BID/RENEWAL (4)

- Page 5 – R-001-2020 - Recommendation for Award of Contract for Furnishing Sodium Hypochlorite – Requisitions AL200001 and CM20002
- Page 8 – R-003-2020 - Recommendation for First Renewal of Contract 8145 – Repaving Open Cuts in Streets, Driveways and Sidewalks Resulting from the Repair of Sewerage and Water Board of New Orleans Underground Utilities
- Page 14 – R-005-2020 - Recommendation for Extension of Contract 8138 – Furnishing Skilled and Unskilled Labor for Maintenance Services

CHANGE ORDERS (1)

- Page 21 – R-006-2020 – Ratification of Change Order No. 1 for Contract 30108 – BISI of Sludge Dryer System Equipment purchase at the EBWWTP

CONTRACT AMENDMENT (8)

- Page 26 – R-007-2020 – Ratification of Contract Amendment No. 2 for Professional Services Between the Sewerage and Water Board of New Orleans and Linfield, Hunter & Junius, Inc for Design and Engineering Services for Water Line Replacement Program (WLRP)
- Page 31 – R-008-2020 – Ratification of Contract Amendment No. 9 for Professional Services Between the Sewerage and Water Board of New Orleans and Stanley Consultants, Inc. for Design and Engineering Services for Water Hammer Hazard Mitigation Program
- Page 36 – R-009-2020 – Ratification of Contract Amendment No. 2 for Professional Services Between the Sewerage and Water Board of New Orleans and Pepper and Associates, Inc. for Design and Engineering Services of DPS 19 Florida Avenue and the Inner Harbor Navigation Canal (IHNC)

Page 38 – R-010-2020 – Ratification of Contract Amendment No. 4 for Professional Services Between the Sewerage and Water Board of New Orleans and Stantec Consulting Services, Inc. for Design and Engineering Services for the Water Line Replacement Program (WLRP)

Page 44 – R-011-2020 - Authorization for Professional Services Agreement Between the Sewerage and Water Board of New Orleans and Leonard C. Quick & Associates, Inc. (Claiborne Avenue Phase I – 2020)

Page 47 – R-013-2019 - Authorization for Professional Services Agreement Between the Sewerage and Water Board of New Orleans and Leonard C. Quick & Associates, Inc. (Louisiana Avenue – 2020)

Page 50 – R-014-2019 - Authorization for Professional Services Agreement Between the Sewerage and Water Board of New Orleans and Leonard C. Quick & Associates, Inc. (Napoleon Avenue Phase II – 2020)

Page 53 – R-015-2019 - Authorization for Professional Services Agreement Between the Sewerage and Water Board of New Orleans and Leonard C. Quick & Associates, Inc. (Napoleon Avenue Phase III – 2020)

CONTRACT FINAL ACCEPTANCE (1)

Page 57 – R-016-2019 – Final Acceptance for Contract 30208 – New Orleans East Basin – Village De L'est/Venetian Isles Neighborhoods – Sewer Rehabilitation

I, Robert Turner, P.E., General Superintendent,
Sewerage and Water Board of New Orleans, do hereby
certify that I have reviewed and approved the
General Superintendent's Recommendations
for the January 15, 2020
Finance and Administration Committee Meeting.



Robert Turner, P.E.,
GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

BIDS/RENEWALS

**FURNISHING SODIUM HYPOCHLORITE TO THE ALGIERS WATER PLANT
AND CARROLLTON WATER PLANT - REQ. NO.AL200001 & CM200002**

WHEREAS, the Sewerage and Water Board of New Orleans advertised, according to public bid law, a Request for Bids for **FURNISHING SODIUM HYPOCHLORITE TO THE ALGIERS WATER PLANT AND CARROLLTON WATER PLANT - REQ. NO.AL200001 & CM200002**; and,

WHEREAS, two (2) bids were received on Thursday, December 5, 2019; and,

NOW, THEREFORE BE IT RESOLVED, that the responsible and responsive low bid is hereby accepted, and contract awarded therefore to **DPC Enterprises, L.P.** in the amount of \$1,657,200.00.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
January 22, 2020.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
Board of Director Contractor Fact Sheet**



ACTION REQUESTED

FURNISHING SODIUM HYPOCHLORITE REQUISITION NO. AL200001 and CM200002

CONTRACTOR/SUB/VENDOR INFORMATION (FROM ORIGINAL BID)

PRIME:	DBE Vendors:	DBE PARTICIPATION 0%	
		Estimated at Bid	Actual to Date
DPC Enterprises, L.P.			

DESCRIPTION AND PURPOSE

Original Contract Value:	\$ 1,657,200.00
Present Agreement Amount:	\$ 1,657,200.00
This Renewal Amount	N/A
Total Value of Contract:	\$ 1,657,200.00

Purpose and Scope of the Contract:

Sodium hypochlorite is a chemical that is used as a disinfectant in the potable water treatment process.

Reason for Renewal:

N/A

PROCUREMENT INFORMATION

Contract Type:	Base Bid	Award Based On:	Lowest Competitive bid;
Commodity:	Goods and Services	Contract Number:	Reqs AL200001 & CM200002
Contractor Market:	Public Bid with 0% DBE participation		

BUDGET INFORMATION

Funding:	SWB-3224-4745 and SWB-3244-4745	Department:	Water Purification
System:	Water	Department Head:	Chad Lavoie
Job Number:		Purchase Order:	

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount
Sewer System		
Water System	100%	\$1,657,200.00
Drainage System		
FEMA		
Other		
TOTAL ESTIMATED DOLLAR AMOUNT OF CONTRACT		\$1,657,200.00



Eric Labat
Chief of Operations

FIRST RENEWAL OF CONTRACT 8145 – Repaving Open Cuts in Streets, Driveways, Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities.

WHEREAS, the Sewerage and Water Board of New Orleans entered into Contract No. 8145 with Wallace C. Drennan for the amount of \$4,784,650.00 (R-175-2018); and

WHEREAS, under the provisions of the contract, the Board, with the contractor's concurrence, reserves the right to extend their contract; and

WHEREAS, the contractor, Wallace C. Drennan, desires to extend its contract facilitating the continuity of its services, in the amount of \$4,784,650.00 for the Repaving Open Cuts in Streets, Driveways, Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities; and

WHEREAS, no change orders have been requested for Contract 8145 to date; and

WHEREAS, the DBE participation for this project is 36% and the participation through November 2019 is 46.96%; and

WHEREAS, this Renewal in the amount of \$4,784,650.00 will bring the total contract amount to \$9,569,300.00 and funds for this project are budgeted under the Operations & Maintenance Fund – ORG 6620 OBJ 4409, ORG 6621 OBJ 4407; and

NOW, THEREFORE, BE IT RESOLVED, that the request of Compliance Wallace C. Drennan, Inc., to extend its contract through **January 17, 2021**, is hereby approved.

I, Ghassan Korban, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 22, 2020.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATERBOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONSTRUCTION SERVICES CONTRACT RENEWAL

Networks Services

Approval for First Renewal of Contract 8145 – Repaving Open Cuts in Streets, Driveways, Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities.

CONTRACTOR/SUB/VENDOR INFORMATION

PRIME:	SUBS:	DBE PARTICIPATION GOAL:
Wallace C. Drennan, Inc.	C&M Construction Group	21.9%
	Prince Dump Truck Service	1%
	Dieudonne Enterprises	5.2%
	Landrieu Concrete	8.4%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$4,784,650.00
Previous Change Orders:	\$0.00
Current Renewal Value:	\$4,784,650.00
Original Contract Dates:	1/17/2019 to 1/17/2020
Time Extensions Authorized:	Zero
Additional time Requested:	Zero
No. of Option Years in Contract:	2
Total Revised Value of Contract:	\$9,569,300.00

Purpose and Scope of the Contract:

The purpose of this contract is for the repaving open cuts in streets, driveways, sidewalks resulting from the repair to the Sewerage and Water Board of New Orleans underground utilities.

Reason for First Renewal:

The purpose of this request is to continue Contract 8145, Repaving Open Cuts in Streets, Driveways, Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities.

Spending Previous Years:

Cumulative Contract Value: \$4,784,650.00

Cumulative Contract Spending: \$2,971.416.28

Contractor's Past Performance:

The contractor's performance has been satisfactory to date.

PROCUREMENT INFORMATION

Contract Type:	Fixed Unit Rate	Award Based On:	Lowest Competitive bid;
Commodity:	Construction Services	Contract Number:	8145
Contractor Market:	Open Market with DBE participation		

BUDGET INFORMATION

Funding:	O&M 6620/4409 O&M 6621/4407	Department:	Networks
System:	Water Sewer	Department Head:	Fred Tharp

User Amount	ESTIMATED FUND SOURCE	
	Share%	Dollar
Sewer	30%	\$1,435,395.00
Water	70%	\$3,349,255.00
Drainage		
Grant		
Other		
TOTAL ESTIMATED DOLLAR AMOUNT OF RENEWAL	100%	\$4,784.650.00

Fred Tharp, P.E.
Chief of Networks
Sewerage and Water Board of New Orleans



SEWERAGE AND WATER BOARD OF NEW ORLEANS

Networks Department

Date: December 16, 2019

From: Fred Tharp
Chief of Networks

To: Alvin Porter
Director of EDBP

CC: Ghassan Korban, Robert Turner, Patty Wallace, Conestler Green

Re: CONTRACT 8145 RENEWAL FOR CONTRACT EXTENSION

Please place the following Contract for Renewal on the Agenda for the Board Meeting on Wednesday, January 15, 2019.

The contract for renewal is Contract 8145 Repaving Open Cuts in Streets, Driveways, Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities for a one-year period. All terms, conditions and pricing will remain the same as in the original contract.

I would appreciate you forwarding this to the attention of the appropriate committees of the Board for their consideration and approval.



SEWERAGE AND WATER BOARD OF NEW ORLEANS

First Renewal of Contract 8145

PROPOSAL: The Contractor **Wallace C. Drennan, INC.** has requested that the Board extend its current Contract 8145 for the Repaving Open Cuts in Streets, Driveways, Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities for a one-year period. All terms and conditions, including the bid price agreed upon in the present contract, shall remain unchanged.

EVALUATION:

- The original Contract 8145 for the Repaving Open Cuts in Streets, Driveways, Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities. was awarded to **Wallace C. Drennan, INC.** in the November 21, 2018 Board of Directors meeting in the total amount of four million seven hundred eighty-four thousand six hundred fifty dollars and no/100 (\$4,784,650.00) with two (2) 1-year renewal options.
- No change orders have been requested for this contract to date.
- If approved by the Board, this would be the first of two (2) optional renewals of this contract with thirty-six (36 %) percent DBE participation. The current DBE participation through November 2019 is 46.96%.
- It is recommended that the Board approve the request as proposed by the contractor.
- Funds for this project are budgeted under the O & M 6620/4409, O & M 6621/4407

RECOMMENDATION: It is recommended that the Board approve this first renewal to **Wallace C. Drennan, INC.** in the total amount of four million seven hundred eighty-four thousand six hundred fifty dollars and no/100 (\$4,784,650.00) for a period of one-year. **The renewal will bring the total contract amount to \$9,569,300.00.**

**AUTHORIZATION FOR THIRD EXTENSION of CONTRACT 8138
FURNISHING SKILLED AND UNSKILLED
LABOR FOR MAINTENANCE SERVICES
WITH THE GEE CEE COMPANY OF LOUISIANA, INC.**

WHEREAS, the Board and the Gee Cee Company of Louisiana, Inc., (“Contractor”) entered into Contract 8138 (the “Original Contract”) on January 31, 2012, pursuant to Contractor’s successful bid dated October 28, 2011, following a publicly advertised bid process for a contractor to furnish skilled and unskilled labor for maintenance services for the Board (the “Services”); and,

WHEREAS, the Original Contract dated January 31, 2012, was for a period of one (1) year with three (3), one (1)-year options for renewal; and,

WHEREAS, by Resolution R-026-2013 the Original Contract was renewed for a one-year extension beginning April 1, 2013 and ending March 31, 2014, for \$2,428,498.00; and,

WHEREAS, by Resolution R-061-2014 the Original Contract as renewed, was again renewed effective April 1, 2014, for \$2,428,498.00; and,

WHEREAS, by Resolution R-094-2015 the Original Contract as renewed was again renewed effective June 1, 2015, for \$2,428,498.00; and,

WHEREAS, in 2016 the Board advertised for a new contract to provide for furnishing of skilled and unskilled labor services on substantially the same terms as the Current Contract, but all bids were rejected, and Contractor continued to provide services pursuant to the Current Contract by purchase requisition; and,

WHEREAS, in 2017 a new solicitation was prepared and issued seeking bids for furnishing of skilled and unskilled labor services, a pre-bid conference was held, but after issuance of multiple addenda in response to various questions posed by potential bidders the decision was made to cancel the bid; and,

WHEREAS, by Resolution R-042-2019 the Board authorized extension of the Original Contract through July 31, 2019, pending the completion of a new public bid process for a new contract for the Services (the Original Contract as renewed and extended as set forth above, being hereinafter referred to as the “Current Contract”); and,

WHEREAS, in April 2019 the Board advertised a new solicitation for bidders to contract for the furnishing of skilled and unskilled labor services, and four (4) bids were received and opened on Thursday April 25, 2019; and,

WHEREAS, after opening all four (4) bids, Board staff determined that there were sufficient issues with all four submissions to warrant the withdrawal of this solicitation and issuance of a new bid package in the near future; and,

WHEREAS, two (2) of the unsuccessful bidders formally protested such determination, an administrative hearing was held May 23, 2019, and the hearing officer found that the determination that all proposals submitted were nonresponsive was made in accordance with applicable law and the rules of the Board, then recommended that the solicitation be re-bid; and,

WHEREAS, the protest process, hearing and resultant decisions by the hearing officer delayed the renewed public bid process and anticipated date for execution of a new contract for the Services beyond the July 31, 2019 extension previously authorized by the Board; and,

WHEREAS, by Resolution R-124-2019 the Original Contract as renewed was again renewed effective August 1, 2015, for \$1,900,000.00; and

WHEREAS, in September the Sewerage and Water Board of New Orleans advertised, according to public bid law, a Request for Quotes for Furnishing and for Skilled and Unskilled Labor For Maintenance,

WHEREAS, three (3) responsive bid packages were received on November 18, 2019; and,

WHEREAS, one (1) of the unsuccessful bidders formally protested such determination and requested an appeal before a Hearing Officer, and the Board is awaiting such hearing and decision; and,

WHEREAS, the protest process, hearing and resultant decision by the hearing officer will delay the public bid process and anticipated date for execution of a new contract for the Services beyond the December 31, 2019 extension previously authorized by the Board; and,

WHEREAS, the Board has continuing need of the Services being provided by Contractor pursuant to the Current Contract, Contractor has been continuing to provide and perform such services, and Contractor is willing to continue to perform such services, all in accordance with the terms of the Current Contract; and,

WHEREAS, the Current Contract authorizes the Board, at its discretion and for any cause which it may deem sufficient, to extend the times set for starting and for completing the Current Contract, either or both; and,

WHEREAS, the Current Contract provides for Extra Work when, for the proper prosecution of a contract, work becomes necessary which has not been provided for in any clause of the contract; and,

WHEREAS, Contractor has been providing said services pursuant to the Current Contract continually since the execution of the Original Contract, through and including the date hereof; and,

WHEREAS, the parties have reviewed the Current Contract, confirmed Board's need for the services by Contractor thereunder as have been provided continually since the inception of the

Original Contract, have confirmed the ability and willingness of Contractor to continue to perform in accordance with and pursuant to the same terms and conditions of the Current Contract, through and including the 31st day of March 2020; and,

WHEREAS, the cost of such continuing Services pending resolution of the bid protest and subsequent execution of new contract is Nine Hundred and Thirty Thousand and No/100 (\$930,000.00) Dollars;

NOW THEREFORE BE IT RESOLVED, that the Board hereby ratifies the authorization of the above-described actions, authorizes the payment of the additional amount of Nine Hundred Thirty Thousand and No/100 (\$930,000.00) Dollars for such services, and authorizes the President or President Pro Tem of the Board to execute an agreement by and between the Board and **THE GEE CEE COMPANY OF LOUISIANA, INC. (“Contractor”)** documenting the same and extending the Current Agreement through March 31, 2019, for such **additional amount not to exceed Nine Hundred Thirty Thousand and No/100 (\$930,000.00)** for a total contact amount from the commencement of the Original Contract through March 31, 2019, **not to exceed Twenty-Two Million Thirty-One Thousand Five Hundred Forty-Nine and 10/100 Dollars (\$22,031,549.10).**

I, GHASSAN KORBAN, Executive Director,
Sewerage and Water Board of New Orleans do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 22, 2020.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET



ACTION REQUESTED

PROFESSIONAL SERVICES AMENDMENT - RATIFICATION

Skilled and Unskilled Labor

Approval to ratify Contract Amendment No. 3 for \$930,000.00 and extend the contract through March 31, 2020.

CONTRACTOR/SUB/VENDOR INFORMATION

PRIME:	SUBS:	DBE PARTICIPATION GOAL:
GEE CEE		35%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$2,428,498.00
Previous Amendments Value:	\$18,673,051.10
Current Amendment Value:	\$930,000.00
Original Contract Dates:	1/31/12 to 12/31/19
Time Extensions Authorized:	through December 31, 2019
Additional time Requested:	Extension to March 31, 2020
No. of Option Years in Contract:	none left
Total Revised Value of Contract:	\$22,031,549.10

Purpose and Scope of the Contract:

The main purpose of this contract is to furnish skilled and unskilled labor for maintenance services for the Board.

Reason for Amendment:

On October 28, 2011, the Board publicly advertised for a contractor to furnish skilled and unskilled labor for maintenance service at Carrollton Water Plant. The Board and the Gee Cee Company of Louisiana, Inc., entered into Contract 8138 on January 31, 2012.

With Resolution R-124-2019, the Board authorized a second extension of the original contract with GEE CEE through December 31, 2019, pending the completion of a new public bid process for a new contract for the Skilled and Unskilled Labor at the Carrollton Water Plant.

In September 2019 the Board advertised a new solicitation for bidders to contract for the furnishing of skilled and unskilled labor services, and three (3) bids were received and opened on November 18, 2019. After opening all three (3) bids, Board staff made a recommendation to award to the lowest responsive bidder. One (1) of the unsuccessful bidders formally protested the unresponsive determination. An administrative hearing is pending, delaying the public bid process passed the contract expiration date of December 31, 2019.

The Board has a continued need for the furnishing of skilled and unskilled labor for maintenance services. Contractor has been continuing to provide and perform such services, and Contractor is willing to continue to perform such services, all in accordance with the terms of the current Contract. This request is in the amount of \$930,000 for Skilled and Unskilled Labor from December 31, 2019 to March 31, 2019.

Spending Previous Years:

Cumulative Contract Value: 1/31/12 to 12/31/19: \$21,101,549.10

Cumulative Contract Spending: 1/31/12 to 12/31/19: \$18,850,495.03

Contractor's Past Performance:

According to the Board's project manager, the contractor's quality of performance and conformance to SWBNO policies and procedures all meet expectations.

PROCUREMENT INFORMATION

Contract Type:	Fixed Hourly Rate	Award Based On:	Public Bid
Commodity:	Skilled and Unskilled Labor	Contract Number:	8138
Contractor Market:	Open Market with preference points for DBE participation		

BUDGET INFORMATION

Funding:	O&M	Department:	Facility Maintenance
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System:	Combo S/W/D	Department Head:	Eric Mancuso
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ESTIMATED FUND SOURCE

User	Share%	Dollar Amount
Sewer	33%	\$310,000
Water	33%	\$310,000
Drainage	33%	\$310,000
Grant		
Other		
TOTAL ESTIMATED DOLLAR AMOUNT OF AMENDMENT		\$930,000



 Eric Labat
 Chief of Operations

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

CHANGE ORDERS

CHANGE ORDER NO. 1 FOR CONTRACT 30108 – Procurement of a Sludge Dryer at the East Bank Sewer Treatment Plant

WHEREAS, the Sewerage and Water Board entered into Contract 30108 with Komline - Sanderson in the amount of \$3,885,000.00 for Procurement of a Sludge Dryer at the East Bank Wastewater Treatment Plant, and

WHEREAS, the addition of a pump to drain the thermal fluid collection tank and additional storage days for the sludge dryer are required at a cost of \$185,518.78, and

WHEREAS, the time associated with the performance of this work requires 749 days to be added to the contract period making the substantial completion date December 15, 2019, and

WHEREAS, this Change Order, in the amount of \$185,518.78, brings the accumulated contract total to \$4,070,518.78, and

NOW THEREFORE BE IT RESOLVED, that approval of Change Order No. 1 for Contract 30108 is ratified by the Sewerage and Water Board of New Orleans.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
January 22, 2020.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET



ACTION REQUESTED – APPROVAL OF FCO/CO
CONTRACT 30108 – CO No. 1

Approval to ratify Contract #30108 Change Order #1 in the amount of \$185,518.78

CONTRACTOR/SUB/VENDOR INFORMATION (FROM ORIGINAL BID)

PRIME:	DBE Vendors:	DBE PARTICIPATION GOAL:
Komline – Sanderson		0%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$3,885,000.00
Previous Change Orders Value:	\$0.00
Present Agreement Amount:	\$3,885,000.00
This FCO/CO Add (Deduct):	\$185,518.78
Total Revised Value of Contract:	\$4,070,518.78
Additional time Requested:	749 Days

This CO Percentage of Original Contract:	4.78%
Total CO Percentage of Original Contract:	4.78%
Has work been completed to date:	Yes

Purpose and Scope of the Contract: The purpose of this contract is to supply a Sludge Dryer.

Reason for Change Order:

This reasons for the Change Order include:

- The addition of a drain pump for the thermal oil system is required for maintenance of the system. It also adds a means to drain the system if there were

ever a thermal fluid tube leak or a fire in the flue gas duct. It was not included in the original design but added at the request of operations.

- The sludge dryer delivery date was rescheduled due to design and financial delays, which required SWBNO to pay for storage fees for the duration of the delay.

Spending Previous Years:

Cumulative Contract Value: 02/27/2018 to 12/01/2019: \$4,070,518.78
 Cumulative Contract Spending: 02/27/2018 to 12/01/2019: \$3,592,085.78

PROCUREMENT INFORMATION

Contract Type:	Base Bid	Award Based On:	Lowest Competitive bid
Commodity:	Goods and Services	Contract Number:	30108
Contractor Market:	Public Bid		

BUDGET INFORMATION

Funding:	FEMA	Department:	WWTP Operations
System:	Sewage	Department Head:	Felicia Bergeron
Job Number:	30108FEM	Purchase Order:	6000053 PG2019

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount
Sewer	100%	\$185,518.78
Water		

Drainage		
Grant - HMGP		
Other		
TOTAL ESTIMATED DOLLAR AMOUNT OF Change Order		\$185,518.78



Felicia Bergeron, PE
WWTP Operations

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

CONTRACT AMENDMENTS

AUTHORIZATION OF CONTRACT AMENDMENT NO. 2 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND LINFIELD, HUNTER & JUNIUS, INC FOR DESIGN AND ENGINEERING SERVICES FOR WATER LINE REPLACEMENT PROGRAM

WHEREAS, at its December 21, 2011, meeting, the Board approved a list of engineering and consulting firms for the replacement and rehabilitation of Board facilities and directed staff to enter into negotiations for project(s) with the most qualified candidate based upon the firm's ability to perform the work for the project's size and complexity; and

WHEREAS, by to Resolution R-042-2012, adopted at its March 15, 2012, meeting, the Board awarded a contract to Consultant in the amount of \$644,867.31 Water Line Replacement for the Leonidas, Marlyville-Fountainbleau, East Carrollton, and Black Pearl neighborhoods; and

WHEREAS, On August 20, 2014 the Board expanded the scope of work to include program/project management and design and inspection services of repair or replacement of drain lines in the amount of \$158,634.58 (R-161-2014, Amendment 1); and

WHEREAS, those Program/Project Management Services are being provided by the Board's staff and the unaccrued portion of those fees (-\$15,863.43) are no longer necessary; and

WHEREAS, to prevent unnecessary delays to the Sewerage and Water Board's Water Line Replacement Program and the City of New Orleans Recovery Roads Program as a result of DPW modifying their project limits after S&WB designs were completed, S&WB tasked its consultants with realigning the completed designs to those project limits modified by DPW for the FEMA Funded Water Lines in the Marlyville-Fontainbleau, Black Pearl, and East Carrollton neighborhoods, Consultant is willing and able to provide such services for compensation not to exceed \$26,274.82; and

WHEREAS, Consultant has requested an adjustment in the hourly billing rates from the original Agreement dated September 10, 2012, and has provided rates and an independent audit report dated June 28, 2018; and

WHEREAS, the Board acknowledges the need to update the billing rates under the Agreement, and agrees that the agreement should be amended to include the adjusted hourly billing rates, and also include design realignment under the current Agreement, and to rescind unaccrued program/project management fees for a net change in the additional compensation to Consultant under the Agreement of \$10,411.39 as negotiated and approved by Linfield, Hunter & Junius, Inc. and Sewerage and Water Board staff; and

NOW THEREFORE, BE IT RESOLVED, that the Executive Director of the Sewerage and Water Board of New Orleans is authorized to execute an amendment to the Agreement as hereinabove set forth and increasing the fee authorized to be paid to Linfield, Hunter & Junius by \$10,411.39 to a total fee of \$813,913.28.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
January 22, 2020.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET



ACTION REQUESTED

Engineering Services Amendment to Professional Services Agreement

Approval of Contract Amendment No. 2 for \$10,411.39 (AES01- RR009 & RR118); approval of new labor billing rates.

CONSULTANT/SUBCONSULTANT INFORMATION

PRIME:	SUBS:	DBE PARTICIPATION GOAL:
Linfield, Hunter & Junius, Inc. (LHJ)	Infinity Engineering Consultants, LLC	35%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$ 644,867.31
Previous Amendments Value:	\$ 158,634.58
Percentage of Original Contract:	26%
Current Amendment Value:	\$ 10,411.39
Percentage of Original Contract:	0.2%
Has LHJ been issued an NTP?	No
Original Contract Dates:	12/12/2012 through construction completion.
Time Extensions Authorized:	NA
Additional time Requested:	6/30/2023(PW 21031 End Date)
No. of Option Years in Contract:	NA
Total Revised Value of Contract:	\$ 813,913.28

Purpose and Scope of the Contract:

This agreement provides engineering services in association with the Joint Infrastructure Recovery Request (JIRR) program in coordination with the City of New Orleans(CNO) Recovery Roads(RR) Program for the following neighborhoods - Black Pearl, East Carrollton, Marlyville-Fountainbleau, and Leonidas. The consultant (Linfield, Hunter, and Junius) has submitted a request to increase their hourly billing rates based on

their June 28, 2018 audit. These rates, if approved, will apply only to future negotiated fees for services, not to this current amendment request, or any prior fees for services where NTP has been issued.

Reason for Amendment:

Since the Board and LHJ entered into the 2012 original agreement, the CNO has re-allocated neighborhood work into smaller RR projects within each neighborhood. Specifically, this amendment

1. Establishes engineering service fees for bid documents, design, bidding, construction administration, record drawings, and/or resident inspection fees for original agreement work, that will be charged to the following projects.
 - a. RR009 Black Pearl, East Carrollton Group A (PMOPI).
 - b. RR118 Marlyville-Fountainbleau Group B.
2. Rescinds unaccrued project/program management fees authorized by Amendment 1.
3. Increases the hourly labor billing rates established in the 2012 contract, updating based on the June 28, 2018 audit.

Potential Amendments Through the End of the Contract:

Staff is negotiating fees related to bid documents, design, bidding, construction administration, record drawings, and resident inspection for the following projects:

1. TM006A – CWP at Panola Transmission Main Project
2. TM006B – Leonidas/Fig Transmission Main Project
3. TM006C – 8400 Spruce Transmission Main Project
4. TM006D – 8700 Spruce Transmission Main Project
5. RR008 – Black Pearl Group B
6. RR009 – Black Pearl, East Carrollton Group A
7. RR097 – Leonidas Group B
8. RR098 – Leonidas Group C
9. RR099 – Leonidas Group D
10. RR118 – Marlyville-Fountainbleau Group C
11. RR123 – Marlyville-Fountainbleau Group H

Engineering Services Amendment(s) will be presented to the CMRC after fair and reasonable fees are reached on each of these projects or combination thereof.

Spending Previous Years:

Cumulative Contract Value:	12/12/2012 to 12/01/2019:	\$ 803,501.89
Cumulative Contract Spending:	12/12/2012 to 12/01/2019:	\$ 459,026.46

Contractor's Past Performance:

According to the Board's project manager, the designer's timeliness of deliverables, conformance to SWBNO policies and procedures, quality all meet expectations. To date the consultant has not achieved a DBE participation rate of 35%. Current DBE participation is calculated to be 18.0%. Remaining DBE participation will be met by Resident Inspection Services during Construction.

PROCUREMENT INFORMATION

Contract Type:	Professional Services	Award Based On:	Best Qualified
Commodity:	Engineering Services	FEMA PW Numbers:	PW # 21031/RR009 & RR118
Contractor Market:	Public RFQ with DBE participation		

BUDGET INFORMATION

Funding:	Capital	Department:	JIRR PDU
System:	Water	Department Head:	Mark D Van Hala

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount
Sewer		
Water		
Drainage		
Grant		
Other – FEMA (21031)	100%	\$10,411.39
TOTAL ESTIMATED DOLLAR AMOUNT OF AMENDMENT		\$10,411.39



Mark Van Hala, P.E.(FL)

SWB JIRR Program Administrator

**CONTRACT AMENDMENT NO. 9 TO THE AGREEMENT BETWEEN THE
SEWERAGE AND WATER BOARD OF NEW ORLEANS AND STANLEY
CONSULTANTS INC. FOR DESIGN AND ENGINEERING SERVICES FOR WATER
HAMMER HAZARD MITIGATION PROGRAM**

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-174-2011, Stanley Consultants, Inc. was awarded the agreement for design and engineering services for the Water Hammer Hazard Mitigation Project at the Carrollton Water Treatment Plant for \$2,499,362.06 ; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-75-2014, the existing agreement with Consultant was increased by \$1,025,821.60; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-264-2014, the existing agreement with Consultant was increased by \$37,940.50; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-056-2015, the existing agreement with Consultant was increased by \$465,034.89; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-094-2016, the existing agreement with Consultant was increased by \$591,283.20; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-149-2016, the existing agreement with Consultant was increased by \$1,584,842.00; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-59-2018, the existing agreement with Consultant was increased by \$578,967.65; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-144-2018, the existing agreement with Consultant was increased by \$509,049.42; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-038-2019, the existing agreement with Consultant was increased by \$3,108,938.48 to a revised total of \$10,401,239.80; and

WHEREAS, the Consultant's contract should be extended through the duration of Contract 1377 to perform the services awarded in Amendment 8.

| **NOW THEREFORE, BE IT RESOLVED**, that the Sewerage and Water Board of New Orleans hereby authorizes the President or President Pro Tem to execute the amendment to the Agreement with Stanley Consultants, Inc. for the Water Hammer Hazard Mitigation Project extending the contract through May 31, 2022.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
January 22, 2020.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT AMENDMENT NO. 9 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND STANLEY CONSULTANTS INC. FOR DESIGN AND ENGINEERING SERVICES FOR WATER HAMMER HAZARD MITIGATION PROGRAM

CONTRACTOR/SUB/VENDOR INFORMATION (FROM ORIGINAL BID)

PRIME:	DBE Vendors:	DBE PARTICIPATION GOAL: Goal
Stanley Consultants, Inc.	Trigon Associates, LLC GOTECH, Inc.	35%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$2,499,362.06
Previous Change Orders Value:	\$7,901,877.74
Current Change Order Value:	\$0.00
Original Contract Duration:	2 Years, Five 1-year extensions
Time Extensions Authorized	Through June 2020
Additional time Requested:	Through May 31, 2022
No. of Option Years in Contract:	N/A
Total Revised Value of Contract:	\$10,401,239.80

Purpose and Scope of the Contract:

The original agreement was for the design and engineering services for the Water Hammer Hazard Mitigation Program at the Carrollton Water Treatment Plant. Their agreement was modified previously due to changes in scope for the program and to add construction services for Phases 1 and 2 of the program (Contracts 1395 and 1377).

Reason for Change Order:

Additional time is required to allow the Consultant to perform the services awarded to them previously under Amendment 8. This amendment will extend the contract through May 2022 to perform construction administration services for Contract 1377, which was awarded to M.R. Pittman at the November 2019 Board of Directors meeting. This contract has a construction time of 833 days. A future amendment will be necessary to extend the contract through the Phase 3 construction.

PROCUREMENT INFORMATION

Contract Type:	Design Services	Award Based On:	Qualification
Commodity:	A/E Services	Contract Number:	N/A
Contractor Market:	Request for Qualifications		

BUDGET INFORMATION

Funding:	FEMA (CP 175)	Department:	Mechanical Engineering
System:	Water	Department Head:	Gerald Pitalo
Job Number:	A1035FEM	Purchase Order:	PG2019 60000211

ANTICIPATED FUND SOURCE

User	Share%	Dollar Amount
Sewer Funds		
Water Funds		
Drainage Funds		
Grant		
Other - FEMA		

TOTAL ESTIMATED DOLLAR AMOUNT OF Change Order		\$0.00
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Chris Bergeron, P.E.
Mechanical Engineering

AUTHORIZATION FOR AMENDMENT NO. 2 TO AGREEMENT BETWEEN SEWERAGE AND WATER BOARD OF NEW ORLEANS AND PEPPER AND ASSOCIATES, INC.

WHEREAS, Sewerage and Water Board of New Orleans (“Board”) was authorized, by adoption of a resolution, dated September 11, 1974, to enter into an agreement with Pepper and Associates, Inc. (“Contractor”), following the execution of a mutually acceptable agreement between the Board of Commissioners of the Orleans Levee District (“Levee District”) and the Board setting forth design and financing conditions for engineering work and construction work; and

WHEREAS, the Board and the Contractor entered into a contract on August 12, 1975 for engineering services in connection with the Design of Drainage Pumping Station No. 19, Florida Avenue, and the Inner Harbor Navigation Canal with an initial cost estimate of \$12,000,000.00, of which \$11,466,000.00 was scheduled to be paid for by the Levee District through an agreement executed with the Board on July 23, 1975; and

WHEREAS, the Board and the Levee District, through Addendum No. 1, dated November 28, 1979, increased the Levee District’s original commitment of \$11,466,000.00 by \$195,000.00 to cover the cost of a 12-inch fire line at Ponchartrain Beach; and

WHEREAS, on June 13, 1984 the Board adopted Resolution R-109-84 to authorize the execution of an amendment to the original contract executed between the Board and the Contractor to provide for additional engineering construction and to provide for the increase in cost; and

WHEREAS, on June 25, 1984 the Board and the Contractor executed Amendment No. 1 for additional engineering services in connection with constructing three large pumps and increased the cost to \$25,000,000.00; and

WHEREAS, since the execution of the original agreement between the Board and the Contractor on August 12, 1975 and the execution of Amendment No 1 on June 25, 1984, the Contractor, without a formal written contractual amendment, has consistently and continually performed its obligations, as agreed between the parties, and provided services for the Board including, but not limited to the following:

- Enlargement of the Florida Avenue Canal, which included improvements to the hydraulic flow between the Peoples Avenue Canal and the Florida Avenue Canal as well as coordination with the Corps of Engineers during the feasibility study for the location at an estimated cost of \$25,000.00 to \$30,000.00; and
- Additional improvements to the Florida Avenue Canal and Peoples Avenue Canal, including engineering design services for Phase I, Phase II, Phase III and Phase IV; and

WHEREAS, the Board adopted Resolution 018-2002 on February 6, 2002 to authorize the Contractor to continue the development of Contract 4160-Phase I, Contract 4164-Phase II, Contract 4165-Phase III, and Contract 4163-Phase IV Florida Avenue Canal Improvements; and

WHEREAS, a formal extension of the current agreement is needed to facilitate the continuity of services provided by the Contractor to the Board thereunder; and

WHEREAS, the Board is in need of continued engineering services from the Contractor and desires to execute a second amendment through July 31, 2020; and

WHEREAS, the Board and the Contractor have reviewed the current agreement between the parties and agree to a second amendment to provide for an extension thereof through July 31, 2020 and to memorialize the ongoing and continual provision of services, provided by the Contractor thereunder; and

WHEREAS, the anticipated additional costs for the Contractor's services through July 31, 2020 are \$189,027.05;

NOW THEREFORE BE IT RESOLVED that the President of the Board be and hereby is authorized to execute an amendment by and between the Board and the Contractor extending the current agreement through July 31, 2020 for an additional amount not to exceed \$189,027.05.

I, GHASSAN KORBAN, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 22, 2020.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

AUTHORIZATION OF CONTRACT AMENDMENT NO. 4 FOR PROFESSIONAL SERVICES BETWEEN SEWERAGE AND WATER BOARD OF NEW ORLEANS AND STANTEC CONSULTING SERVICES, INC. FOR DESIGN AND ENGINEERING SERVICES FOR THE WATER LINE REPLACEMENT PROGRAM (WLRP)

WHEREAS, by Resolution R-001-2012, the Board authorized execution of a contract with Consultant to provide engineering and design services for the WLRP for Multiple Neighborhoods (PW 19846), St. Claude(PW 20229), and St. Roch (PW 20669) neighborhoods, and pursuant to Resolution approved January 18, 2012, the Board and Consultant entered into a Professional Services Agreement dated April 3, 2012, (the “Original Agreement”); and

WHEREAS, the value under the original Agreement was \$854,443.55; and,

WHEREAS, by Resolution R-144-2012 on August 15, 2012, the Board authorized amendment of the Original Agreement to provide for compensation for surveying services to be billed in accordance with the prevailing FEMA recommended policy (not to exceed \$7.00 per linear foot in 2012, which includes Consultant’s mark-up on the direct cost of surveying); and

WHEREAS, by Resolution R-163-2014, the Board authorized amending the Original Agreement as Amendment No. 2, to include program/project management services, design and inspection services of repair or replacement of drain lines damaged by Katrina as approved and funded by the Federal Emergency Management Agency (“FEMA”) under Project Worksheets (“PWs”), for the City of New Orleans (“City”), through its Department of Public Works (“DPW”),

WHEREAS, the value of Amendment 2 was \$557,031.57 (for a total amended contract value of \$1,411,475.12); and

WHEREAS, by Resolution R-233-2014, the Board authorized amending the Original Agreement as amended by Amendment No. 3, to include identification FEMA eligible ADA curb ramps noted in PWs for the City and DPW, and payment of fees therefor; and

WHEREAS, the value of Amendment No. 3 was \$459,442.80 (for an amended total contract value of \$1,870,917.92; and

WHEREAS, Program/Project Management Services are being provided by the Board’s staff and those services from the consultant are no longer necessary; and,

WHEREAS, to prevent unnecessary delays to the Sewerage and Water Board’s Water Line Replacement Program and the City of New Orleans Recovery Roads Program as a result of DPW modifying its project limits after S&WB designs were completed, S&WB tasked its consultants with realigning their previously completed designs to correspond to those project limits modified by DPW; and,

WHEREAS, during the coordination and management efforts of the Sewerage and Water Board’s Water Line Replacement Program, the City’s Recovery Roads Program and other related work, it was determined to include additional water lines into projects in the RR166 St Claude Group A, RR167 St Claude Group B, RR168 St Claude Group C, RR170 St Claude Group E, RR180 St Roch Group

A, RR181 St Roch Group B and RR004 Bayou St John, Fairgrounds and Seventh Ward Group B; and,

WHEREAS, the Board is in need of design and supplemental services for the additional water lines and construction inspection for the obligated waterlines, and the Contractor is willing to perform these services for a contract reduction of -\$631,041.09 such that there will be a net increase to the cost of the agreement Not to Exceed \$1,239,876.83; and,

NOW, THEREFORE BE IT RESOLVED, that the President/President Pro Tem of the Board be and either of them is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Contract Amendment No. 4 to the existing agreement with Stantec Consulting Services, Inc., to delete any future program/project management services and all unaccrued program/project management services fees, to including additional design and supplemental services in the St. Claude and St. Roch neighborhoods at a total fee not to exceed \$1,239,876.83 and to establish a contract expiration date of May 30, 2022.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
January 22, 2020.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET



ACTION REQUESTED

PROFESSIONAL SERVICES AMENDMENT

MWH Americas, Inc./ Stantec Consulting Services, Inc

Approval of Contract Amendment No. 4 for \$-631,041.09 (RR166, RR167, RR168, RR170, RR180, RR 181 and RR004)

CONSULTANT/SUBCONSULTANT INFORMATION

PRIME:	SUBS:	DBE PARTICIPATION GOAL:
MWH Americas, Inc / Stantec Consulting Services, Inc	Gotech, Inc. and IMS Engineers	35%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$ 854,443.55
Previous Amendments Value:	\$1,016,474.37
Percentage of Original Contract:	119%
Current Amendment Value:	\$ -631,041.09
Percentage of Original Contract:	-74%
Has a PO been issued?	Yes
Original Contract Dates:	4/3/2012 end of Construction
Time Extensions Authorized:	NA
Additional time Requested:	June 30, 2023 (PW 21031 Contract Term)
No. of Option Years in Contract:	NA
Total Revised Value of Contract:	\$ 1,239,876.83

Purpose and Scope of the Contract:

The main purpose of this contract is to provide engineering and professional services in association with the Joint Infrastructure Recovery Roads (JIRR) program in coordination with the City of New Orleans' Recovery Roads (RR) Program in the St.

Claude/Florida (PW 20229), St Claude-Multiple Neighborhood (PW 19846) and the St. Roch (PW 20669) Neighborhood.

Reason for Amendment:

Since the Board and MWH Americas, Inc / Stantec Consulting Services, Inc. entered into the 2012 original agreement, the CNO has re-allocated neighborhood work into smaller RR projects within each neighborhood. Specifically, this amendment

1. Establishes engineering service fees for bid documents, design, bidding, construction administration, record drawings, and/or resident inspection fees for original agreement work, that will be charged to the following projects.
 - a. RR166 St. Claude Group A
 - b. RR167 St Claude Group B
 - c. RR168 St Claude Group C
 - d. RR170 St Claude Group E
 - e. RR180 St Roch Group A
 - f. RR181 St Roch Group B
 - g. RR004 Bayou St. John, Fairgrounds, Seventh Ward Group B
2. Rescinds unaccrued project/program management, and Construction Admin/Resident Inspection fees authorized.

Potential Amendments Through the End of the Contract:

Staff is negotiating fees related to bid documents, design, bidding, construction administration, record drawings, and resident inspection for the following projects:

RR167 St Claude Group B

RR170 St Claude Group E

RR020 Bywater Marigny

RR046 Florida Area Development

RR171 St Claude Group F

RR172 St. Claude Group G

RR173 St. Claude Group H

RR174 St. Claude Group I

RR175 St. Claude Group J

RR176 St. Roch North Group A

RR177 St. Roch North Group B

RR178 St. Roch North Group C

RR179 St. Roch North Group D

RR004 Bayou St. John, Fairgrounds, Seventh Ward Group B

Engineering Services Amendment(s) will be presented to the CMRC after cost reasonable fees are negotiated on each of these projects or combination thereof.

Spending Previous Years:

Cumulative Contract Value: 9/10/2012 to 12/01/2019: \$1,239,876.83
 Cumulative Contract Spending: 9/10/2012 to 12/01/2019: \$537,554.42

Contractor's Past Performance:

According to the Board's project manager, the designer's timeliness of deliverables, conformance to SWBNO policies and procedures, quality all meet expectations. To date the consultant has not achieved a DBE participation rate of 35%. DBE participation will be met by Resident Inspection services during Construction.

PROCUREMENT INFORMATION

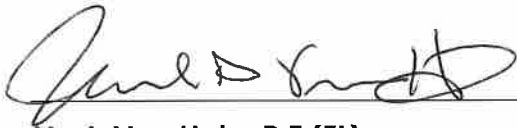
Contract Type:	Professional Services	Award Based On:	Best Qualified
Commodity:	Engineering Services	Contract Number:	PW # 21031
Contractor Market:	Open Market		

BUDGET INFORMATION

Funding:	Capital (175-13)	Department:	JIRR PDU
System:	Water	Department Head:	Mark D Van Hala

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount
Sewer		
Water		
Drainage		
Grant		
Other – FEMA (21031)	100%	\$-631,041.09
TOTAL ESTIMATED DOLLAR AMOUNT OF AMENDMENT		\$-631,041.09

A handwritten signature in black ink, appearing to read 'Mark Van Hala', is written over a horizontal line.

Mark Van Hala, P.E.(FL)

JIRR Program Administrator

AUTHORIZATION FOR AMENDMENT NO. 2 TO PROFESSIONAL SERVICES AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND LEONARD C. QUICK & ASSOCIATES, INC. (PHASE I, CLAIBORNE AVENUE, SELA PROJECT) (2020)

WHEREAS, on June 18, 2012, the Board and **LEONARD C. QUICK & ASSOCIATES, INC.** (“Contractor”) executed a Professional Services Agreement (the “Original Agreement”) for Contractor to serve as forensic engineer for the Board regarding claims and damages arising out of construction activities in connection with the Federally sponsored Phase I, South Claiborne Avenue SELA Project; and

WHEREAS, the Original Agreement stated the estimated cost of services was \$976,705.00; and

WHEREAS, on October 11, 2017, the Board and Contractor executed Amendment No. 1 extending the Original Agreement through June 18, 2019 (as amended, the “Current Agreement”); and

WHEREAS, since June 19, 2019, the Contractor has consistently and continually performed its obligations and provided services for the Board under the Current Agreement; and

WHEREAS, amendment of the Current Agreement to provide for renewal and extension through December 31, 2020, will facilitate the continuity and completion of services to the Board and is in the best interests of the Board; and

WHEREAS, the costs of the Current Agreement to date have been \$2,157,083.22, and the anticipated costs of such amendment and extension through December 31, 2020, are \$350,000.00;

NOW THEREFORE BE IT RESOLVED that the President or President Pro Tem of the Board is authorized to execute an agreement by and between the Board and Contractor, extending the Current Agreement through December 31, 2020, for an additional amount not to exceed \$350,000.00 and a total maximum compensation not to exceed \$2,507,083.22.

I, GHASSAN KORBAN, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 22, 2020.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans

ACTION REQUESTED



ACTION REQUESTED – APPROVAL OF AMENDMENT 2

**PROFESSIONAL SERVICES AGREEMENT SEWERAGE AND WATER BOARD OF NEW ORLEANS
AND LEONARD C. QUICK & ASSOCIATES, INC. (CLAIBORNE I) (2020)**

CONTRACTOR/SUB/VENDOR INFORMATION (FROM ORIGINAL PROPOSAL)

PRIME:	DBE Vendors:	DBE PARTICIPATION GOAL:
Leonard C. Quick & Associates, Inc.		0%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$976,705.00
Previous Change Orders Value:	\$1,180,378.22
Present Agreement Amount:	\$2,157,083.22
This Amendment Amount:	\$350,000.00
Total Revised Value of Contract:	\$2,507,083.22
Additional time Requested:	Through December 31, 2020

This Amendment Percentage of Original Contract: 35.8 %

Total Amendment Percentage of Original Contract: 156.7 %

Purpose and Scope of the Contract:

Leonard C. Quick & Associates, Inc. was selected to serve as forensic engineer for the Board in connection with claims and damages arising out of construction activities in connection with South Claiborne Ave. Phase I, Southeast Louisiana Urban Flood Control Project (SELA).

Reason for Amendment:

This amendment extends the obligations to and provided services for the Board through December 31, 2020

PROCUREMENT INFORMATION

Contract Type:	Professional Services	Award Based On:	Qualifications
Commodity:	Goods and Services	Contract Number:	
Contractor Market:	Consulting engineering firms		

BUDGET INFORMATION

Funding:	CP 478	Department:	Engineering
System:	Drainage	Department Head:	M. Ron Spooner
Job Number:	D0424XXX	Purchase Order:	

ANTICIPATED FUND SOURCE

User	Share%	Dollar Amount
Sewer System		
Water System		
Drainage System		
FEMA		
Other		
TOTAL ESTIMATED DOLLAR AMOUNT OF Amendment		\$350,000.00

AUTHORIZATION FOR AMENDMENT NO. 2 TO PROFESSIONAL SERVICES AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND LEONARD C. QUICK & ASSOCIATES, INC. (LOUISIANA AVENUE SELA PROJECT) (2020)

WHEREAS, on November 9, 2015, the Board and **LEONARD C. QUICK & ASSOCIATES, INC.** (“Contractor”) executed a Professional Services Agreement(the “Original Agreement”), for Contractor to serve as forensic engineer for the Board regarding claims and damages arising out of construction activities in connection with the federally sponsored Louisiana Avenue Covered Canal, SELA 27, Southeast Louisiana Urban Flood Control Project; and

WHEREAS, the Original Agreement stated the maximum compensation was \$3,772,492.80; and

WHEREAS, on October 11, 2017, the Board and Contractor executed Amendment No. 1 extending the Original Agreement through November 9, 2019; and

WHEREAS, since November 10, 2019, the Contractor has consistently and continually performed its obligations and provided services for the Board under the Current Agreement; and

WHEREAS, amendment of the Current Agreement to provide for renewal and extension through December 31, 2020, will facilitate the continuity and completion of services to the Board and is in the best interests of the Board; and

WHEREAS, the costs of the Current Agreement to date have been \$4,852,017.06, and the anticipated costs of such amendment and extension through December 31, 2020, are \$500,000.00;

NOW THEREFORE BE IT RESOLVED that the President/President Pro Tem of the Board be and hereby is authorized to execute an agreement by and between the Board and extending the Agreement through December 31, 2020, and no longer, for an additional amount, inclusive, not to exceed \$500,000.00 for a total maximum compensation of \$5,352,016.06.

I, GHASSAN KORBAN, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 22, 2020.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans

ACTION REQUESTED



ACTION REQUESTED – APPROVAL OF AMENDMENT 2

**PROFESSIONAL SERVICES AGREEMENT SEWERAGE AND WATER BOARD OF NEW ORLEANS
AND LEONARD C. QUICK & ASSOCIATES, INC. (LOUISIANA) (2020)**

CONTRACTOR/SUB/VENDOR INFORMATION (FROM ORIGINAL PROPOSAL)

PRIME:	DBE Vendors:	DBE PARTICIPATION GOAL:
Leonard C. Quick & Associates, Inc.		0%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$3,772,492.80
Previous Change Orders Value:	\$1,079,524.26
Present Agreement Amount:	\$4,852,017.06
This Amendment Amount:	\$500,000.00
Total Revised Value of Contract:	\$5,352,016.06
Additional time Requested:	Through December 31, 2020

This Amendment Percentage of Original Contract: 13.25 %

Total Amendment Percentage of Original Contract: 41.86 %

Purpose and Scope of the Contract:

Leonard C. Quick & Associates, Inc. was selected to serve as forensic engineer for the Board in connection with claims and damages arising out of construction activities in connection with Louisiana, Southeast Louisiana Urban Flood Control Project (SELA).

Reason for Amendment:

This amendment extends the obligations to and provided services for the Board through December 31, 2020

PROCUREMENT INFORMATION

Contract Type:	Professional Services	Award Based On:	Qualifications
Commodity:	Goods and Services	Contract Number:	
Contractor Market:	Consulting engineering firms		

BUDGET INFORMATION

Funding:	CP 466	Department:	Engineering
System:	Drainage	Department Head:	M. Ron Spooner
Job Number:	D0633XXX	Purchase Order:	

ANTICIPATED FUND SOURCE

User	Share%	Dollar Amount
Sewer System		
Water System		
Drainage System		
FEMA		
Other		
TOTAL ESTIMATED DOLLAR AMOUNT OF Amendment		\$500,000.00

AUTHORIZATION FOR AMENDMENT NO. 2 TO PROFESSIONAL SERVICES AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND LEONARD C. QUICK & ASSOCIATES, INC. (PHASE II, NAPOLEON AVENUE SELA PROJECT (2020))

WHEREAS, on January 12, 2012, the Board and **LEONARD C. QUICK & ASSOCIATES, INC.** (“Contractor”) entered into a Professional Services Agreement (the “Original Agreement”), for Contractor to serve as forensic engineer for the Board regarding claims and damages arising out of construction activities in connection with the Federally sponsored Phase II, Napoleon Avenue SELA Project; and

WHEREAS, the Original Agreement stated the estimated cost of professional services was \$1,569,235.00; and

WHEREAS, on October 11, 2017, the Board and Contractor executed Amendment No. 1 extending the Original Agreement through November 9, 2019 (as amended, the “Current Agreement”); and

WHEREAS, since November 10, 2019, the Contractor has consistently and continually performed its obligations and provided services for the Board under the Current Agreement; and

WHEREAS, amendment of the Current Agreement to provide for renewal and extension through December 31, 2020, will facilitate the continuity and completion of services to the Board and is in the best interests of the Board; and

WHEREAS, the costs of the Current Agreement to date have been \$1,821,000.00, and the anticipated costs of such amendment and extension through December 31, 2020, are \$200,000.00;

NOW THEREFORE BE IT RESOLVED that the President or President Pro Tem of the Board be and hereby is authorized to execute an agreement by and between the Board and extending the Agreement through December 31, 2020, and no longer, for an additional amount not to exceed \$200,000.00 for a total maximum compensation of \$2,021,000.00.

I, GHASSAN KORBAN, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 22, 2020.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans

ACTION REQUESTED



ACTION REQUESTED – APPROVAL OF AMENDMENT 2

**PROFESSIONAL SERVICES AGREEMENT SEWERAGE AND WATER BOARD OF NEW ORLEANS
AND LEONARD C. QUICK & ASSOCIATES, INC. (NAPOLEON II) (2020)**

CONTRACTOR/SUB/VENDOR INFORMATION (FROM ORIGINAL PROPOSAL)

PRIME:	DBE Vendors:	DBE PARTICIPATION GOAL:
Leonard C. Quick & Associates, Inc.		0%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$1,569,235.00
Previous Change Orders Value:	\$251,765.00
Present Agreement Amount:	\$1,821,000.00
This Amendment Amount:	\$200,000.00
Total Revised Value of Contract:	\$2,021,000.00
Additional time Requested:	Through December 31, 2020

This Amendment Percentage of Original Contract: 12.75%

Total Amendment Percentage of Original Contract: 28.78%

Purpose and Scope of the Contract:

Leonard C. Quick & Associates, Inc. was selected to serve as forensic engineer for the Board in connection with claims and damages arising out of construction activities in connection with Napoleon Ave. Phase II, Southeast Louisiana Urban Flood Control Project (SELA).

Reason for Amendment:

This amendment extends the obligations to and provided services for the Board through December 31, 2020

PROCUREMENT INFORMATION

Contract Type:	Professional Services	Award Based On:	Qualifications
Commodity:	Goods and Services	Contract Number:	
Contractor Market:	Consulting engineering firms		

BUDGET INFORMATION

Funding:	CP 486	Department:	Engineering
System:	Drainage	Department Head:	M. Ron Spooner
Job Number:	D0638XXX	Purchase Order:	

ANTICIPATED FUND SOURCE

User	Share%	Dollar Amount
Sewer System		
Water System		
Drainage System		
FEMA		
Other		
TOTAL ESTIMATED DOLLAR AMOUNT OF Amendment		\$200,000.00

AUTHORIZATION FOR AMENDMENT NO. 2 TO PROFESSIONAL SERVICES AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND LEONARD C. QUICK & ASSOCIATES, INC. (PHASE III, NAPOLEON AVENUE, SELA PROJECT) (2020)

WHEREAS, on August 13, 2014, the Board and **LEONARD C. QUICK & ASSOCIATES, INC.** (“the Contractor”) executed a Professional Services (the “Original Agreement”) for Contractor to serve as forensic engineer for the Board regarding claims and damages arising out of construction activities in connection with the federally sponsored Phase III Napoleon Avenue SELA Project; and

WHEREAS, the Original Agreement stated the estimated cost of professional services as \$1,129,163.04; and

WHEREAS, on October 11, 2017, the Board and Contractor executed Amendment No. 1 extending the Original Agreement through November 9, 2019 (as amended, the “Current Agreement”); and

WHEREAS, since November 10, 2019, the Contractor has consistently and continually performed its obligations and provided services for the Board under the Current Agreement; and

WHEREAS, amendment of the Current Agreement to provide for renewal and extension through December 31, 2020, will facilitate the continuity and completion of services to the Board and is in the best interests of the Board; and

WHEREAS, the costs of the Current Agreement to date have been \$3,504,000.00, and the anticipated costs of such amendment and extension through December 31, 2020, are \$500,000.00;

NOW THEREFORE BE IT RESOLVED that the President/President Pro Tem of the Board is authorized to execute an agreement by and between the Board and extending the Agreement through December 31, 2020, for an additional amount not to exceed \$500,000.00 for a total maximum compensation of \$ 4,004,000.00.

I, GHASSAN KORBAN, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 22, 2020.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage and Water Board of New Orleans

ACTION REQUESTED



ACTION REQUESTED – APPROVAL OF AMENDMENT 2

**PROFESSIONAL SERVICES AGREEMENT SEWERAGE AND WATER BOARD OF NEW ORLEANS
AND LEONARD C. QUICK & ASSOCIATES, INC. (NAPOLEON III) (2020)**

CONTRACTOR/SUB/VENDOR INFORMATION (FROM ORIGINAL PROPOSAL)

PRIME:	DBE Vendors:	DBE PARTICIPATION GOAL:
Leonard C. Quick & Associates, Inc.		0%

DESCRIPTION AND PURPOSE

Original Contract Value:	\$1,129,163.04
Previous Change Orders Value:	\$2,374.836.96
Present Agreement Amount:	\$3,504,000.00
This Amendment Amount:	\$500,000.00
Total Revised Value of Contract:	\$4,004,000.00
Additional time Requested:	Through December 31, 2020

This Amendment Percentage of Original Contract: 44.3%

Total Amendment Percentage of Original Contract: 254.6%

Purpose and Scope of the Contract:

Leonard C. Quick & Associates, Inc. was selected to serve as forensic engineer for the Board in connection with claims and damages arising out of construction activities in connection with Napoleon Ave. Phase III, Southeast Louisiana Urban Flood Control Project (SELA).

Reason for Amendment:

This amendment extends the obligations to and provided services for the Board through December 31, 2020

PROCUREMENT INFORMATION

Contract Type:	Professional Services	Award Based On:	Qualifications
Commodity:	Goods and Services	Contract Number:	
Contractor Market:	Consulting engineering firms		

BUDGET INFORMATION

Funding:	CP 486	Department:	Engineering
System:	Drainage	Department Head:	M. Ron Spooner
Job Number:	D0425XXX	Purchase Order:	

ANTICIPATED FUND SOURCE

User	Share%	Dollar Amount
Sewer System		
Water System		
Drainage System		
FEMA		
Other		
TOTAL ESTIMATED DOLLAR AMOUNT OF Amendment		\$500,000.00

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

CONTRACT FINAL ACCEPTANCE

**FINAL ACCEPTANCE OF CONTRACT 30208 – NEW ORLEANS EAST BASIN
VILLAGE DE LEST/VENETIAN LSLES NEIGHBORHOODS**

WHEREAS, the Sewerage and Water Board of New Orleans entered into contract 30208 with Fleming Construction CO., LLC to perform sewer rehabilitation in the New Orleans East Basin; and,

WHEREAS, Contract 30208 is ready for Final Acceptance by the Sewerage and Water Board of New Orleans; and,

NOW, THEREFORE, BE IT RESOLVED, the recommendation of the General Superintendent to authorize Final Acceptance of Contract 30208 is hereby approved.

I, Ghassan Korban, Executive Director of the
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and correct
copy of a Resolution adopted at the Regular Monthly
meeting of said Board, duly called and held, according to
law, on January 22, 2020

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

SEWERAGE & WATER BOARD OF NEW ORLEANS

- FINAL ACCEPTANCE STATEMENT -

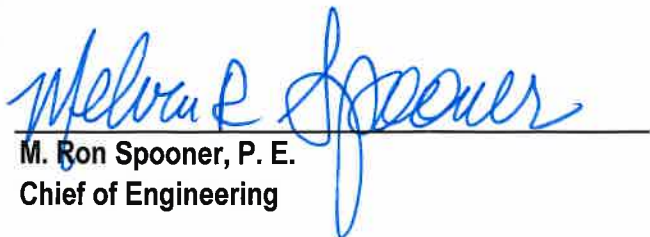
DATE: 7-Jan-20
FROM: Mark Johnson,E.I., Network Engineering
TO: Robert Turner, P.E., General Superintendent
RE: Contract No.: 30208
CC: Stantec
TITLE: New Orleans East Basin Village De Lest/Venetian Isles Neighborhoods

This is to advise that all work on the above-captioned Contract is now completed and acceptance of the Contract is recommended.

CONTRACTOR:	Fleming Construction Co.LLC
LUMP SUM BID:	\$0.00
UNIT PRICE BID:	\$3,617,490.00
TOTAL CONTRACT BID:	\$3,617,490.00
UNIT PRICE EXTENSIONS TO CONTRACT:	0
NO. CHANGE ORDERS:	4
TOTAL CHANGE ORDER AMOUNT:	\$321,004.56
(Do not include unit price work in Change Orders)	
TOTAL CONTRACT EXPENDITURE:	\$3,938,494.56
CHANGE ORDERS AS PER CENT OF LUMP SUM AND UNIT PRICE BID TOTAL:	8.90%
DATE WORK ORDER ISSUED:	4/10/2017
DATE WORK ACCEPTED:	1/3/2020
INCOMPLETE PUNCHLIST ITEMS CREDIT DUE TO S&WB:	\$0.00
CONTRACT LIQUIDATED DAMAGES DUE TO S&WB:	\$0.00
RETAINAGE INCLUDING FINAL CHANGE ORDER DUE TO CONTRACTOR:	\$196,924.73
TOTAL AMOUNT DUE TO S&WB:	\$0.00
TOTAL CONTRACT AMOUNT ELIGIBLE FOR FEMA REIMBURSEMENT:	\$282,369.73
TOTAL CONTRACT AMOUNT NOT ELIGIBLE FOR FEMA REIMBURSEMENT:	\$3,656,124.83
SOURCE OF FUNDS:	☒ SSF
DBE PARTICIPATION GOAL	36%
DBE PARTICIPATION ACHIEVED	36%



Mark Johnson, E.I.
Project Manager



M. Ron Spooner, P. E.
Chief of Engineering

**SEWERAGE AND WATER BOARD ADOPTION OF SPECIFIC PROVISIONS
OF THE LOUISIANA PROCUREMENT CODE (LPC)**

WHEREAS, SWBNO is committed to ensuring the accountability, ethics, impartiality, professionalism, service and transparency in all procurement activities; and

WHEREAS, an analysis was conducted on possible measures to increase procurement efficiencies and productivity and to streamline the purchasing function of SWBNO; and

WHEREAS, local governmental and political subdivisions may adopt by resolution provisions of the LPC in part when best interest dictates; and

WHEREAS, SWBNO shall administer the proper use of these provisions through the Purchasing Department and a Procurement Procedures Manual made available for all employees; and

WHEREAS, with increased flexibility these adopted provisions will provide and departmental purchasing authority for simple and low risk procurements, Purchasing Department staff and Operations Department will be able to focus on improving turn-around performance, training, strategic procurement, and other valued areas; and

WHEREAS, the Board of Directors delegates to the Executive Director the authority to develop, implement and enforce such procedures, consistent with the SWBNO rules and regulations, other adopted purchasing policies and applicable state, federal and local laws; and

WHEREAS, the adoption of these provisions does not supersede the requirements of any federal funded programs.

NOW, THEREFORE, BE IT RESOLVED that the Board adopts the following provisions of the Louisiana Procurement Code:

- **La. R.S. 39:1597- Sole Source Procurements**

A contract may be awarded for a required supply, service, or major repair without competition when, under regulations, the chief procurement officer or his designee above the level of procurement officer determines in writing that there is only one source for the required supply, service, or major repair item.

- **La. R.S. 39:1596 – Small Purchase Procedures – Authorized Dealer Repairs**

No competitive process is required for the following items:

1. Repair parts for equipment obtained from an authorized dealer. A Louisiana authorized dealer shall be used if available. This provision does not apply to the stocking of parts;
2. Equipment repairs obtained from an authorized dealer. A Louisiana authorized
3. Vehicle repairs not covered by a competitive state contract or the state fleet maintenance repair contract, obtained from an authorized dealer. A Louisiana authorized dealer shall be used if available;
4. Vehicle body repairs covered by insurance recovery and in accordance with insurance requirements.

- **La. R.S. 39:1600(C)-Used Equipment Procurements**

- (1) Any agency may procure any equipment which is used or which has been previously purchased by an individual or corporation where the agency proposing to make such procurement can present satisfactory information to the procurement director to illustrate that the procurement of said equipment is cost effective to the SWBNO.
- (2)(a) The used equipment shall be purchased within the price range set by the director of purchasing in a statement of written approval for the purchase which must be obtained by the head of the agency, prior to the purchase.
- (b) The department head of the agency shall certify in writing to the procurement director all of the following:
 - (i) The price for which the used equipment may be obtained.
 - (ii) The plan for maintenance and repair of the equipment and the cost thereof.
 - (iii) The savings that will accrue to the state because of the purchase of the used equipment.
 - (iv) The fact that following the procedures set out in the Louisiana Procurement Code will result in the loss of the opportunity to purchase the equipment.

I, Ghassan Korban, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 22, 2020.

GHASSAN KORBAN
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

RESOLUTION TO RENEW AND ADJUST EXECUTIVE DIRECTOR'S CONTRACT

WHEREAS, the Sewerage and Water Board of New Orleans ("Board") at its July 18, 2018 Board of Directors' Meeting, pursuant to resolution number R-160-2018, appointed Ghassan Korban as the Executive Director of the Board, effective September 4, 2018; and

WHEREAS, pursuant to the same resolution R-160-2018, an annual salary was established for Executive Director Korban; and

WHEREAS, the Board at its December 18, 2019 Board of Directors' Meeting, while in Executive Session pursuant to La. R.S. 42:17(A)(1), performed Executive Director Korban's first annual evaluation; and

WHEREAS, after rigorous individual Board member evaluations, the Board arrived at a composite score of "Exceeds Expectations" for the job performance of Executive Director Korban; and

WHEREAS, the Board unanimously determined that an 8.8% increase in Executive Director Korban's current salary would be an appropriate adjustment given the score of "Exceeds Expectations";

NOW THEREFORE BE IT RESOLVED that the Board of Directors' December 18, 2019 evaluation of Executive Director Korban be entered into his personnel records, and that his contract be adjusted to reflect an 8.8% increase over the current salary, effective January 1, 2020.

I, TAMIKA DUPLESSIS, President Pro Tem of the
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 22, 2020.

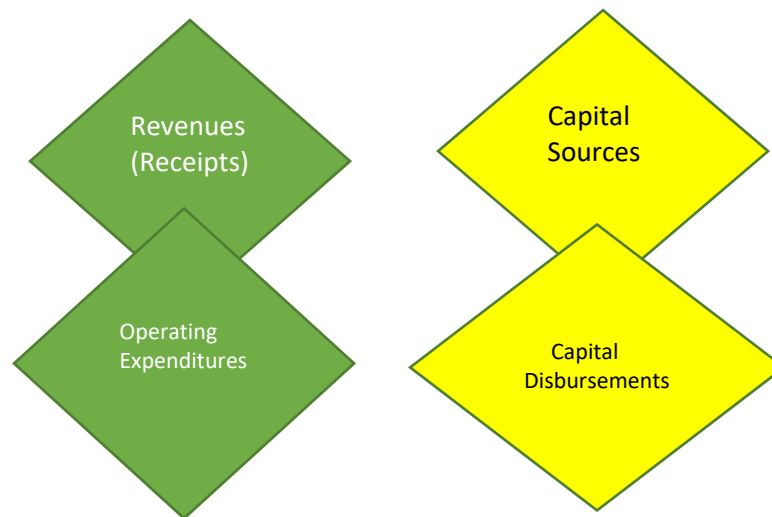
TAMIKA DUPLESSIS, PRESIDENT PRO TEM
SEWERAGE AND WATER BOARD OF NEW ORLEANS

EXECUTIVE SUMMARY

November 2019

As of the end of November 2019, with 11 months of the fiscal year completed, we are on track with budgetary expectations. Revenues have surpassed the anticipated amount by 17% and operating expenditures are below budget. A summary analysis of the financial results and other performance goals is attached for your further review.

- On-Target
- Caution
- Under-Target



(in millions)

	Budget	YTD Budget	Actual	Variance Favorable/(Unfavorable)	Actual/YTD Budget %
Revenues (Operating)	\$ 233.2	\$ 213.8	\$ 236.7	\$ 22.9	110%
Ad Valorem/Other	\$ 66.6	\$ 61.1	\$ 85.1	\$ 24.0	139%
Operating Expenditures	\$ 301.6	\$ 276.5	\$ 240.0	\$ 36.5	87%

YTD = Year to Date

	Water	Sewer	Drainage
Days of Cash	93 ■	105 ■	138 ■

	Water		Sewer		Drainage
	2018 (A)	2019 (B)	2018 (A)	2019 (B)	
Debt Service Coverage Ratios	1.70	1.68	1.59	1.58	N/A

A = Actual B = Budget

MONTHLY FINANCIAL REPORT

OPERATING BUDGET REVENUE	Budget (MTD)	Actual (MTD)	2019 budget(YTD)	Actual (YTD)	Prior Year (YTD)	
Water Service	\$ 8,699,383.42	\$ 7,587,159.00	\$ 95,693,217.58	\$ 102,222,795.00	\$ 77,858,942.00	107%
Sewer Service	10,647,153.92	11,844,606.00	117,118,693.08	129,576,697.00	101,110,428.00	111%
Non-Operating Revenue*	5,554,889.25	50,748.00	61,103,781.75	85,117,335.00	58,219,125.00	139%
Misc Revenues	91,002.92	331,427.00	1,001,032.08	4,929,560.00	2,870,065.00	492%
Total Revenues	\$ 24,992,429.51	\$ 19,813,940.00	\$ 274,916,724.49	\$ 321,846,387.00	\$ 240,058,560.00	117%

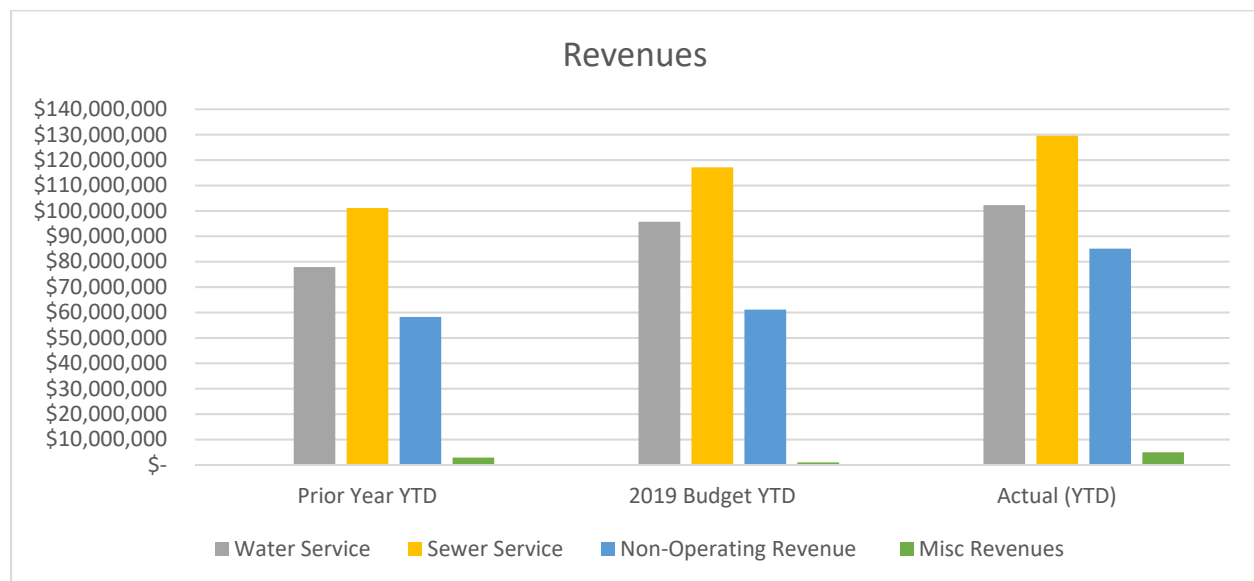
OPERATING EXPENSES						
Water Service	\$ 8,553,267.83	\$ 7,510,924.00	\$ 94,085,946.17	\$ 85,400,778.00	\$ 85,645,676.00	91%
Sewer Service	9,831,054.00	8,823,716.00	108,141,594.00	95,096,697.00	93,221,303.00	88%
Drainage Service	6,751,998.67	5,758,047.00	74,271,985.33	59,601,672.00	70,538,243.00	80%
Total Operating Expenses	\$ 25,136,320.50	\$ 22,092,687.00	\$ 276,499,525.50	\$ 240,099,147.00	\$ 249,405,222.00	87%
Debt Service Payments (principal/Interest)			\$ 35,292,727.25	\$ 36,773,487.04		
Adjustment for Non-Cash Expenses	\$ (5,316,098.00)	\$ (5,559,611.00)	\$ (58,477,078.00)	\$ (62,023,823.00)	\$ (58,813,483.00)	
Adjusted Total Expenses	\$ 19,820,222.50	\$ 16,533,076.00	\$ 253,315,174.75	\$ 214,848,811.04	\$ 190,591,739.00	
Net Operating Income	\$ 5,172,207.01	\$ 3,280,864.00	\$ 21,601,549.74	\$ 106,997,575.96	\$ 49,466,821.00	

*Includes received Fair Share agreement amount of \$27.6 million in Actual (YTD) column of non-operating revenue

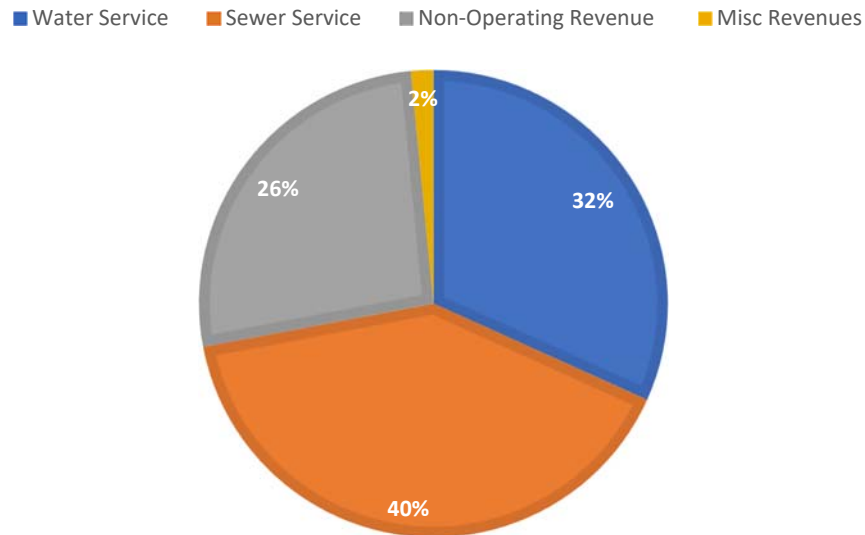
Variance Analysis for Major Reported Items

Revenues:

The year to date revenues are up compared to budget by \$46.9 million or 17%. These higher revenues are primarily due to receipt of 2019 ad valorem taxes and \$27.6 million dollars received from the Fair Share agreement. Also, Sewer Revenues are higher than the budgeted amount by \$12.5 million or 11% and Water Revenues are higher than the budgeted amount by \$6.5 million or 7%.



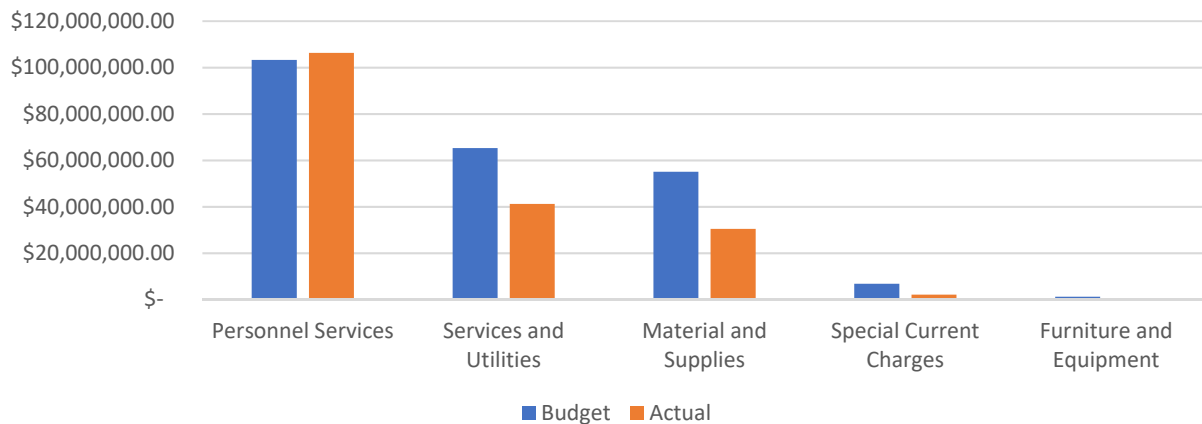
2019 YTD REVENUE MIX



Expenses:

Expenses through November are below the budgeted straight-line amount by 13%. While we await a few outstanding energy related invoices that are anticipated, we anticipate ending the year below the approved budget due to conservative spending. To produce a more accurate picture of expenses, debt service payments are reflected in Actual YTD portion of this report. This is a transaction that has been included only at year-end in the past, but we will begin to reflect it here going forward. It should be noted that the 2019 Budget did not include GO Zone payments within the projected debt service, but the actuals include those payments.

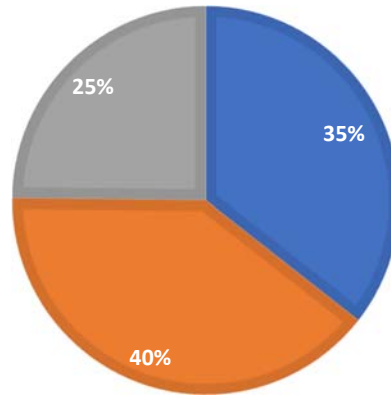
Operating Expenses by Category

Excluding Debt Service

MONTHLY FINANCIAL REPORT

2019 YTD EXPENSE MIX

■ Water Service ■ Sewer Service ■ Drainage Service



Capital

2019 CAPITAL DISBURSEMENTS (As of 11/30/19)

	Budgeted	Actual Expenditures	
		YTD	Remaining
Funded Projects (FEMA/HMGP)	\$ 38,698,788.00	\$ 32,909,303.09	\$ 5,789,484.91
Ongoing (Unfunded)	\$ 26,225,268.00	\$ 16,175,759.14	\$ 10,049,508.86
Anticipated	\$ 101,741,674.00	\$ 6,693,417.58	\$ 95,048,256.42
Total	\$ 166,665,730.00	\$ 55,778,479.81	\$ 110,887,250.19

Federal Grant/Funding Status

Federal Grant/Funding Status (As of 11/30/19)

	Authorized	Obligated	Expended	Reimbursed
Hurricane PA Projects	\$ 545,001,077.71	\$ 547,201,333.33	\$ 440,548,652.09	\$ 464,650,765.54
HMGP Projects	\$ 217,955,728.00	\$ 171,774,769.00	\$ 177,479,619.87	\$ 127,433,602.35
JIRR Projects	\$ 268,448,968.15	\$ 268,448,968.15	\$ 6,390,742.44	\$ 3,591,211.46
Totals	\$ 1,031,405,773.86	\$ 987,425,070.48	\$ 624,419,014.40	\$ 595,675,579.35

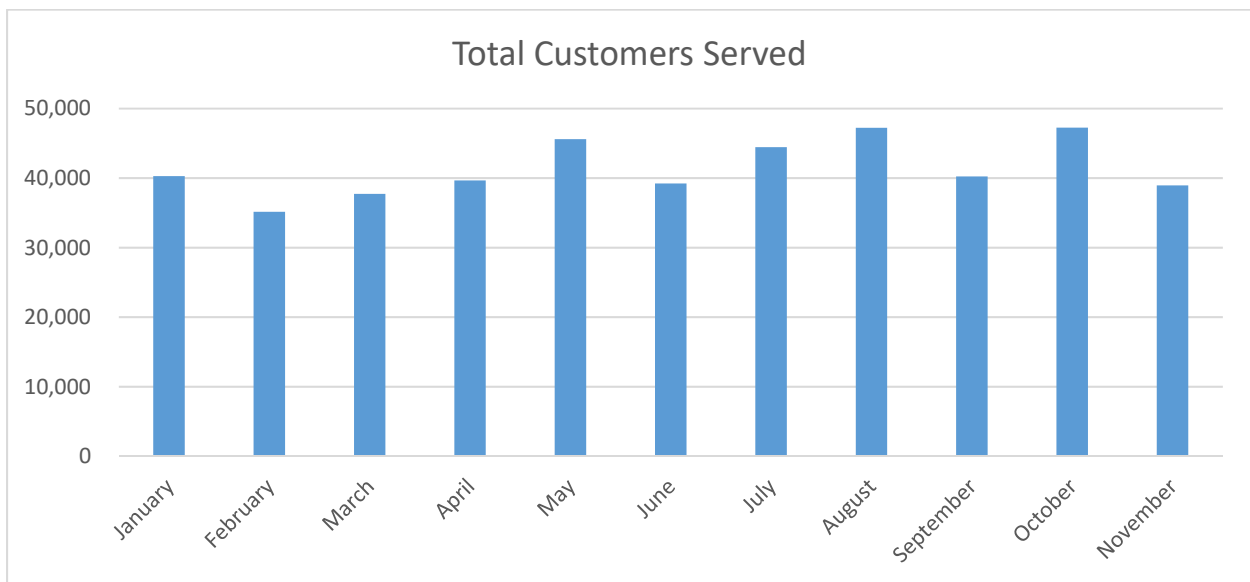
SWBNO met the FEMA Closeout Deadline for 2019. A total of thirty-one (31) subgrants (PWs) were moved to closeout by August 29, 2019. Meeting this milestone was critical for SWBNO to continue receiving FEMA direct administrative cost funds which support our grants management efforts.

Customer Experience:

In November 2019, a total of 38,953 customers were served via the call center, walk-in centers, IVR or emails. This does not include actions and responses related to investigations. The following charts provide a summary of the benchmarks related to phone calls from customers to the call center, the emergency phone center and visits to our walk-in centers.

Call Center

Total # Calls	Total calls Answered	Total Dropped Calls	Average Call Wait	% Answered
22,845	21,088	1,757	1 min. 16 sec	92%

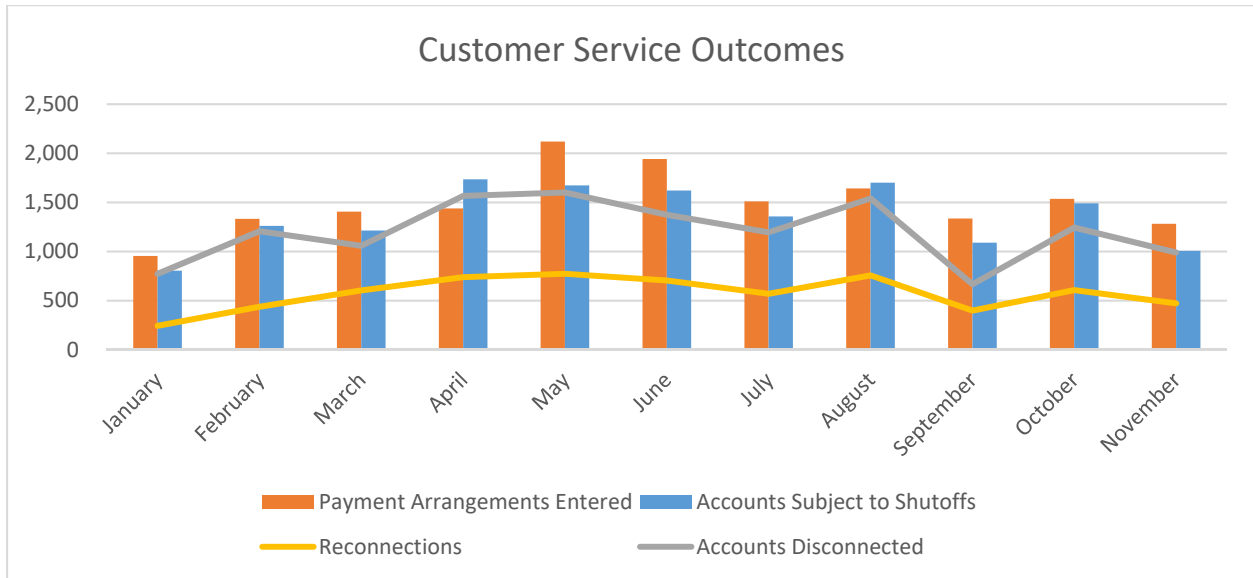


Administrative

Payment Arrangements	Shut-Offs
1,282	990

Walk-In Customers	E-mails Received
5,500	2,676

MONTHLY FINANCIAL REPORT



Billing Accuracy

The billing and collections division is responsible for the creation and distribution of accurate customer bills, resolving all billing complaints, interfacing with large non-residential customers and ensuring successful collections efforts to ensure the financial solvency of SWBNO. In order to provide for the accuracy of the customer bills, it is necessary to measure and report the actual water use of each customer and ensure the integrity of the system by coordinating account investigations as necessary from either customer inquiries or from staff initiated queries. The following metrics are monitored to help management measure and improve the bill accuracy, improve the customer response time and implement best practice collection activity.

NOVEMBER 2019 Metrics		
	October	November
# of Estimated Bills*	18,854	**14,936
# of Actual Bills	130,850	**103,181
# of Meters Read*	97,208	72,137
# of New Investigation Requests	1,759	1,298
# of Completed Investigation Requests	1,596	1,269
Avg # of Days to Complete Investigation Requests	86	86
Avg # of Days for New Account Requests (Transfers)	8	15

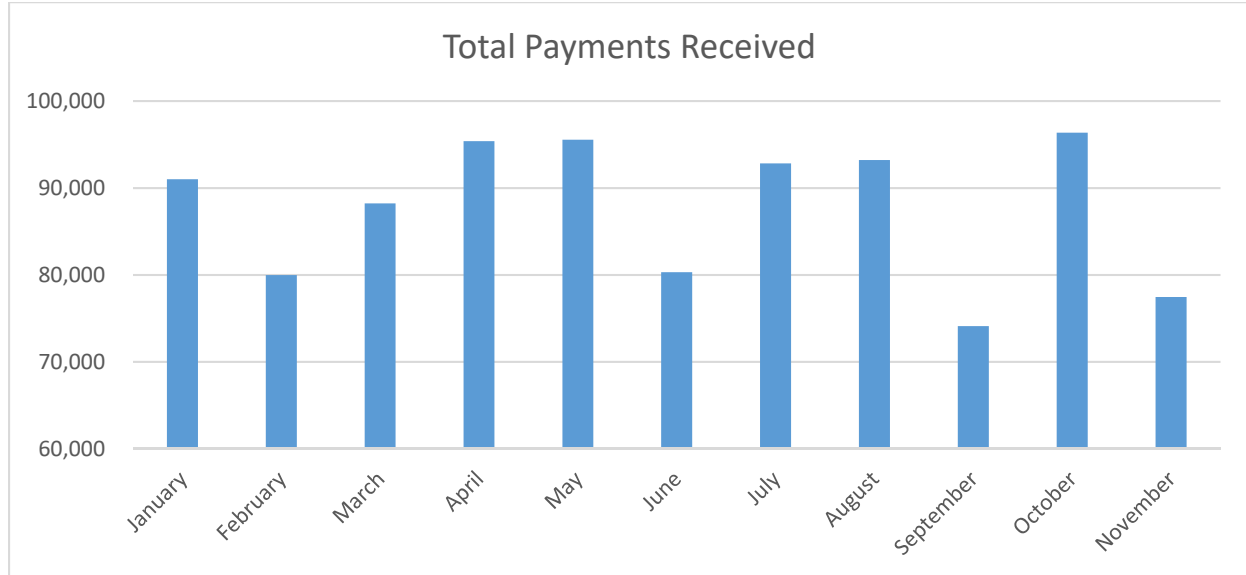
**Due to billing cycles, information on the number of estimated bills and number of meters read may overlap months causing some information to be reported in the following month's data.*

***The final numbers are unavailable and will be updated as soon as possible.*

MONTHLY FINANCIAL REPORT

Collections

A total of 77,462 payments were received in November. These payments are received via mail, walk-ins, online payments, and IVR.



Active Accounts

CUSTOMER ACCOUNT AGING REPORT

[As of November 30, 2019]

CUSTOMER CATEGORY TYPE	TOTAL NUMBER OF ACCOUNTS	TOTAL NUMBER OF DELINQUENCIES	60+ DAYS PAST DUE	TOTAL NUMBER OF ACCOUNTS IN DISPUTE
Residential	119,211	22,810	\$ 23,292,024.47	3,242
Multi-Family	4,588	693	\$ 1,750,086.03	193
Commercial	12,813	1,400	\$ 6,262,845.30	372
Industrial	32	5	\$ 1,254.10	3
TOTALS	136,644	24,908	\$ 31,306,209.90	3,810

# of Disconnect Notices Sent	5,818
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Inactive Accounts

November 2019

Row Labels	Class Count		Sum of Balance		Average Per Unit Balance	
	October	November	October	November	October	November
COMMERCIAL	14	13	15,943.21	16,661.94	1,138.80	1,281.69
HYDRANT	43	45	213,974.27	214,850.35	4,976.15	4,774.45
INDUSTRIAL	1	1	32,641.78	32,641.78	32,641.78	32,641.78
LG COMMERCIAL	113	115	1,182,648.74	1,133,750.19	10,465.92	9,858.70
MULTI FAMILY	968	988	959,426.83	998,337.81	991.14	1,010.46
RESIDENTIAL	40,296	40,896	27,543,127.54	28,375,023.20	683.52	693.83
SM COMMERCIAL	1,607	1,641	2,076,031.37	2,128,158.23	1,291.87	1,296.87
Grand Total	43,042	43,699	32,023,793.74	32,899,423.50		



SEWERAGE AND WATER BOARD OF NEW ORLEANS

January 15, 2019

Finance and Administration Committee
Sewerage and Water Board of New Orleans
New Orleans, Louisiana

Unaudited Financial Results through November 2019

Attached are the *Statement of Revenues, Expenses, and Changes in Net Position* with budget and prior year comparisons for the water, sewer, drainage and total systems through November 2019. The Variance Indicators for Financial Results through November 2019 is also attached. Also, attached is the Unrestricted Cash in days through November 2019.

Operating Revenues:

Water System Fund (pages 7 and 8, line 5) November 2019 MTD operating revenues of \$7,842,839 is \$903,632 (or 10.3%) less than budgeted and \$49,107 (or 0.6%) more than November 2018 MTD. November 2019 YTD operating revenues of \$105,242,195 is \$9,031,018 (or 9.4%) more than budgeted and \$25,515,647 (or 32.0%) more than November 2018 YTD.

Sewer System Fund (pages 13 and 14, line 5) November 2019 MTD operating revenues of \$11,913,336 is \$1,222,267 (or 11.4%) more than budgeted and \$1,906,051 (or 19.0%) more than November 2018 MTD. November 2019 YTD operating revenues of \$130,753,260 is \$13,151,495 (or 11.2%) more than budgeted and \$28,746,142 (or 28.2%) more than November 2018 YTD.

Drainage System Fund (pages 19 and 20, line 5) November 2019 MTD operating revenues of \$7,017 is 100.0% more than budgeted and \$5,685 (or 426.6%) more than November 2018 MTD. November 2019 YTD operating revenues of \$733,596 is 100.0% more than budgeted and \$627,828 (or 593.6%) more than November 2018 YTD.

Total System Funds (pages 1 and 2, line 5) November 2019 MTD operating revenues of \$19,763,192 is \$325,652 (or 1.7%) more than budgeted and \$1,960,842 (or 11.0%) more than November 2018 MTD. November 2019 YTD operating revenues of \$236,729,052 is \$22,916,109 (or 10.7%) more than budgeted and \$54,889,617 (or 30.2%) more than November 2018 YTD.

Operating Expenses:

Water System Fund (pages 7 and 8, line 18) November 2019 MTD operating expenses of \$7,510,924 is \$1,042,344 (or 12.2%) less than budgeted and \$2,140,287 (or 22.2%) less than November 2018 MTD. November 2019 YTD operating expenses of \$85,400,778 is \$8,685,168 (or 9.2%) less than budgeted and \$244,898 (or 0.3%) less than November 2018 YTD.

Sewer System Fund (pages 13 and 14, line 18) November 2019 MTD operating expenses of \$8,823,716 is \$1,007,338 (or 10.2%) less than budgeted and \$1,735,964 (or 16.4%) less than November 2018 MTD. November 2019 YTD operating expenses of \$95,096,697 is \$13,044,897 (or 12.1%) less than budgeted and \$1,875,395 (or 2.0%) more than November 2018 YTD.

Drainage System Fund (pages 19 and 20, line 18) November 2019 MTD operating expenses of \$5,758,047 is \$993,952 (or 14.7%) less than budgeted and \$645,754 (or 12.6%) more than November 2018 MTD. November 2019 YTD operating expenses of \$59,601,672 is \$14,670,313 (or 19.8%) less than budgeted and \$10,936,571 (or 15.5%) less than November 2018 YTD.

Total System Funds (pages 1 and 2, line 18) November 2019 MTD operating expenses of \$22,092,686 is \$3,043,634 (or 12.1%) less than budgeted and \$3,230,496 (or 12.8%) less than November 2018 MTD. November 2019 YTD operating expenses of \$240,099,147 is \$36,400,378 (or 13.2%) less than budgeted and \$9,306,074 (or 3.7%) less than November 2018 YTD.



SEWERAGE AND WATER BOARD OF NEW ORLEANS

Non-Operating Revenues:

Water System Fund (pages 7 and 8, line 28) November 2019 MTD non-operating revenues of -\$9,175,988 is \$9,393,155 (or 4325.3%) less than budgeted and \$9,366,005 (or 4929.0%) less than November 2018 MTD. November 2019 YTD non-operating revenues of -\$1,528,510 is \$3,917,343 (or 164.0%) less than budgeted and \$2,264,471 (or 307.7%) less than November 2018 YTD.

Sewer System Fund (pages 13 and 14, line 28) November 2019 MTD non-operating revenues of -\$9,521,925 is \$9,753,099 (or 4218.9%) less than budgeted and \$9,771,357 (or 3917.4%) less than November 2018 MTD. November 2019 YTD non-operating revenues of \$303,361 is \$2,239,552 (or 88.1%) less than budgeted and \$279,884 (or 48.0%) less than November 2018 YTD.

Drainage System Fund (pages 19 and 20, line 28) November 2019 MTD non-operating revenues of -\$78,894 is \$5,185,443 (or 101.5%) less than budgeted and \$2,496,615 (or 103.3%) less than November 2018 MTD. November 2019 YTD non-operating revenues of \$67,514,929 is \$11,342,892 (or 20.2%) more than budgeted and \$10,615,011 (or 18.7%) more than November 2018 YTD.

Total System Funds (pages 1 and 2, line 28) November 2019 MTD non-operating revenues of -\$18,776,807 is \$24,331,696 (or 438.0%) less than budgeted and \$21,633,976 (or 757.2%) less than November 2018 MTD. November 2019 YTD non-operating revenues of \$66,289,780 is \$5,185,998 (or 8.5%) more than budgeted and \$8,070,655 (or 13.9%) more than November 2018 YTD.

Income Before Contributions in Aid of Construction:

Water System Fund (pages 7 and 8, line 29) November 2019 MTD income before contributions of -\$8,844,073 is \$9,254,442 (or 2255.1%) less than budgeted and \$7,176,611 (or 430.4%) less than November 2018 MTD. November 2019 YTD income before capital contributions of \$18,312,907 is \$13,798,844 (or 305.7%) more than budgeted and \$23,496,074 (or 453.3%) more than November 2018 YTD.

Sewer System Fund (pages 13 and 14, line 29) November 2019 MTD income before contributions of -\$6,432,304 is \$7,523,494 (or 689.5%) less than budgeted and \$6,129,342 (or 2023.1%) less than November 2018 MTD. November 2019 YTD income before capital contributions of \$35,959,923 is \$23,956,840 (or 199.6%) more than budgeted and \$26,590,863 (or 283.8%) more than November 2018 YTD.

Drainage System Fund (pages 19 and 20, line 29) November 2019 MTD income before contributions of -\$5,829,924 is \$4,184,474 (or 254.3%) less than budgeted and \$3,136,684 (or 116.5%) less than November 2018 MTD. November 2019 YTD income before capital contributions of \$8,646,854 is \$26,746,802 (or 147.8%) more than budgeted and \$22,179,409 (or 163.9%) more than November 2018 YTD.

Total System Funds (pages 1 and 2, line 29) November 2019 MTD income before contributions of -\$21,106,301 is \$20,962,410 (or 14568.3%) less than budgeted and \$16,442,637 (or 352.6%) less than November 2018 MTD. November 2019 YTD income before capital contributions of \$62,919,684 is \$64,502,485 (or 4075.2%) more than budgeted and \$72,266,347 (or 773.2%) more than November 2018 YTD.



SEWERAGE AND WATER BOARD OF NEW ORLEANS

The balances of funds from the Series 2014 bond proceeds available for capital construction as of November 30, 2019 are:

	Water	Sewer	Total
Original Balance	\$ 127,613.15	\$ 264,109.21	\$ 391,722.36
Less Disbursements	-	(102,328.04)	(102,328.04)
Plus Reimbursements	-	-	-
Plus Income	37.05	-	37.05
Ending Balance	\$ 127,650.20	\$ 161,781.17	\$ 289,431.37

The balances of funds from the Series 2015 bond proceeds available for capital construction as of November 30, 2019 are:

	Water	Sewer	Total
Original Balance	\$ 109,014.10	\$ 259,080.43	\$ 368,094.53
Less Disbursements	-	-	-
Plus Reimbursements	-	-	-
Plus Income	2.86	3.93	6.79
Ending Balance	\$ 109,016.96	\$ 259,084.36	\$ 368,101.32

Total	\$ 236,667.16	\$ 420,865.53	\$ 657,532.69
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The days-of-cash at November 30, 2019 were 93 days for the water system, 105 days for the sewer system, 138 days for the drainage system and 107 days for the total systems. Combined the total system results were below the minimum policy target of 180 days. Water, sewer, and drainage systems did not meet its policy target of 180 days. However, cash on hand meets both the bond covenant for water and sewer.

SWBNO has a debt service target of 1.50 times for both the water and sewer systems. The bond covenant minimum coverages are 1.25 times for both water and sewer. The 2019 budget meets both the target and covenant requirements with water budgeted at 1.68 times and sewer at 1.58 times. The debt service coverage has not been recalculated here as it is not a relevant monthly measure due to the uncertainty of season changes.

Certification. In connection with the accompanying unaudited monthly financial report of the Sewerage and Water Board of New Orleans for the period ending November 30, 2019, I hereby certify that, to my knowledge, the preliminary information contained in the report presents the financial condition and results of the Board.

Rosita Thomas
Finance Administrator

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
November 2019 Closed Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	7,587,159	7,702,424	(115,265)	-1.5%	102,222,795	77,858,942	24,363,853	31.3%	1
2 Sewerage service charges and del fees	11,844,606	9,937,251	1,907,355	19.2%	129,576,697	101,110,428	28,466,269	28.2%	2
3 Plumbing inspection and license fees	52,000	3,690	48,310	1309.2%	530,306	574,480	(44,174)	-7.7%	3
4 Other revenues	279,427	158,985	120,442	75.8%	4,399,254	2,295,585	2,103,669	91.6%	4
5 Total operating revenues	19,763,192	17,802,350	1,960,842	11.0%	236,729,052	181,839,435	54,889,617	30.2%	5
Operating Expenses:									
6 Power and pumping	2,053,495	1,400,559	652,935	46.6%	15,377,418	30,864,946	(15,487,528)	-50.2%	6
7 Treatment	1,842,541	1,558,678	283,863	18.2%	15,958,518	18,547,188	(2,588,670)	-14.0%	7
8 Transmission and distribution	2,764,424	6,730,415	(3,965,991)	-58.9%	33,130,083	37,599,100	(4,469,016)	-11.9%	8
9 Customer accounts	582,029	417,294	164,735	39.5%	4,839,665	4,782,620	57,045	1.2%	9
10 Customer service	691,833	302,318	389,515	128.8%	4,512,186	4,040,342	471,845	11.7%	10
11 Administration and general	3,404,016	2,750,519	653,497	23.8%	38,101,147	23,577,515	14,523,632	61.6%	11
12 Payroll related	3,851,936	3,976,136	(124,201)	-3.1%	45,120,925	44,744,210	376,715	0.8%	12
13 Maintenance of general plant	1,342,801	2,730,298	(1,387,497)	-50.8%	21,035,381	26,435,818	(5,400,437)	-20.4%	13
14 Depreciation	4,954,114	4,954,114	-	0.0%	55,374,958	52,459,164	2,915,794	5.6%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	361,124	343,793	17,332	5.0%	3,972,367	3,781,721	190,646	5.0%	16
17 Provision for claims	244,373	159,058	85,315	53.6%	2,676,498	2,572,598	103,900	4.0%	17
18 Total operating expenses	22,092,686	25,323,183	(3,230,496)	-12.8%	240,099,147	249,405,222	(9,306,074)	-3.7%	18
19 Operating income (loss)	(2,329,494)	(7,520,833)	5,191,339	-69.0%	(3,370,095)	(67,565,787)	64,195,692	-95.0%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	32	(32)	-100.0%	35	452	(417)	-92.3%	20
21 Three-mill tax	-	808,441	(808,441)	-100.0%	15,180,014	15,860,072	(680,058)	-4.3%	21
22 Six-mill tax	-	642,488	(642,488)	-100.0%	16,006,243	16,396,190	(389,948)	-2.4%	22
23 Nine-mill tax	-	963,063	(963,063)	-100.0%	23,992,705	24,577,140	(584,435)	-2.4%	23
24 Interest income	50,848	443,144	(392,297)	-88.5%	1,801,892	1,087,849	714,043	65.6%	24
25 Other Taxes	-	-	-	0.0%	297,272	297,259	13	0.0%	25
26 Other Income	-	-	-	0.0%	27,839,139	-	27,839,139	0.0%	26
27 Interest expense	(18,827,555)	-	(18,827,555)	0.0%	(18,827,555)	-	(18,827,555)	0.0%	27
28 Operating and maintenance grants	(100)	-	(100)	0.0%	35	162	(127)	-78.3%	28
29 Total non-operating revenues	(18,776,807)	2,857,169	(21,633,976)	-757.2%	66,289,780	58,219,125	8,070,655	13.9%	29
30 Income before capital contributions	(21,106,301)	(4,663,664)	(16,442,637)	352.6%	62,919,684	(9,346,662)	72,266,347	-773.2%	30
31 Capital contributions	3,013,271	12,581,428	(9,568,157)	-76.0%	22,335,393	55,161,984	(32,826,592)	-59.5%	31
32 Change in net position	(18,093,030)	7,917,764	(26,010,794)	-328.5%	85,255,077	45,815,322	39,439,755	86.1%	32
33 Net position, beginning of year					2,244,801,991	2,168,991,445	75,810,546	3.5%	33
34 Net position, end of year					2,332,600,613	2,214,806,767	117,793,846	5.3%	34

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
November 2019 Closed Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	7,587,159	8,699,383	(1,112,224)	-12.8%	102,222,795	95,693,218	6,529,577	6.8%	1
2 Sewerage service charges and del fees	11,844,606	10,647,154	1,197,452	11.2%	129,576,697	117,118,693	12,458,004	10.6%	2
3 Plumbing inspection and license fees	52,000	50,380	1,620	3.2%	530,306	554,179	(23,873)	-4.3%	3
4 Other revenues	279,427	40,623	238,804	587.9%	4,399,254	446,853	3,952,401	884.5%	4
5 Total operating revenues	19,763,192	19,437,540	325,652	1.7%	236,729,052	213,812,943	22,916,109	10.7%	5
Operating Expenses:									
6 Power and pumping	2,053,495	2,973,019	(919,524)	-30.9%	15,377,418	32,703,207	(17,325,789)	-53.0%	6
7 Treatment	1,842,541	2,079,897	(237,356)	-11.4%	15,958,518	22,878,862	(6,920,343)	-30.2%	7
8 Transmission and distribution	2,764,424	3,691,912	(927,487)	-25.1%	33,130,083	40,611,027	(7,480,943)	-18.4%	8
9 Customer accounts	582,029	513,844	68,184	13.3%	4,839,665	5,652,287	(812,622)	-14.4%	9
10 Customer service	691,833	425,314	266,520	62.7%	4,512,186	4,678,450	(166,264)	-3.6%	10
11 Administration and general	3,404,016	4,607,670	(1,203,654)	-26.1%	38,101,147	50,684,368	(12,583,221)	-24.8%	11
12 Payroll related	3,851,936	3,088,724	763,212	24.7%	45,120,925	33,975,966	11,144,959	32.8%	12
13 Maintenance of general plant	1,342,801	2,439,844	(1,097,043)	-45.0%	21,035,381	26,838,282	(5,802,901)	-21.6%	13
14 Depreciation	4,954,114	4,988,917	(34,803)	-0.7%	55,374,958	54,878,083	496,874	0.9%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	361,124	-	361,124	0.0%	3,972,367	-	3,972,367	0.0%	16
17 Provision for claims	244,373	327,181	(82,808)	-25.3%	2,676,498	3,598,994	(922,495)	-25.6%	17
18 Total operating expenses	22,092,686	25,136,321	(3,043,634)	-12.1%	240,099,147	276,499,526	(36,400,378)	-13.2%	18
19 Operating income (loss)	(2,329,494)	(5,698,780)	3,369,286	-59.1%	(3,370,095)	(62,686,583)	59,316,487	-94.6%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	35	-	35	0.0%	20
21 Three-mill tax	-	1,459,579	(1,459,579)	-100.0%	15,180,014	16,055,366	(875,352)	-5.5%	21
22 Six-mill tax	-	1,382,106	(1,382,106)	-100.0%	16,006,243	15,203,171	803,072	5.3%	22
23 Nine-mill tax	-	2,187,819	(2,187,819)	-100.0%	23,992,705	24,066,005	(73,301)	-0.3%	23
24 Interest income	50,848	-	50,848	0.0%	1,801,892	-	1,801,892	0.0%	24
25 Other Taxes	-	148,462	(148,462)	-100.0%	297,272	1,633,082	(1,335,810)	-81.8%	25
26 Other Income	-	-	-	0.0%	27,839,139	-	27,839,139	0.0%	26
27 Interest expense	(18,827,555)	-	(18,827,555)	0.0%	(18,827,555)	-	(18,827,555)	0.0%	27
28 Operating and maintenance grants	(100)	376,923	(377,023)	-100.0%	35	4,146,158	(4,146,122)	-100.0%	28
29 Total non-operating revenues	(18,776,807)	5,554,889	(24,331,696)	-438.0%	66,289,780	61,103,782	5,185,998	8.5%	29
30 Income before capital contributions	(21,106,301)	(143,891)	(20,962,410)	14568.3%	62,919,684	(1,582,801)	64,502,485	-4075.2%	30
31 Capital contributions	3,013,271	-	3,013,271	0.0%	22,335,393	-	22,335,393	0.0%	31
32 Change in net position	(18,093,030)	(143,891)	(17,949,139)	12474.1%	85,255,077	(1,582,801)	86,837,878	-5486.3%	32
33 Net position, beginning of year					2,244,801,991	2,168,991,445	75,810,546	3.5%	33
34 Net position, end of year					2,332,600,613	2,167,408,644	165,191,969	7.6%	34

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
November 2019 Closed Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	7,587,159	7,702,424	(115,265)	-1.5%	102,222,795	77,858,942	24,363,853	31.3%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	26,415	(28,380)	54,795	-193.1%	274,028	289,195	(15,167)	-5.2%	3
4 Other revenues ¹	229,265	119,688	109,577	91.6%	2,745,372	1,578,411	1,166,961	73.9%	4
5 Total operating revenues	7,842,839	7,793,732	49,107	0.6%	105,242,195	79,726,548	25,515,647	32.0%	5
Operating Expenses:									
6 Power and pumping	202,493	180,971	21,522	11.9%	2,021,498	2,314,510	(293,011)	-12.7%	6
7 Treatment	882,654	634,058	248,595	39.2%	8,622,587	8,270,322	352,265	4.3%	7
8 Transmission and distribution	1,367,635	3,149,009	(1,781,373)	-56.6%	16,585,584	19,696,803	(3,111,219)	-15.8%	8
9 Customer accounts	290,267	207,621	82,646	39.8%	2,408,284	2,378,475	29,808	1.3%	9
10 Customer service	341,056	148,795	192,261	129.2%	2,221,378	1,994,327	227,051	11.4%	10
11 Administration and general	1,160,457	951,222	209,235	22.0%	12,772,014	8,191,215	4,580,799	55.9%	11
12 Payroll related	1,419,792	1,544,130	(124,338)	-8.1%	16,836,438	16,752,012	84,426	0.5%	12
13 Maintenance of general plant	563,581	1,596,439	(1,032,858)	-64.7%	10,026,695	14,132,158	(4,105,463)	-29.1%	13
14 Depreciation	1,018,044	1,018,044	-	0.0%	10,996,616	9,162,392	1,834,224	20.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	167,262	157,321	9,941	6.3%	1,839,887	1,730,532	109,355	6.3%	16
17 Provision for claims	97,683	63,601	34,081	53.6%	1,069,796	1,022,929	46,867	4.6%	17
18 Total operating expenses	7,510,924	9,651,210	(2,140,287)	-22.2%	85,400,778	85,645,676	(244,898)	-0.3%	18
19 Operating income (loss)	331,915	(1,857,478)	2,189,393	-117.9%	19,841,417	(5,919,128)	25,760,545	-435.2%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	19,225	190,016	(170,792)	-89.9%	665,961	603,563	62,398	10.3%	24
25 Other Taxes	-	-	-	0.0%	132,286	132,280	6	0.0%	25
26 Other Income	-	-	-	0.0%	6,868,807	-	6,868,807	0.0%	26
27 Interest expense	(9,195,113)	-	(9,195,113)	0.0%	(9,195,113)	-	(9,195,113)	0.0%	27
28 Operating and maintenance grants	(100)	-	(100)	0.0%	(452)	117	(569)	-485.6%	28
29 Total non-operating revenues	(9,175,988)	190,016	(9,366,005)	-4929.0%	(1,528,510)	735,961	(2,264,471)	-307.7%	29
30 Income before capital contributions	(8,844,073)	(1,667,462)	(7,176,611)	430.4%	18,312,907	(5,183,167)	23,496,074	-453.3%	30
31 Capital contributions	927,210	3,085,398	(2,158,188)	-69.9%	7,750,505	22,964,540	(15,214,035)	-66.3%	31
32 Change in net position	(7,916,863)	1,417,936	(9,334,799)	-658.3%	26,063,412	17,781,373	8,282,039	46.6%	32
33 Net position, beginning of year					337,709,558	321,210,491	16,499,067	5.1%	33
34 Net position, end of year					364,251,602	338,991,864	25,259,738	7.5%	34

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
November 2019 Closed Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	7,587,159	8,699,383	(1,112,224)	-12.8%	102,222,795	95,693,218	6,529,577	6.8%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	26,415	26,557	(142)	-0.5%	274,028	292,130	(18,102)	-6.2%	3
4 Other revenues ¹	229,265	20,530	208,735	1016.7%	2,745,372	225,830	2,519,542	1115.7%	4
5 Total operating revenues	7,842,839	8,746,471	(903,632)	-10.3%	105,242,195	96,211,177	9,031,018	9.4%	5
Operating Expenses:									
6 Power and pumping	202,493	203,569	(1,076)	-0.5%	2,021,498	2,239,263	(217,764)	-9.7%	6
7 Treatment	882,654	864,328	18,326	2.1%	8,622,587	9,507,603	(885,017)	-9.3%	7
8 Transmission and distribution	1,367,635	1,712,244	(344,609)	-20.1%	16,585,584	18,834,687	(2,249,103)	-11.9%	8
9 Customer accounts	290,267	255,719	34,548	13.5%	2,408,284	2,812,905	(404,622)	-14.4%	9
10 Customer service	341,056	209,805	131,251	62.6%	2,221,378	2,307,853	(86,475)	-3.7%	10
11 Administration and general	1,160,457	1,555,394	(394,937)	-25.4%	12,772,014	17,109,339	(4,337,325)	-25.4%	11
12 Payroll related	1,419,792	1,199,897	219,895	18.3%	16,836,438	13,198,862	3,637,577	27.6%	12
13 Maintenance of general plant	563,581	1,102,523	(538,942)	-48.9%	10,026,695	12,127,754	(2,101,059)	-17.3%	13
14 Depreciation	1,018,044	1,329,750	(311,706)	-23.4%	10,996,616	14,627,250	(3,630,634)	-24.8%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	167,262	-	167,262	0.0%	1,839,887	-	1,839,887	0.0%	16
17 Provision for claims	97,683	120,039	(22,357)	-18.6%	1,069,796	1,320,431	(250,634)	-19.0%	17
18 Total operating expenses	7,510,924	8,553,268	(1,042,344)	-12.2%	85,400,778	94,085,946	(8,685,168)	-9.2%	18
19 Operating income (loss)	331,915	193,203	138,712	71.8%	19,841,417	2,125,231	17,716,186	833.6%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	19,225	-	19,225	0.0%	665,961	-	665,961	0.0%	24
25 Other Taxes	-	104,090	(104,090)	-100.0%	132,286	1,144,985	(1,012,699)	-88.4%	25
26 Other Income	-	-	-	0.0%	6,868,807	-	6,868,807	0.0%	26
27 Interest expense	(9,195,113)	-	(9,195,113)	0.0%	(9,195,113)	-	(9,195,113)	0.0%	27
28 Operating and maintenance grants	(100)	113,077	(113,177)	-100.1%	(452)	1,243,847	(1,244,299)	-100.0%	28
29 Total non-operating revenues	(9,175,988)	217,167	(9,393,155)	-4325.3%	(1,528,510)	2,388,832	(3,917,343)	-164.0%	29
30 Income before capital contributions	(8,844,073)	410,369	(9,254,442)	-2255.1%	18,312,907	4,514,064	13,798,844	305.7%	30
31 Capital contributions	927,210	-	927,210	0.0%	7,750,505	-	7,750,505	0.0%	31
32 Change in net position	(7,916,863)	410,369	(8,327,232)	-2029.2%	26,063,412	4,514,064	21,549,348	477.4%	32
33 Net position, beginning of year					337,709,558	321,210,491	16,499,067	5.1%	33
34 Net position, end of year					364,251,602	325,724,555	38,527,047	11.8%	34

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
November 2019 Closed Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	11,844,606	9,937,251	1,907,355	19.2%	129,576,697	101,110,428	28,466,269	28.2%	2
3 Plumbing inspection and license fees	25,585	32,070	(6,485)	-20.2%	256,278	285,285	(29,007)	-10.2%	3
4 Other revenues	43,145	37,964	5,181	13.6%	920,285	611,405	308,880	50.5%	4
5 Total operating revenues	11,913,336	10,007,285	1,906,051	19.0%	130,753,260	102,007,118	28,746,142	28.2%	5
Operating Expenses:									
6 Power and pumping	504,122	425,671	78,451	18.4%	3,899,626	5,076,479	(1,176,853)	-23.2%	6
7 Treatment	959,887	924,619	35,268	3.8%	7,335,931	10,276,866	(2,940,935)	-28.6%	7
8 Transmission and distribution	1,012,848	3,225,255	(2,212,407)	-68.6%	12,721,127	14,196,943	(1,475,815)	-10.4%	8
9 Customer accounts	290,267	207,620	82,646	39.8%	2,408,280	2,378,471	29,808	1.3%	9
10 Customer service	341,055	148,794	192,261	129.2%	2,221,375	1,994,322	227,052	11.4%	10
11 Administration and general	1,520,975	1,221,201	299,774	24.5%	17,122,214	10,327,495	6,794,719	65.8%	11
12 Payroll related	1,419,932	1,541,701	(121,769)	-7.9%	16,791,885	16,748,708	43,178	0.3%	12
13 Maintenance of general plant	515,739	646,426	(130,686)	-20.2%	7,017,094	7,504,983	(487,889)	-6.5%	13
14 Depreciation	1,968,320	1,968,320	-	0.0%	22,387,599	21,651,521	736,078	3.4%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	192,888	186,472	6,416	3.4%	2,121,770	2,051,189	70,581	3.4%	16
17 Provision for claims	97,683	63,601	34,082	53.6%	1,069,797	1,014,326	55,470	5.5%	17
18 Total operating expenses	8,823,716	10,559,679	(1,735,964)	-16.4%	95,096,697	93,221,303	1,875,395	2.0%	18
19 Operating income (loss)	3,089,620	(552,394)	3,642,015	-659.3%	35,656,563	8,785,815	26,870,748	305.8%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	3,742	249,432	(245,690)	-98.5%	581,885	418,221	163,664	39.1%	24
25 Other Taxes	-	-	-	0.0%	164,986	164,979	7	0.0%	25
26 Other Income	-	-	-	0.0%	9,081,669	-	9,081,669	0.0%	26
27 Interest expense	(9,525,667)	-	(9,525,667)	0.0%	(9,525,667)	-	(9,525,667)	0.0%	27
28 Operating and maintenance grants	-	-	-	0.0%	487	45	442	985.9%	28
29 Total non-operating revenues	(9,521,925)	249,432	(9,771,357)	-3917.4%	303,361	583,245	(279,884)	-48.0%	29
30 Income before capital contributions	(6,432,304)	(302,962)	(6,129,342)	2023.1%	35,959,923	9,369,060	26,590,863	283.8%	30
31 Capital contributions	753,840	20,227	733,613	3627.0%	6,617,720	11,232,184	(4,614,464)	-41.1%	31
32 Change in net position	(5,678,465)	(282,735)	(5,395,729)	1908.4%	42,577,643	20,601,244	21,976,399	106.7%	32
33 Net position, beginning of year					784,959,695	774,894,175	10,065,520	1.3%	33
34 Net position, end of year					829,399,813	795,495,419	33,904,394	4.3%	34

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
November 2019 Closed Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	11,844,606	10,647,154	1,197,452	11.2%	129,576,697	117,118,693	12,458,004	10.6%	2
3 Plumbing inspection and license fees	25,585	23,823	1,762	7.4%	256,278	262,049	(5,771)	-2.2%	3
4 Other revenues	43,145	20,093	23,052	114.7%	920,285	221,023	699,262	316.4%	4
5 Total operating revenues	11,913,336	10,691,070	1,222,267	11.4%	130,753,260	117,601,765	13,151,495	11.2%	5
Operating Expenses:									
6 Power and pumping	504,122	348,534	155,588	44.6%	3,899,626	3,833,869	65,757	1.7%	6
7 Treatment	959,887	1,215,569	(255,682)	-21.0%	7,335,931	13,371,258	(6,035,327)	-45.1%	7
8 Transmission and distribution	1,012,848	1,623,078	(610,230)	-37.6%	12,721,127	17,853,853	(5,132,726)	-28.7%	8
9 Customer accounts	290,267	255,719	34,548	13.5%	2,408,280	2,812,905	(404,626)	-14.4%	9
10 Customer service	341,055	209,805	131,251	62.6%	2,221,375	2,307,853	(86,479)	-3.7%	10
11 Administration and general	1,520,975	2,062,571	(541,596)	-26.3%	17,122,214	22,688,283	(5,566,069)	-24.5%	11
12 Payroll related	1,419,932	1,276,295	143,637	11.3%	16,791,885	14,039,243	2,752,642	19.6%	12
13 Maintenance of general plant	515,739	856,112	(340,373)	-39.8%	7,017,094	9,417,232	(2,400,138)	-25.5%	13
14 Depreciation	1,968,320	1,863,333	104,987	5.6%	22,387,599	20,496,667	1,890,933	9.2%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	192,888	-	192,888	0.0%	2,121,770	-	2,121,770	0.0%	16
17 Provision for claims	97,683	120,039	(22,356)	-18.6%	1,069,797	1,320,431	(250,634)	-19.0%	17
18 Total operating expenses	8,823,716	9,831,054	(1,007,338)	-10.2%	95,096,697	108,141,594	(13,044,897)	-12.1%	18
19 Operating income (loss)	3,089,620	860,016	2,229,605	259.3%	35,656,563	9,460,171	26,196,391	276.9%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	3,742	-	3,742	0.0%	581,885	-	581,885	0.0%	24
25 Other Taxes	-	42,712	(42,712)	-100.0%	164,986	469,833	(304,847)	-64.9%	25
26 Other Income	-	-	-	0.0%	9,081,669	-	9,081,669	0.0%	26
27 Interest expense	(9,525,667)	-	(9,525,667)	0.0%	(9,525,667)	-	(9,525,667)	0.0%	27
28 Operating and maintenance grants	-	188,462	(188,462)	-100.0%	487	2,073,079	(2,072,592)	-100.0%	28
29 Total non-operating revenues	(9,521,925)	231,174	(9,753,099)	-4218.9%	303,361	2,542,912	(2,239,552)	-88.1%	29
30 Income before capital contributions	(6,432,304)	1,091,189	(7,523,494)	-689.5%	35,959,923	12,003,084	23,956,840	199.6%	30
31 Capital contributions	753,840	-	753,840	0.0%	6,617,720	-	6,617,720	0.0%	31
32 Change in net position	(5,678,465)	1,091,189	(6,769,654)	-620.4%	42,577,643	12,003,084	30,574,560	254.7%	32
33 Net position, beginning of year					784,959,695	774,894,175	10,065,520	1.3%	33
34 Net position, end of year					829,399,813	786,897,259	42,502,555	5.4%	34

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
November 2019 Closed Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%	3
4 Other revenues	7,017	1,333	5,685	426.6%	733,596	105,769	627,828	593.6%	4
5 Total operating revenues	7,017	1,333	5,685	426.6%	733,596	105,769	627,828	593.6%	5
Operating Expenses:									
6 Power and pumping	1,346,880	793,918	552,962	69.6%	9,456,294	23,473,958	(14,017,664)	-59.7%	6
7 Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8 Transmission and distribution	383,941	356,151	27,790	7.8%	3,823,372	3,705,354	118,018	3.2%	8
9 Customer accounts	1,495	2,053	(558)	-27.2%	23,102	25,673	(2,571)	-10.0%	9
10 Customer service	9,722	4,729	4,993	105.6%	69,433	51,692	17,741	34.3%	10
11 Administration and general	722,584	578,096	144,488	25.0%	8,206,919	5,058,805	3,148,114	62.2%	11
12 Payroll related	1,012,213	890,305	121,907	13.7%	11,492,601	11,243,490	249,111	2.2%	12
13 Maintenance of general plant	263,481	487,434	(223,953)	-45.9%	3,991,592	4,798,677	(807,085)	-16.8%	13
14 Depreciation	1,967,750	1,967,750	-	0.0%	21,990,742	21,645,250	345,492	1.6%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	974	-	974	0.0%	10,711	-	10,711	0.0%	16
17 Provision for claims	49,008	31,856	17,152	53.8%	536,905	535,343	1,563	0.3%	17
18 Total operating expenses	5,758,047	5,112,293	645,754	12.6%	59,601,672	70,538,243	(10,936,571)	-15.5%	18
19 Operating income (loss)	(5,751,030)	(5,110,960)	(640,069)	12.5%	(58,868,076)	(70,432,474)	11,564,399	-16.4%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	32	(32)	-100.0%	35	452	(417)	-92.3%	20
21 Three-mill tax	-	808,441	(808,441)	-100.0%	15,180,014	15,860,072	(680,058)	-4.3%	21
22 Six-mill tax	-	642,488	(642,488)	-100.0%	16,006,243	16,396,190	(389,948)	-2.4%	22
23 Nine-mill tax	-	963,063	(963,063)	-100.0%	23,992,705	24,577,140	(584,435)	-2.4%	23
24 Interest income	27,881	3,696	24,185	654.4%	554,046	66,064	487,981	738.6%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	11,888,663	-	11,888,663	0.0%	26
27 Interest expense	(106,775)	-	(106,775)	0.0%	(106,775)	-	(106,775)	0.0%	27
28 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	28
29 Total non-operating revenues	(78,894)	2,417,721	(2,496,615)	-103.3%	67,514,929	56,899,919	10,615,011	18.7%	29
30 Income before capital contributions	(5,829,924)	(2,693,240)	(3,136,684)	116.5%	8,646,854	(13,532,556)	22,179,409	-163.9%	30
31 Capital contributions	1,332,221	9,475,803	(8,143,582)	-85.9%	7,967,168	20,965,261	(12,998,093)	-62.0%	31
32 Change in net position	(4,497,703)	6,782,563	(11,280,266)	-166.3%	16,614,022	7,432,705	9,181,317	123.5%	32
33 Net position, beginning of year					1,122,132,738	1,072,886,779	49,245,959	4.6%	33
34 Net position, end of year					1,138,949,198	1,080,319,484	58,629,714	5.4%	34

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
November 2019 Closed Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%	3
4 Other revenues	7,017	-	7,017	100.0%	733,596	-	733,596	100.0%	4
5 Total operating revenues	7,017	-	7,017	100.0%	733,596	-	733,596	100.0%	5
Operating Expenses:									
6 Power and pumping	1,346,880	2,420,916	(1,074,036)	-44.4%	9,456,294	26,630,076	(17,173,782)	-64.5%	6
7 Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8 Transmission and distribution	383,941	356,590	27,351	7.7%	3,823,372	3,922,486	(99,114)	-2.5%	8
9 Customer accounts	1,495	2,407	(912)	-37.9%	23,102	26,476	(3,374)	-12.7%	9
10 Customer service	9,722	5,704	4,018	70.4%	69,433	62,744	6,689	10.7%	10
11 Administration and general	722,584	989,704	(267,120)	-27.0%	8,206,919	10,886,747	(2,679,828)	-24.6%	11
12 Payroll related	1,012,213	612,533	399,680	65.3%	11,492,601	6,737,861	4,754,740	70.6%	12
13 Maintenance of general plant	263,481	481,209	(217,728)	-45.2%	3,991,592	5,293,296	(1,301,704)	-24.6%	13
14 Depreciation	1,967,750	1,795,833	171,917	9.6%	21,990,742	19,754,167	2,236,575	11.3%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	974	-	974	0.0%	10,711	-	10,711	0.0%	16
17 Provision for claims	49,008	87,103	(38,095)	-43.7%	536,905	958,132	(421,227)	-44.0%	17
18 Total operating expenses	5,758,047	6,751,999	(993,952)	-14.7%	59,601,672	74,271,985	(14,670,313)	-19.8%	18
19 Operating income (loss)	(5,751,030)	(6,751,999)	1,000,969	-14.8%	(58,868,076)	(74,271,985)	15,403,910	-20.7%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	35	-	35	0.0%	20
21 Three-mill tax	-	1,459,579	(1,459,579)	-100.0%	15,180,014	16,055,366	(875,352)	-5.5%	21
22 Six-mill tax	-	1,382,106	(1,382,106)	-100.0%	16,006,243	15,203,171	803,072	5.3%	22
23 Nine-mill tax	-	2,187,819	(2,187,819)	-100.0%	23,992,705	24,066,005	(73,301)	-0.3%	23
24 Interest income	27,881	-	27,881	0.0%	554,046	-	554,046	0.0%	24
25 Other Taxes	-	1,660	(1,660)	-100.0%	-	18,264	(18,264)	-100.0%	25
26 Other Income	-	-	-	0.0%	11,888,663	-	11,888,663	0.0%	26
27 Interest expense	(106,775)	-	(106,775)	0.0%	(106,775)	-	(106,775)	0.0%	27
28 Operating and maintenance grants	-	75,385	(75,385)	-100.0%	-	829,231	(829,231)	-100.0%	28
29 Total non-operating revenues	(78,894)	5,106,549	(5,185,443)	-101.5%	67,514,929	56,172,037	11,342,892	20.2%	29
30 Income before capital contributions	(5,829,924)	(1,645,450)	(4,184,474)	254.3%	8,646,854	(18,099,948)	26,746,802	-147.8%	30
31 Capital contributions	1,332,221	-	1,332,221	0.0%	7,967,168	-	7,967,168	0.0%	31
32 Change in net position	(4,497,703)	(1,645,450)	(2,852,253)	173.3%	16,614,022	(18,099,948)	34,713,970	-191.8%	32
33 Net position, beginning of year					1,122,132,738	1,072,886,779	49,245,959	4.6%	33
34 Net position, end of year					1,138,949,198	1,054,786,831	84,162,367	8.0%	34

Sewerage and Water Board of New Orleans

Total System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End November 2019

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and
Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal:
No

Process Operating Within Control Limits:
Yes

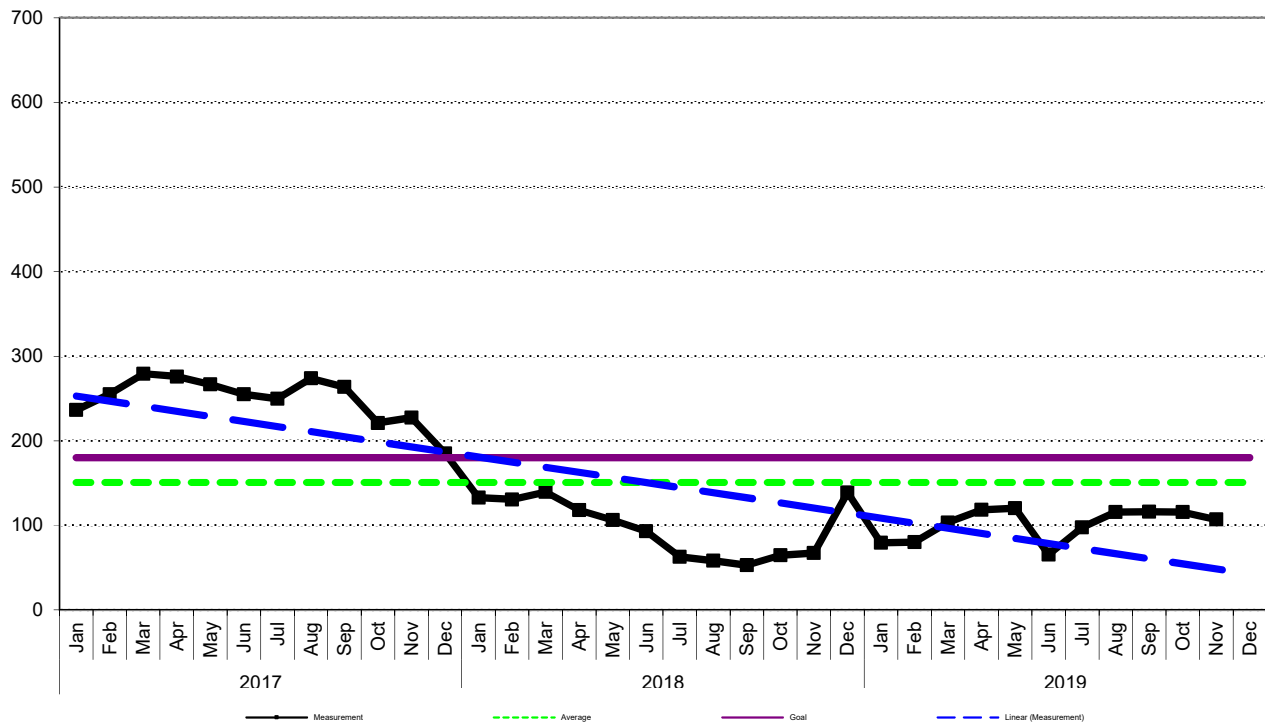
Trend: Unfavorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2017	236.4	255.0	279.2	275.9	266.7	254.8	249.5	273.7	263.4	221.0	227.2	185.1
2018	132.6	130.6	139.6	118.1	106.3	92.9	62.9	58.3	52.9	64.7	67.2	138.8
2019	79.5	80.2	103.4	118.2	120.2	65.4	97.5	115.7	116.1	115.6	107.1	

Sewerage and Water Board of New Orleans

Water System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End November 2019

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and
Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal:
No

Process Operating Within Control Limits:
Yes

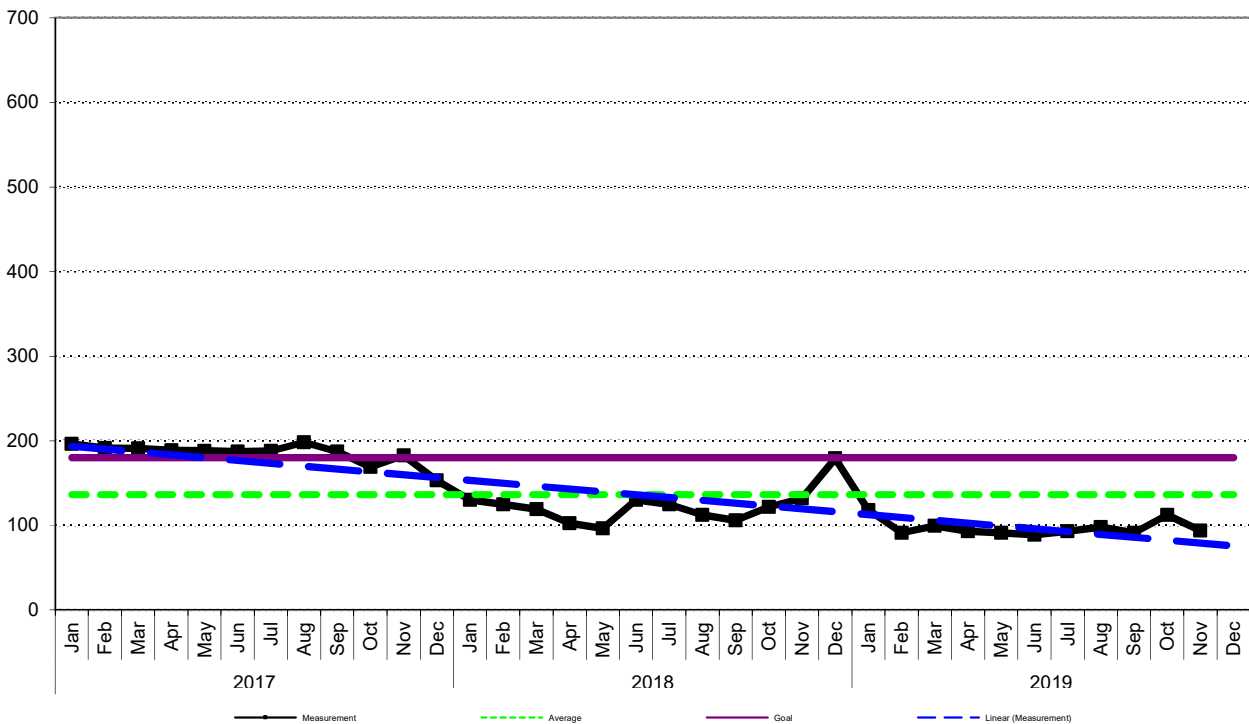
Trend: Unfavorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2017	196.2	191.3	190.9	188.7	188.1	187.2	187.8	198.2	187.2	168.8	182.7	153.0
2018	130.1	124.7	119.0	102.4	96.4	130.0	124.7	112.2	106.0	121.7	131.9	179.2
2019	118.1	91.3	99.6	93.0	90.9	88.8	93.1	98.0	91.0	112.3	93.8	

Sewerage and Water Board of New Orleans

Sewer System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End November 2019

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and
Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal:
No

Process Operating Within Control Limits:
Yes

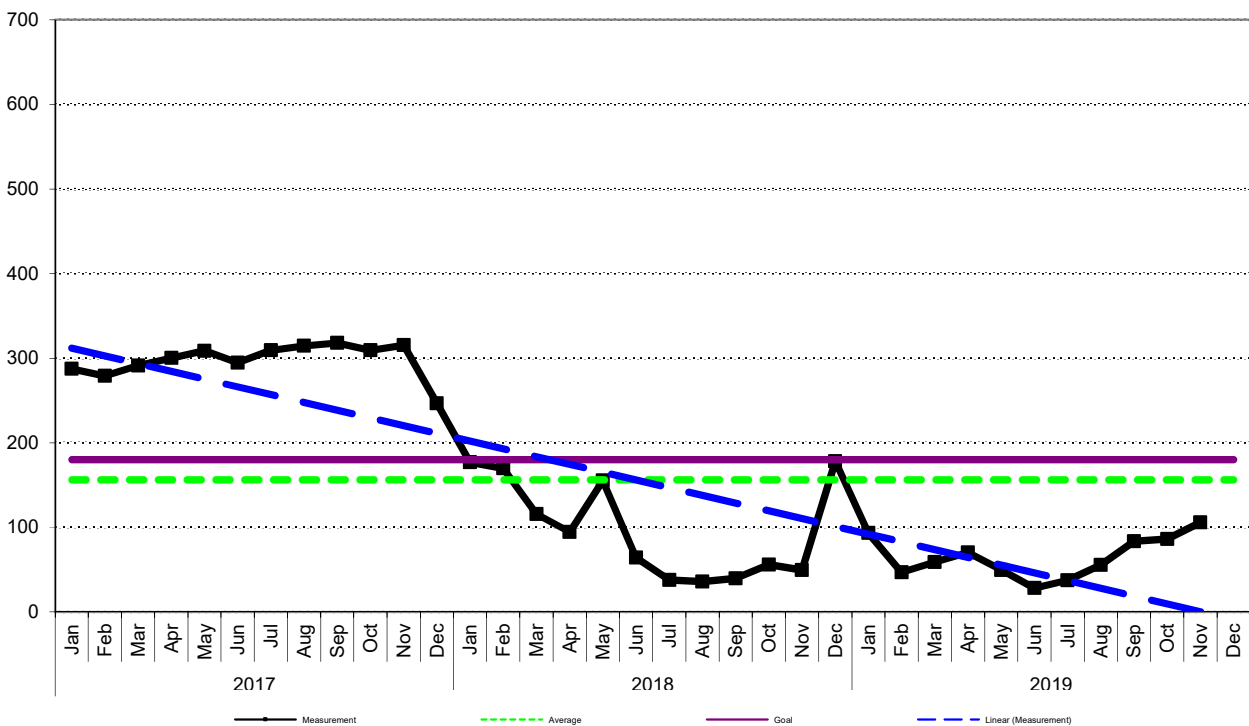
Trend: Favorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2017	287.5	279.1	291.3	300.4	308.7	294.4	309.3	314.6	317.9	309.3	315.3	246.7
2018	176.8	169.9	115.7	94.3	155.4	64.4	37.7	35.9	39.6	56.1	49.6	178.1
2019	93.3	46.9	58.8	70.2	49.7	28.1	37.3	55.6	83.4	86.2	105.7	

Sewerage and Water Board of New Orleans

Drainage System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End November 2019

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and
Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal:
No

Process Operating Within Control Limits:
Yes

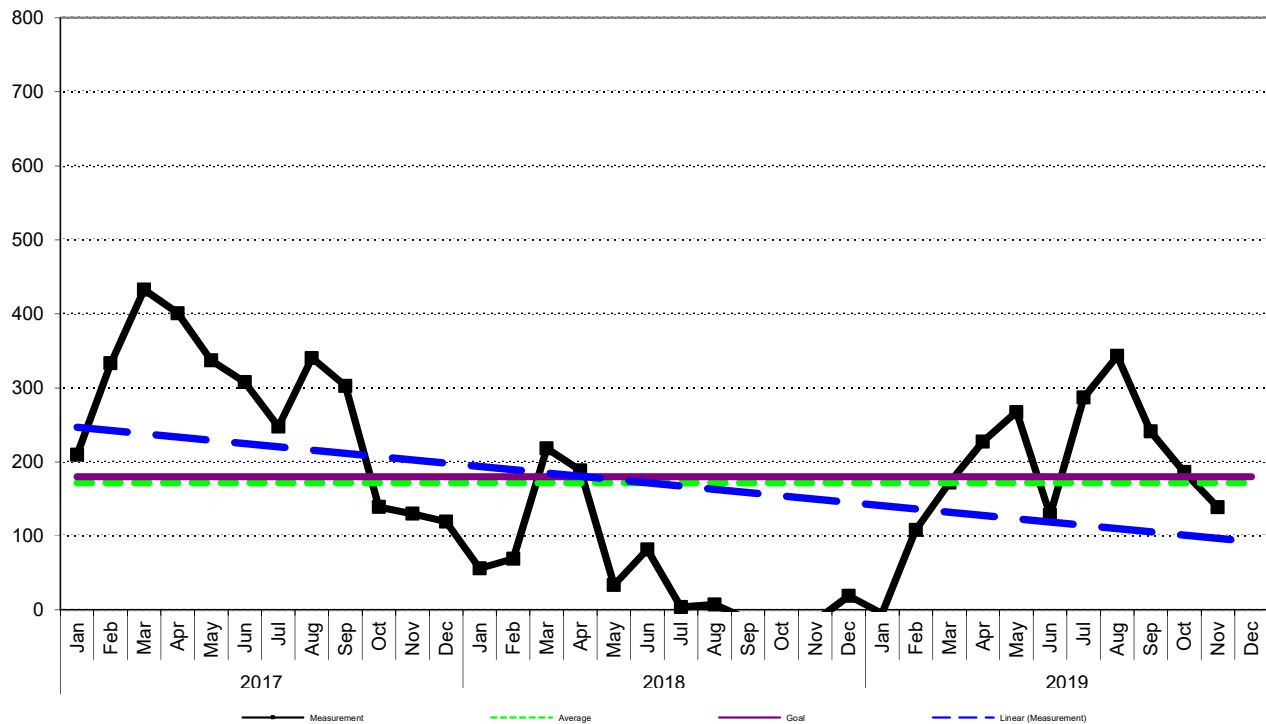
Trend: Unfavorable

Analysis

Monthly cash balances typically increase during the first quarter from property tax collections and then decrease for the remainder of the year. The long-term goal will not be met until a new revenue stream for the drainage system is created and implemented.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2017	209.5	333.3	432.7	400.8	337.1	307.5	247.6	340.3	302.4	139.2	130.2	119.1
2018	56.1	69.0	218.1	188.2	33.6	81.6	3.8	7.5	0.0	0.0	0.0	19.0
2019	0.0	108.1	171.8	227.2	267.3	128.5	286.9	343.0	241.0	186.3	138.8	

Sewerage and Water Board of New Orleans
Comparative Variance Indicators for Financial Results
Through November 2019

Statement of Revenues, Expenses, and Changes in Net Position with Prior Year Comparisons	Total	Water	Sewer	Drainage
Revenues				
Operating Expenses				
Non-Operating Revenues and Expenses				
Income before Capital Contributions				
Statement of Revenues, Expenses, and Changes in Net Position with Budget Comparisons	Total	Water	Sewer	Drainage
Revenues				
Operating Expenses				
Non-Operating Revenues and Expenses				
Income before Capital Contributions				
Statement of Net Position with Prior Year Comparisons	Total	Water	Sewer	Drainage
Plant, Property, and Equipment				
Restricted Current Assets				
Unrestricted Undesignated Current Assets				
Net Position				
Long-Term Liabilities				
Current Liabilities from Unrestricted Assets				
Current Liabilities from Restricted Assets				
Statement of Cash Flows with Prior Year Comparisons	Total	Water	Sewer	Drainage
Operating Activities				
Non-Capital Financing Activities				
Capital and Related Financing Activities				
Investing Activities				
Net Increase in Cash				

Green = Favorable Variance

Yellow = Unfavorable Variance / No Action Recommended

Red = Unfavorable Variance / Action Recommended

Project Delivery Unit
Dec 2019 Closeout Snapshot

FEMA Public Assistance	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - St. Joseph Headquarters	20	\$ 3,337,110.14	\$ 3,032,390.41	\$ 304,719.73	91%	19	\$ 2,236,513.15	\$ 2,236,513.15	17	\$ 594,985.41	95%	85%	2	\$ 1,641,527.74
Hurricane Katrina - Central Yard	42	\$ 27,891,963.74	\$ 26,158,109.94	\$ 1,733,853.80	94%	42	\$ 27,891,963.74	\$ 27,584,812.74	28	\$ 21,800,059.02	100%	67%	14	\$ 6,091,904.72
Hurricane Katrina - Wastewater Treatment Plan	128	\$ 90,727,302.10	\$ 81,589,556.59	\$ 9,137,745.51	90%	127	\$ 83,689,892.50	\$ 80,279,515.50	117	\$ 33,348,724.00	99%	91%	10	\$ 50,341,168.50
Hurricane Katrina - Carrollton Water Plant	55	\$ 75,901,500.33	\$ 66,290,938.12	\$ 9,610,562.21	87%	53	\$ 14,329,381.73	\$ 14,236,128.18	49	\$ 11,396,037.26	96%	89%	4	\$ 2,933,344.47
Hurricane Katrina - Distribution Network	27	\$ 196,982,528.55	\$ 162,328,762.50	\$ 34,653,766.05	82%	25	\$ 116,490,014.08	\$ 120,481,931.60	18	\$ 30,028,021.61	93%	67%	7	\$ 86,461,992.47
Hurricane Katrina - Pump Stations	166	\$ 81,315,372.28	\$ 72,155,827.47	\$ 9,159,544.81	89%	159	\$ 78,684,618.87	\$ 80,198,290.36	141	\$ 48,686,511.53	96%	85%	18	\$ 29,998,107.34
Hurricane Gustav	9	\$ 667,553.90	\$ 668,689.06	\$ (1,135.16)	100%	9	\$ 667,553.90	\$ 667,553.90	8	\$ 665,778.64	100%	89%	1	\$ 1,775.26
Hurricane Isaac	8	\$ 1,554,775.01	\$ 955,019.44	\$ 599,755.57	61%	3	\$ 39,707.49	\$ 67,467.45	1	\$ 4,540.20	38%	13%	2	\$ 35,167.29
Tropical Storm Nate	2	\$ 439,728.39	\$ 13,377.99	\$ 426,350.40	3%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Barry	1	\$ -	\$ -	\$ -	0%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Total	458	\$ 478,817,834.44	\$ 413,192,671.52	\$ 65,625,162.92	86%	437	\$ 324,029,645.46	\$ 325,752,212.88	379	\$ 146,524,657.67	95%	83%	58	\$ 177,504,987.79

FEMA Joint Infrastructure	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - JIRR settlement	2	\$ 268,448,968.15	\$ 3,369,867.79	\$ 265,079,100.36	1%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - JIRR donors	53	\$ 68,304,966.02	\$ 54,466,681.17	\$ 13,838,284.85	80%	33	\$ 46,582,848.28	\$ 49,769,153.49	1	\$ 34,116.14	62%	0%	32	\$ 46,548,732.14
Total	55	\$ 336,753,934.17	\$ 57,836,548.96	\$ 278,917,385.21	17%	33	\$ 46,582,848.28	\$ 49,769,153.49	1	\$ 34,116.14	60%	2%	32	\$ 46,548,732.14

FEMA Hazard Mitigation Grant Program	# of Contracts	FEMA Obligated	FEMA Revenue Received per LAHM	FEMA Obligation Balance	% Financially Complete	# of Contracts Completed	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - Retrofit of Power House	12	\$ 150,795,389.00	\$ 107,294,648.35	\$ 43,500,740.65	71%	5	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - Flood Mitigation of 9 SPS	9	\$ 19,987,722.00	\$ 19,299,825.10	\$ 687,896.90	97%	9	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Ike - Five Underpass Generators	1	\$ 988,658.00	\$ 839,129.23	\$ 149,528.77	85%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Total	22	\$ 171,771,769.00	\$ 127,433,602.68	\$ 44,338,166.32	74%	14	\$ -	\$ -	0	\$ -	64%	0%	0	\$ -

TOTALS as of 12.30.19	FEMA Obligated	FEMA Revenue Received	FEMA Obligation Balance
	\$ 987,343,537.61	\$ 598,462,823.16	\$ 388,880,714.45



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: January 9, 2020

To: Brionne Lindsey
Sewerage and Water Board New Orleans –Board Relations

Through: Ghassan Korban, SWBNO Executive Director

From: Patti J. Wallace, Purchasing Director
Purchasing Department

Re: **Executive Director's Approval of Contracts of \$1,000,000.00 or less**

1. **Cheney Lime & Cement Co.**

245 Macallan Drive
Allgood, AL 35013

- CM200001 – Furnishing Lime
- Start Date: March 1, 2020 – February 28, 2021 with an additional one (1) year renewal option.
- \$650,000.00 annually - Budget
- DBE Goal 0%

2. **Gulf Coast Oil & Supply, LLC.**

45 Coquille Drive
Belle Chasse, LA. 70037

- YG20-0002 – Furnishing and Delivering Lubricant Petroleum Products
- Start Date: March 1, 2020 – February 28, 2021 with an additional one (1) year renewal option
- \$100,538.05 annually budget
- DBE Goal 0%

3. **Southern Tire Mart**

800 Hwy 98,
Columbia MS. 39429

- YG20-0003 – Furnishing Complete Fleet Tire Services
- Start Date: March 1, 2020 – February 28, 2021 with an additional one (1) year renewal option
- \$300,000.00 annually budget
- DBE Goal 30%

4. **Industrial & Mechanical Contractors, Inc**

757 Central Avenue
Jefferson, LA 70121

- Contract No 5243 – DPS 4 Anti-Siphon Project
- Start Date: March 1, 2020 – February 28, 2021 with an additional one (1) year renewal option
- \$561,580.00
- DBE Goal 20.48%

Upon request, complete contract available for review in the Procurement Office.



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: January 10, 2020

To: Ghassan Korban, Executive Director

Thru: Irma Plummer, EDBP Director (IP)

From: Lyria Hicks, EDBP Compliance Officer JH

Re: EDBP Department Summary – December 2019

ANALYSES CONDUCTED BY EDBP

For the month of December 2019, the EDBP Department received two (2) Goods and Services contracts to review.

FURNISHING AND DELIVERING COMPLETE FLEET TIRE SERVICE - REQ NO. YG20-0003

On Thursday, December 5, 2019, one (1) bid was received for subject contract. The bid total is as follows:

Southern Tire Mart LLC	\$292,565.15
------------------------	--------------

Thirty percent (30%) DBE participation was requested on this contract.

Southern Tire Mart the lowest and sole bidder, submitted V. Keeler & Associates, (Eligible – Certified SLDBE) to provide services. Signed correspondence from the DBE on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$87,769.54 - 30%

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends the SLDBE participation submitted by Southern Tire Mart be accepted as responsive to meeting EDBP bid requirements.

FURNISHING LIMESTONE #610 – REQ NO. YW20-0003

On Thursday, December 19, 2019, one (1) bid was received for subject contract. The bid total is as follows:

MST Enterprises LLC	\$731,250.00
---------------------	--------------

Twenty percent (20%) DBE participation was requested on this contract.

MST Enterprises LLC the lowest and sole bidder, submitted Trucking Innovation LLC, (Eligible – Certified SLDBE) to provide services. Signed correspondence from the DBE on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$146,250.00 – 20%

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends the SLDBE participation submitted by MST Enterprises LLC be accepted as responsive to meeting EDBP bid requirements.

For the month of December 2019, the EDBP Department did received one (1) Professional Service contract to review.

REQUEST FOR PROPOSAL FOR PROFESSIONAL CONSULTING SERVICES TO PERFORM COMPREHENSIVE FINANCIAL PLANNING, COST OF SERVICE STUDY, RATE DESIGN AND RELATED SERVICES

On Monday, December 9, 2019, three (3) proposals were received for subject project. The respondents are as follows:

Raftelis	472/500 pts
NewGen Strategies & Solutions	389/500 pts
Black & Veatch Management Consulting, LLC	477/500 pts

The highest evaluated score was from **Black & Veatch** with a total score of 477 out of 500 possible points.

Twenty percent (20%) SLDBE participation goal was requested on subject proposal.

Raftelis submitted Infinity Engineering Consultants, LLC (Eligible – Certified SLDBE) to review capital improvement plan and other documents and provide opinions regarding near-term capital needs; The Hawthorne Agency (eligible – certified SLDBE) to provide public outreach and develop and execute a strategic public information plan; and Asakura Robinson Company, LLC (Eligible – Certified SLDBE) to support ratemaking decisions regarding potential drainage rate fees and design, edit or produce exhibits for communication, presentation or meeting activities. Signed correspondence from all DBEs on their letterhead reaffirming negotiated terms was provided.

Total Participation: 20.72%

NewGen Strategies and Solutions, LLC submitted Pivotal Engineering, LLC (Eligible – Certified SLDBE) to provide assistance in conducting a water loss assessment, projecting pumping volume and water usage, and general project management and reporting. Signed correspondence from the DBE on its letterhead reaffirming negotiated terms was provided.

Total Participation: - 20.2%

Black & Veatch Management Consulting, LLC submitted The Estopinal Group (Eligible – Certified SLDBE) to provide engineering support. Signed correspondence from the-DBE on its letterhead reaffirming negotiated terms was provided.

Total Participation: 20%

Based on analysis of the SLDBE participation submitted, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Raftelis, NewGen Strategies & Solutions and Black & Veatch Management Consulting, LLC be considered responsive to meeting EDBP proposal requirements.

For the month of December 2019, the EDBP Department received one (1) Construction contract to review.

#1370A – 60HZ TRANSFORMER/SWITHEGEAR CONTRACT

On Friday, November 22, 2019, two (2) bids were received for subject contract. The bid totals are as follows:

Frischhertz Electric Co., Inc.	\$11,414,960.00
Walter J. Barnes Electric Co., Inc.	\$11,746,364.45

Twenty percent (20%) DBE participation was established for this project.

The apparent lowest bidder, Frischhertz Electric Co., Inc., submitted the following subcontractors: **Carter Electric Supply, LLC** (eligible certified SLDBE) Supply electrical equipment, miscellaneous parts and **Balthazar Electiks Wholesale Distributors** (eligible certified SLDBE) Supply electrical equipment, miscellaneous parts. Correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$4,185,620.00 — 36.67%

The apparent second lowest bidder, Walter J. Barnes Electric Co., Inc., submitted the following subcontractors: **C. Watson Group** (eligible certified SLDBE) Furnish Electrical Gear and **Balthazar Electiks Wholesale Distributors** (eligible certified SLDBE) Furnish Electrical Gear. **Marrero, Couvillon & Associates** (eligible certified SLDBE) Engineering. Correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$3,075,862.00 — 26.19%

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by Frischhertz Electric Co., Inc., and Walter J. Barnes Electric Co., Inc., be considered as responsive to meeting EDBP bid requirements.

The release of this analysis by EDBP was contingent upon full evaluation by all involved parties.

CONSTRUCTION REVIEW COMMITTEE RECOMMENDATIONS

The Construction Review Committee convened on Thursday, December 12, 2019, and made the following recommendation:

1)	Contract #5246: DPS #3 Anti-Siphon Project
Budget Amount:	\$702,000.00
Recommended Goal:	20%
Renewal Option(s):	None
Justification:	N/A

STAFF CONTRACT REVIEW COMMITTEE RECOMMENDATIONS

The Staff Contract Review Committee convened on Thursday, December 12, 2019, and made the following recommendations:

OPEN MARKET CONTRACTS

1) Request for Proposal: Investment Consulting Services for SWBNO Employees' Pension Trust Fund

Budget Amount: \$145,000.00
Renewal Option: Initial term of one (1) year with five (5) one (1) year renewal options
Recommended Goal: 0%
Justification: Does not lend itself to subcontracting opportunities.

2) Request for Qualifications: Power Frequency Converter at Carrollton Water Plant

Budget Amount: \$1,000,000.00
Renewal Option: Initial term of one (1) year with four (4) one (1) year renewal options
Recommended Goal: 35%
Justification: N/A

AMENDMENTS

1) Request for Design and Engineering Services for Water Hammer Hazard Mitigation Design

Budget Amount: \$10,401,139.80
Amount Spent: \$698,225.21
Amendment No.: 8
Renewal Cost: \$698,225.21
Recommended Goal: 35%
Percentage Goal Achieved: 34.04%
Prime Contractor: Stanley Consultants, Inc.

2) Professional Services Agreement Between SWBNO and Leonard C. Quick & Associates

Budget Amount: \$2,100,000.00
Amount Spent: \$ Unknown
Amendment No.: 2
Renewal Cost: Unknown
Recommended Goal: 0%
Percentage Goal Achieved: 0%
Prime Contractor: Leonard C. Quick & Associates, Inc.

FINAL ACCEPTANCE CONTRACTS with DBE PARTICIPATION

For the month of December 2019, there is one (1) Final Acceptance contract with DBE participation to report.

1) Contract #30208: Village De L'Est/Venetian Isles – Sewer Rehabilitation

DBE Goal:	36.00%
DBE Participation Achieved:	48.49%
Prime Contractor:	Fleming Construction Co., LLC
Closeout Date:	December 2019

The Economically Disadvantaged Business Program recommends that the participation on subject contract be approved for Final Acceptance.

SEWERAGE & WATER BOARD OF NEW ORLEANS CONTRACTS WITH DBE PARTICIPATION FOR DECEMBER 2019

There were no awarded contracts to report for the month of December 2019.

Sewerage & Water Board New Orleans Awarded Projects with SLDBE Participation January 2019 - December 2019

Category	Category Dollar Amount	SLDBE Dollar Value
Construction Projects	\$ 37,344,372	\$ 13,623,639
Goods & Services Projects	\$ 1,460,355	\$ 364,260
Professional Services Projects	\$ 8,110,266	\$ -
Grand Total	\$ 46,914,993	\$ 13,987,898

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2019 - December 2019

Construction Projects Awarded 2019

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	\$ Sub Paid	% DBE Sub Paid	Award date
#5224 DPS #1 Hurricane Katrina Related Repairs to New Carrollton, Hospital, Marconi, Paris, and Press Drainage Underpass	36%	\$1,437,692.00	Industrial & Mechanical Contractors, Inc.	J.L. Construction Group	34.78%	\$500,000.00	NTP has not been delivered		Sep-19
				Blue Flash Sewer Service	1.39%	20,000.00			
				Total	36.17%	\$520,000.00			
#5243 - DPS #4 Anti-Siphon Project	20%	\$561,580.00	Industrial & Mechanical Contractors, Inc.	J.L. Construction Group	20.48%	\$115,000.00	NTP has not been delivered		Oct-19
#1377 - Water Hammer Hazard Mitigation Project (FEMA #17785 & #18836) Claiborne Ave. Pump Station and Off-site Improvements	36%	\$35,345,100.00	M.R. Pittman Group, LLC	Bayou Concrete Pumping, LLC	0.57%	\$200,000.00	NTP has not been delivered		Nov-19
				Bayou Construction Group, LLC	0.12%	42,675.00			
				The Beta Group Engineering & Construction Services, LLC	0.42%	150,000.00			
				Choice Supply Solutions, LLC	5.96%	2,105,000.00			
				EBE Fencing	0.10%	36,294.63			
				JEI Solutions, Inc.	17.02%	6,015,000.00			
				Landrieu Concrete and Cement Industries, LLC	2.55%	900,000.00			
				F.P. Richard Construction, LLC	6.56%	2,320,000.00			
				d/b/a Rue Contractors					
				Thornville Services, Inc.	3.40%	1,200,000.00			
				Waldo Brothers, Inc.	0.06%	19,669.03			
				Total	36.75%	\$12,988,638.66			
Total Construction Projects		\$37,344,372.00			36%				
						\$13,623,638.66			

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2019 - December 2019

Goods & Services Projects Awarded 2019

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	\$ Sub Paid	% DBE Sub Paid	Award date
YW19-0003 - Furnishing Limestone	20%	\$198,000.00	MST Enterprises, LLC	Trucking Innovation, LLC	0%	\$39,600.00		0%	2/21/2019
SA19-0001 - Janitorial and Related Services	30%	\$394,808.40	ETI, Inc.	Supreme Developers, LLC	0%	\$197,404.20		0%	3/21/2019
YW-19-0007 - Furnishing Brass Water Service Fittings	5%	\$566,081.00	A.Y. McDonald Mfg. Co	Cimsco, Inc.	0%	\$39,625.67		0%	5/23/2019
YW19-0122 - Furnishing Aerosol, Janitorial and Industrial Chemicals	30%	\$301,465.75	Southeast Safety & Supply	Corporate Business Supplies	0%	\$87,629.84		0%	9/23/2019

Total Goods & Services Projects		\$1,460,355.15			25%	\$364,259.71			
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Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2019 - December 2019

Professional Services Projects Awarded 2019

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	\$ Sub Paid	% DBE Sub Paid	Award date
Request for Qualifications for Backflow Prevention and Cross-Connection Control Management	10%	To be negotiated	Back Flow Prevention Services	CBV Ventures, LLC					4/9/2019
Request for Proposal for Drainage Pump Station 13 Asbestos Survey, Remediation Oversight, Monitoring and Indoor Air Quality Assessment Services	5%	To be negotiated	Terracon Consultants, Inc.	Wilson Environmental Services, LLC	38%				5/9/2019
Request for Proposal: Backflow Prevention and Cross-Connection Control Management	5%	\$8,110,265.90	Back Flow Prevention Services	CBV Ventures, LLC	12%				5/13/2019
Total Professional Services Projects		\$8,110,265.90			0%			\$0.00	